CAPITAL CONSTRUCTION

Invitation for Bid
CCK-2278-18, Project #2444.0
Bid Due Date- 2/14/2018
College of Law
Trade Category 04B – Ornamental Railing Rebid
ADVERTISEMENT FOR BIDS

1. INVITATION

Sealed proposals for the following work will be received by the University of Kentucky, Capital Construction Procurement Section, Room #322 Peterson Service Building, 411 South Limestone, Lexington, Kentucky 40506-0005, in the manner and on the date hereinafter specified for the furnishing of all labor, materials, supplies, tools, appliances, equipment, services, etc., necessary for the construction of Project #2444.0 – University of Kentucky College of Law, LEXINGTON, KENTUCKY, as set forth in the specifications and as shown on the drawings as prepared by Sherman Carter Barnhart Architects, and approved by the Capital Construction Procurement Section and the Capital Project Management Division and under the terms and conditions of this Invitation.

This Invitation for Bids is a Rebid of Trade Category 04B – Ornamental Railing Rebid

2. PROJECT DESCRIPTION

The University of Kentucky received bids for Invitation for Bid CCK-2270-18 on 12/07/2018. The University of Kentucky project team determined that the Offers submitted did not meet the specifications and scope of work and determined that it is in the best interest of the project to issue this solicitation under revised specifications, as defined in the attached Addendum No. 9 and Addendum No. 10 and the revised Form of Proposal.

In addition, the documents including Specifications, Drawings, General Conditions, Special Conditions, Baseline CPM Schedule, Site Plan, Earth Retention Plan, Requirements Common to all Work Categories and Attachments contained in the Bid Package Volume 1 and Volume 2 and included with this Invitation for Bid CCK-2278-17, are incorporated herein by reference including:

Addendum No. 1 – Dated 10/06/17
Addendum No. 2 – Dated 10/13/17
Addendum No. 3 – Dated 10/19/17
Addendum No. 4 – Dated 10/20/17
Addendum No. 5 – Dated 10/25/17
Addendum No. 6 – Dated 10/30/17
Addendum No. 7 – Dated 10/31/17
Addendum No. 8 – Dated 11/29/17
Addendum No. 9 – Dated 12/22/17
Addendum No. 10 – Dated 01/11/18

• Revised Bid Form for Trade Category 04B – Ornamental Railing
**Note:** The successful bidder will enter into a subcontract agreement with The Congleton-Hacker Company. The form of contract is included with the bid documents and will be executed without exceptions. There will be no direct contractual relationship between the successful bidder and the University of Kentucky.

3. **METHOD OF RECEIVING BIDS**

Bids will be received from Prime Contractors on a Lump Sum Amount for the total project. Bids shall be submitted to the University of Kentucky and respond to this rebid, CCK-2278-18, Ornamental Railing Rebid in the manner herein described above and on the official proposal form included with the conditions and specifications and shall be subject to all the conditions as set forth and described in the Bid Documents.

Bids shall be submitted only on the Official Forms supplied by the University of Kentucky, Capital Construction Procurement Section. Failure to comply with the foregoing requirements will be cause for invalidation of bid.

4. **METHOD OF AWARD**

Final award of Contract will be made on the basis of the lowest, responsive and responsible bid which offers the best value. The Congleton-Hacker Company will execute all trade contracts.

5. **SCHEDULE OF PROJECT**

The time for completion as further defined in Article 28 of the General Conditions is June 12, 2019 and shall be substantially completed in accordance with the project schedule contained in the project manual with final completion being thirty (30) days thereafter.

6. **BONDING**

A.  No Bid Bond is required for this bid.

7. **Offeror Communication**

To insure that IFB documentation and subsequent information (modifications, clarifications, addendum, etc.) is directed to the appropriate person’s communication with the University regarding this IFB shall only be directed to the University Purchasing Officer listed below:

   Mr. Mike Mudd.
   Purchasing Division
   University of Kentucky
   322 Peterson Service Building
   411 South Limestone Rd.
8. OBTAINING PLANS AND SPECIFICATIONS

To obtain a set of Plans and Documents, if required, please contact the above person who will make arrangements for Lynn Imaging to perform the duplicating and distribution services for this bid package.

In addition, key documents for this bid are available at the University of Kentucky Purchasing Web Site at the following URL:  http://www.uky.edu/Purchasing/.

9. BID SUBMITTAL

Contractors must submit their bid in a sealed envelope to the University of Kentucky, Capital Construction Procurement, Room 322 Service Building, 411 South Limestone, Lexington, KY 40506-0005 and the envelope must contain the following information on the outside lower left-hand corner:

SEALED BID INVITATION NO.  CCK-2278-18
College of Law
Description: Trade Category 04B – Ornamental Railing Rebid

BID DATE  February 14, 2018 at 3:00 P.M. LEXINGTON TIME – CCK- 2278-18

Bids, upon their receipt by the University of Kentucky, Capital Construction Procurement Section are stamped showing the hour and date received. Bids received after the scheduled closing time for reception of bids will not be considered provided legal and accepted bids have been received on said referenced Invitation.

10. BID WITHDRAWAL

No bidder may withdraw his bid for a period of sixty (60) days after the date set for the opening of bids. Clerical errors and omissions in the computation of the lump sum shall not be cause for withdrawal of the bid without forfeiture of bid bond. Bids may be withdrawn in person only, prior to the closing date for receipt of bids.

11. MINORITY BUSINESS ENTERPRISE PARTICIPATION

The University of Kentucky is committed to increasing the participation of minority business enterprises in construction and renovation projects, and encourages the use of minority subcontractors and material suppliers. All contractors should make an effort to locate and use minority business
enterprises in bidding this project. For assistance in identifying minority vendors and subcontractors, the contractors may contact: The Kentucky Procurement Assistance Program, 500 Mero Street, 23rd Floor, Frankfort, Kentucky 40601, 800.838.3266, email address CED.kpap@ky.gov, and/or The Office of Business & Occupational Development, Transportation Cabinet, 200 Mero Street, Suite 614, Frankfort, Kentucky 40622, 502.564.3601, Attn: Mr. Melvin Bynes, email address Melvin.Bynes2@ky.gov.

Bidders using minority enterprises as subcontractors and material suppliers are requested to identify these contractors in the space provided on the Form of Proposal. The successful contractor will be asked to supply associated contract amounts rounded to the nearest $500.00 prior to the signing of a contract.

12. RIGHT TO REJECT

The University of Kentucky, Capital Construction Procurement Section, reserves the right to reject any bids and to waive all formalities and/or technicalities where the best interest of the University may be served.

13. GENERAL INFORMATION

A. The Listing of major subcontractors, unit prices, and material lists are to be submitted with the bid.

B. Documents from Lynn Imaging or a Form of Proposal directly from the University of Kentucky Purchasing Representative will be eligible to submit a bid for this project.

14. PRE- BID CONFERENCE

There will not be a Pre-Bid Conference for this solicitation.

15. WRITTEN QUESTIONS

Firms wishing to discuss specific items shall submit the items in writing to Mike Mudd and Suellen Bowling, Capital Construction Procurement, no later than February 2, 2018. Please submit Written Questions by email to MikeMudd2@uky.edu and/or sbowlin@uky.edu

16. POST BID MEETING

The apparent low bidder will be requested to meet with the Construction Manager, Congleton-Hacker Company, representatives of Sherman Carter Barnhart Architects and the University to review the bid and scope of services. The time and place of this meeting will be announced at the bid opening.
INVITATION FOR BIDS
Expand / Renovate / Upgrade Law Building
TC03-04B ORNAMENTAL RAILINGS REBID
CCK-2270-18
ADDENDUM # 8
November 29, 2017

ATTENTION: This is not an order. Read all instructions, terms and conditions carefully.

IMPORTANT: BID AND ADDENDUM MUST BE RECEIVED BY 12/6/2017 @ 3:00 P.M. LEXINGTON, KY TIME

Bidder must acknowledge receipt of this and any addendum as stated in the Invitation for Bids.

The following clarifications shall apply to all bid scopes:

General Notes

1. See the attached Addendum #8 from Sherman Carter Barnhart Architects, dated November 29, 2017. This information and drawings should be incorporated into the bid documents.

2. See the attached Written Questions and Answers. This information should be incorporated into the bid documents.

End of Addendum #8
**Written Questions and Answers**

Expand / Renovate / Upgrade Law Building  
TC03-04B ORNAMENTAL RAILINGS REBID  
CCK-2270-18  
11/29/2017

<table>
<thead>
<tr>
<th>No.</th>
<th>Question</th>
<th>Answer</th>
</tr>
</thead>
</table>
| 1 | **Note: A9.21 - Stairs C & D**  
**A9.24/11, 12 & 13**  
Question Head attachment of Tube/Rods  
* At detail A9.24/13, "Do the Rods attach to anything"?  
** OR are they just floating free?**  
* Can you furnish more details? | Refer to answer in Addendum 8 Architectural Summary. **These Tubes/Rods are the responsibility of another Trade Contractor.** |
| 2 | **Note: A9.24 - MOOT-Stairs & Balcony area's**  
**Note: A9.24/14, 15, 16, 17 & 18**  
We are in question, IF this design will meet our engineering approval, for the Glass-Guard railings.  
This may be subject to changing the Glass-height to furnish at least 4-Holes per Glass-Panel. ( 2+2 )  
* Question: Can this be reviewed for consideration and detail changes?  
There also needs to be adequate Blocking-Support for both Guardrail & Post-Handrailings. Additional details here would be helpful. | Refer to answer in Addendum 8 Architectural Summary. WC 04B – Ornamental Railings contractor will be responsible for providing adequate blocking-support for both Guardrail & Post-Handrailings. |
<p>| 3 | Hey, upon reviewing the Specifications for the above-mentioned project, specifically, Section 05-7313, Glazed Dec. Metal Railings, I noticed that R.I.W. &quot;was not&quot; listed as an approved Manufacturer. Whom do I need to submit a request, in order to get R.I.W. listed? As you know, our Glass System looks identical to the Wagner Panel-Grip 2 Unit, including all the applicable parts, and/or accessories. For instance, our base shoe looks just like the one called-out from Wagner. Needless to say, I'm very confident | A formal substitution approval is not required. Requirement is that the product and installation comply with all requirements of the contract documents, and that such are reflected on the shop drawings. |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>In working into all the Addendums, I seen a REVISED DRAWING A9.24, which had addressed the Extra-Support required at the Moot Courtroom Tier-Stairs &amp; Balcony... Reference ADD#3, Revised Sheet/Details A9.24/16, 17 &amp; 18...</td>
<td>Refer to Question #2.</td>
</tr>
</tbody>
</table>

<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>5</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10</td>
<td></td>
<td></td>
</tr>
<tr>
<td>11</td>
<td></td>
<td></td>
</tr>
<tr>
<td>12</td>
<td></td>
<td></td>
</tr>
<tr>
<td>13</td>
<td></td>
<td></td>
</tr>
<tr>
<td>14</td>
<td></td>
<td></td>
</tr>
<tr>
<td>15</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
TO: ALL PLAN HOLDERS

FROM: Sherman-Carter-Barnhart, PSC
2405 Harrodsburg Road
Lexington, KY 40504

DATE: November 29, 2017

The purpose of this Addendum is to clarify further the requirements of the Plans and Specifications.

The Bidders shall be governed by the information in this Addendum as if included in the Plans and Specifications.

This Addendum does hereby become a part of the Contract Documents.

Each Bidder shall acknowledge receipt of this Addendum on the space provided in the Bid Form.

This A/E Addendum consists of three (3) pages.

A. Architectural

1. Refer to Written Question #1 regarding hanger rod connections; response as follows:

   a. Please find attached ASK-14 (11/27/17) which clarify the connection of the rods above the ceiling.

   b. Please refer to the following structural details for the structural steel that the rods can be hung from.

      At Stair C, see tag note 9 on sheet S1.4.
      At Stair D, see tag note 22 on sheet S1.2.
2. \textbf{Refer to Written Question #2 regarding Moot Court guardrail; response as follows:}

The guardrail was revised to 4 holes per glass panel as part of Addendum #3, please refer to the details 16, 17 & 18/A9.24. Detail E/S4.13, added to the bid documents via addendum, shows the structural steel within the wall where these railings are to occur.

End of A/E Addendum
1 1/4" STEEL TUBE W/ 1" FLANGE TAPPED FOR THREADED ROD WELDED TO STRUCTURAL STEEL, REFER TO STRUCTURE DWGS

NUT & WASHER TO SECURE THREADED ROD

20
DETAIL - STEEL ATTACHMENT @ METAL ROD

3" = 1'-0" - REFER TO SHEET A9.24

4" DIA ROUND ESCHUTCHEON PLATE
1" DIA STEEL TUBE PAINTED TO MATCH AL-5

13
DETAIL - GYP CEILING @ METAL ROD

3" = 1'-0" - REFER TO SHEET A9.24

NO EXPOSED THREAD

THREADED METAL ROD ATTACHMENT
INVITATION FOR BIDS
Expand / Renovate / Upgrade Law Building
TC03-04B ORNAMENTAL RAILINGS REBID
CCK-2278-18
ADDENDUM # 9
January 11, 2018

ATTENTION: This is not an order. Read all instructions, terms and conditions carefully.

IMPORTANT: BID AND ADDENDUM MUST BE RECEIVED BY 2/1/2018 @ 3:00 P.M. LEXINGTON, KY TIME

Bidder must acknowledge receipt of this and any addendum as stated in the Invitation for Bids.

The following clarifications shall apply to all bid scopes:

General Notes

1. See the attached Addendum #9 from Sherman Carter Barnhart Architects, dated December 22, 2017. This information and drawings should be incorporated into the bid documents.

End of Addendum #9

OFFICIAL APPROVAL
UNIVERSITY OF KENTUCKY

Mike Mudd / (859) 257-5409

SIGNATURE

Typed or Printed Name

University of Kentucky
Purchasing Division
322 Peterson Service Building
Lexington, KY 40506-0005
TO: ALL PLAN HOLDERS

FROM: Sherman-Carter-Barnhart, PSC
2405 Harrodsburg Road
Lexington, KY 40504

DATE: December 22, 2017

The purpose of this Addendum is to clarify further the requirements of the Plans and Specifications. The Bidders shall be governed by the information in this Addendum as if included in the Plans and Specifications. This Addendum does hereby become a part of the Contract Documents. Each Bidder shall acknowledge receipt of this Addendum on the space provided in the Bid Form.

This A/E Addendum consists of one (1) page.

A. Architectural

1. Revise indicated Moot Courtroom ornamental railings as follows:
   a. Refer to Plan 1/A9.10, Elevations 1,3,4/A9.11, and 1,5,7,10/A9.26. Aisle handrails (two assemblies each aisle, total 4) noted as ORN-7 shall be changed to rail type ORN-8.
   b. Refer to Plan 2/A9.10 and Elevations 2/A9.11 and 10,12,14/A9.41. Judges bench step railings (two assemblies each set of steps, total 4) shall be ORN-8.
   c. Details 8,9,12/A9.26, Where “ORN-7” is indicated, verbiage shall be changed to read “ORN-8”. Paint shall be revised for ORN-8 in Moot Courtroom only, as noted below.
   d. Refer to A0.21. At ORN-8 Moot Courtroom railings revised as indicated above, eliminate stainless steel and change ORN-8 description to read, “Painted steel pickets and associated metal components, with WD-1 handrail. Alkyd metallic paint shall match aged bronze. Metal railing components shall be shop-painted.”

End of A/E Addendum
ATTENTION: This is not an order. Read all instructions, terms and conditions carefully.

IMPORTANT: BID AND ADDENDUM MUST BE RECEIVED BY 2/14/2018 @ 3:00 P.M. LEXINGTON, KY TIME

Bidder must acknowledge receipt of this and any addendum as stated in the Invitation for Bids.

The following clarifications shall apply to Trade Contract 4B, Ornamental Railings Rebid

1. See the attached Addendum #10 from Sherman Carter Barnhart Architects, dated January 11, 2018. This information and drawings should be incorporated into the bid documents.

2. All Shop Painting listed in this Addendum will be the responsibility of TC 4B – Ornamental Railings contractor.

End of Addendum #10

OFFICIAL APPROVAL
UNIVERSITY OF KENTUCKY

__________________________
Mike Mudd / (859) 257-5409

SIGNATURE

__________________________
Typed or Printed Name
TO: ALL PLAN HOLDERS

FROM: Sherman-Carter-Barnhart, PSC
2405 Harrodsburg Road
Lexington, KY 40504

DATE: January 11, 2018

The purpose of this Addendum is to clarify further the requirements of the Plans and Specifications.

The Bidders shall be governed by the information in this Addendum as if included in the Plans and Specifications.

This Addendum does hereby become a part of the Contract Documents.

Each Bidder shall acknowledge receipt of this Addendum on the space provided in the Bid Form.

This A/E Addendum consists of one (1) page.

A. Architectural

1. Refer to Addendum 9 railing revision.

   a. As clarification, the shop painting required for the alkyd-based metallic finish is further defined as follows:

      1) Shop painting shall be defined as spray-applied. Brush-applied system is not acceptable.

      2) Alkyd System, MPI INT 5.1E.
         Prime Coat: Primer, alkyd, anti-corrosive, for metal, MPI #79.
         Intermediate Coat: Alkyd, interior, matching topcoat.
         Topcoat: Alkyd, interior, semi-gloss (MPI Gloss Level 5), MPI #47.

   b. Unless otherwise defined herein, all other requirements of Sections 055213 and 099123 shall apply.

End of A/E Addendum
UNIVERSITY OF KENTUCKY – College of Law Expansion and Renovation  
UK Project No. 2444.0

UNIVERSITY OF KENTUCKY  
CAPITAL CONSTRUCTION PROCUREMENT SECTION  
FORM OF PROPOSAL  
College of Law Expansion and Renovation

---

**Trade Contract 04B – Ornamental Railings Rebid**

Project No. **2444.0**  
Project Title: College of Law Renovation and Expansion

Purchasing Officer: **Mike Mudd**

NOTE: The following Form of Proposal shall be followed exactly in submitting a proposal for this work. If this copy is lost, an additional copy will be furnished upon written request to the authority issuing Contract Documents.

This Proposal is submitted by:

(NAME AND ADDRESS OF BIDDER)

Date: _____________________________

Telephone: _________________________

TO: **BID CLERK**  
UNIVERSITY OF KENTUCKY  
CAPITAL CONSTRUCTION PROCUREMENT  
RM. 322 SERVICE BUILDING  
411 SOUTH LIMESTONE  
LEXINGTON, KY 40506-0005

**INVITATION TO BID: CCK-2278-18**

BID OPENING DATES: February 14, 2018

TRADE CONTRACT DESCRIPTION: **04B Ornamental Railings Rebid**

TRADE CONTRACT NO.: **04B**

TIME: **3:00 P.M. E.D.T.**

The Bidder, in compliance with your Invitation for Bids for the above referenced Project, having carefully examined the site of the Work, the Drawings and complete Contract Documents as defined in Article I of the General Conditions, as well as the Specifications affecting the work as prepared by the Consultant, hereby proposes to furnish all labor, materials, supplies and services required to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the price stated below without qualification.

The Bidder hereby acknowledges receipt of the following Addenda:

- Addendum No. 1 – Dated 10/06/17
- Addendum No. 2 – Dated 10/13/17
- Addendum No. 3 – Dated 10/19/17
- Addendum No. 4 – Dated 10/20/17
- Addendum No. 5 – Dated 10/25/17
- Addendum No. 6 – Dated 10/30/17
- Addendum No. 7 – Dated 10/31/17
- Addendum No. 8 – Dated 11/29/17
- **Addendum No. 9 – Dated 12/22/17**
- Addendum No. 10 – Dated 01/11/18

Work Category – 04B
FORM OF PROPOSAL

AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST

I hereby certify:

1. That I am the Bidder (if the Bidder is an individual), a partner in the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);

2. That the submitted Bid or Bids covering Capital Construction Procurement Section Invitation No. CCK-2278-18 have been arrived at by the Bidder independently and have been submitted without collusion with, and without any agreement, understanding or planned common course of action with, any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition; as prohibited by provision KRS 45A.325;

3. That the contents of the Bid or Bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished with the Bid or Bids and will not be communicated to any such person prior to the official opening of the Bid or Bids;

4. That the Bidder is legally entitled to enter into the contracts with the University of Kentucky and is not in violation of any prohibited conflict of interest, including those prohibited by the provisions of KRS 164.390, and 45A.330 to 45A.340 and 45A.455;

5. This offer is good for 60 calendar days from the date this Bid is opened. In submitting the above, it is expressly agreed that upon proper acceptance by the Capital Construction Procurement Section of any or all items Bid above, a contract shall thereby be created with respect to the items accepted;

6. That I have fully informed myself regarding and affirm the accuracy of all statements made in this Form of Proposal including Bid Amount.

7. Unless otherwise exempted by KRS 45.590, the Bidder intends to comply in full with all requirements of the Kentucky Civil Rights Act and to submit data required by the Kentucky Equal Employment Act upon being designated the successful contractor.

8. That the bidding contractor and all subcontractors to be employed do not and will not maintain any facilities they provide for employees in a segregated manner and they are in full compliance with provisions of 41 CFR 60-1.8 that prohibits the maintaining of segregated facilities.

9. In accordance with KRS45A.110(2), the undersigned hereby swears under penalty of perjury that he/she has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky and that the award of a contract to the bidder will not violate any provision of the campaign finance laws of the Commonwealth of Kentucky.

READ CAREFULLY - SIGN IN SPACE BELOW – FAILURE TO SIGN INVALIDATES BID

SIGNED BY ___________________________   TITLE ___________________________
PRINT NAME ___________________________   FIRM ___________________________
ADDRESS _______________________________   PHONE (____) _______________________
                                           FAX (____) _________________________
CITY ______ STATE ______ ZIP CODE ______   DATE ___________________________
Contractor Report of Prior Violations of
Chapters 136, 139, 141, 337, 338, 341, and 342

Pursuant to KRS 45A.485, the Contractor shall, prior to the award of a Contract, reveal final determinations of any violations of the provisions of KRS Chapters 136, 139, 141, 337, 338, 341, and 342 by the Contractor that have occurred in the previous five (5) year period.

This statute also requires for the duration of the Contract established, the Contractor be in continuous compliance with the provisions of Chapters 136, 139, 141, 337, 338, 341, and 342 that apply to the Contractor’s operations. The Contractor’s failure to reveal a final determination of a violation of KRS Chapters 136, 139, 141, 337, 338, 341, and 342, or failure to comply with any of the above cited statutes for the duration of the Contract shall be grounds for the cancellation of the Contract, and the disqualification from eligibility for future contracts for a period of two (2) years.

The Contractor, by signing and submitting a Bid on this Invitation, agrees as required by KRS 45A.485 to submit final determinations of any violations of the provisions of KRS Chapters 136, 139, 141, 337, 338, 341, and 342 that have occurred in the previous five (5) years prior to the award of a Contract and agrees to remain in continuous compliance with the provisions of these statutes during the duration of any contract that may be established. Final determinations of any violations of these statutes, must be provided to the University by the successful Contractor prior to the award of a Contract.
LUMP SUM PROPOSAL

The Bidder, in compliance with the Invitation to Bid CCK-2254-18 having examined the drawings, specifications, related documents and having visited the site of the proposed work, and being familiar with all the conditions surrounding the construction of the proposed project including the availability of materials and labor, hereby submits the following bid to furnish all labor, materials, and supplies and to construct the project in accordance with the Bid Documents within the time set forth therein and at the prices stated below. These prices are to cover all expenses incurred in performing the work required under the Contract Documents, of which this Bid is a part.

The Bidder agrees to furnish all labor, materials, supplies and services required to complete the Work, for the above referenced Project, for the Capital Construction Procurement Section, University of Kentucky, as described in the Specifications and Contract Documents and shown on the Drawings enumerated below and as modified by the Addenda listed above.

Bidder hereby agrees that all escalation cost associated with materials and/or labor have been included in the stated unit cost, through the projected duration dates as stated in the preliminary project construction schedule.

FOR THE LUMP SUM OF ____________________________

(USE WORDS) ____________________________ DOLLARS AND ____________________________ CENTS.

(USE WORDS) ____________________________ (USE WORDS)

($ ____________________________ )

(USE FIGURES)

BID ALTERNATES

1. Alternate No. 1: Add WP-2 Wood Slat Wall Panels at Stairs A and B at indicated wall
   Add/Deduct $ ____________________________

2. Alternate No. 2: Add Roof Paver System, S.S. Trim and Orn-3 Railing at Second Floor South Terrace
   Add/Deduct $ ____________________________

3. Alternate No. 3: Add BT-2 and BT-2A Benches at Study Niches and 2nd Floor Cafe
   Add/Deduct $ ____________________________
BUSINESS CLASSIFICATION

Please complete this form which is necessary for the University of Kentucky vendor database. Mark only one classification. Refer to "Definitions" for assistance in determining correct classification.

(01) ___ Small Business   (06) ___ Woman-Owned Large Business
(02) ___ Large Business   (07) ___ Disadvantaged Woman-Owned Small Business
(03) ___ Disadvantaged Small Business   (08) ___ Disadvantaged Woman-Owned Large Business
(04) ___ Disadvantaged Large Business   (09) ___ Other
(05) ___ Woman-Owned Small Business

DEFINITIONS

(01) SMALL BUSINESS: A business concern that is organized for profit, is independently owned and operated, is not dominant in the field of operations in which it is bidding, and meets the size standards as prescribed in the Code of Federal Regulations, Title 13, Part 121. Consult your local or district Small Business Administration (SBA) office if further clarification is needed.

(02) LARGE BUSINESS: A business concern that exceeds the small business size code standards established by SBA.

(03) DISADVANTAGED SMALL BUSINESS: A business concern (a) that is at least 51 percent owned by one or more socially and economically disadvantaged individuals (as defined below), or a publicly owned business, having at least 51 percent of its stock owned by one or more socially and economically disadvantaged individuals; and (b) has its management and daily business operations controlled by one or more such individuals. Socially and economically disadvantaged individuals include Black Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans and other minorities or individuals found to be disadvantaged by the SBA.

(04) DISADVANTAGED LARGE BUSINESS: A concern that meets the definition of socially and economically disadvantaged individuals, but which is not a small business by the SBA’s size standards.

(05) WOMAN-OWNED SMALL BUSINESS: A small business that is at least 51 percent owned by a woman or women who also control and operate it. "Control" in this context means exercising the power to make policy decisions. "Operate" means actively involved in the day to day management.

(06) WOMAN-OWNED LARGE BUSINESS: A concern that meets the definition of woman owned and operated, but which is not a small business by the SBA’s standards.

(07) DISADVANTAGED, WOMAN-OWNED SMALL BUSINESS: A concern that meets the definition of both (03) and (05) above.

(08) DISADVANTAGED, WOMAN OWNED LARGE BUSINESS: A concern that meets the definition of both (04) and (06) above.

(09) OTHER: A concern that does not meet any of the above definitions.
THE FOLLOWING ITEMS ARE HEREWITH ENCLOSED AS REQUIRED BY KRS 45A.185

1. Bid Bond or Certified Check in an amount not less than five percent (5%) of total Bid.

2. List of Proposed Subcontractors and Unit Prices. (if required)

3. Authentication of Bid and Statement of Non-Collusion and Non-Conflict of Interest.

4. List of Materials and Equipment.

5. VENDOR NUMBER: It is imperative that you furnish your Federal Employer Identification Number in the space provided below. Failure to do so may delay the processing of purchase orders issued to your firm.

__________________________________________
(Nine Digit Number)

BIDDER’S QUALIFICATIONS

The Commonwealth of Kentucky Model Procurement Code (KRS 45A.080) requires contracts to be awarded, “to the responsive and responsible bidder whose bid offers the best value” to the University of Kentucky. In order to determine if the Bidder has the experience, qualifications, resources and necessary attributes to provide the quality workmanship, materials and management required by the plans and specifications, the Bidder may be required to complete and submit the information requested on the University of Kentucky Contractor Bidder Determination of Responsibility questionnaire. Failure to provide the information requested on the questionnaire or failure to provide any additional submittals or information that may be requested to make this determination may be grounds for a declaration of non-responsibility with respect to the Bidder. A copy of the Contractor Determination of Responsibility questionnaire is available upon request to all Bidders.

TIME LIMIT FOR EXECUTION OF CONTRACT DOCUMENTS

It is further agreed, that in the event this Proposal is accepted by the Owner and the undersigned shall fail to execute the Contract and furnish satisfactory Payment and Performance Bond within ten (10) consecutive calendar days from the date of notification of the award of the Contract, the Owner may at his option, determine that the undersigned has abandoned the Contract and thereupon, the Proposal shall become null and void and the Bid guarantee, check or Bid bond which accompanied it shall be forfeited and become the property of the Owner as liquidated damages for each failure and no protest pursuant to such action will be made. If the Undersigned shall execute the Contract, and furnish satisfactory Payment Bond and Performance Bond, it is understood that the Bid Guarantee or Bid Bond will be returned to the undersigned by the Owner.
UNIT PRICES

NOTE: Unit Prices shall include the furnishing of all labor, materials, supplies and services and shall include all items of cost, overhead and profit for the Contractor and any subcontractor involved, and shall be used uniformly without modifications for either additions or deductions. The Unit Prices as established shall be used to determine the equitable adjustment of the Contract Price in connection with changes, deletions or extra work performed under the Contract and the "Rules of Measurement" set forth in the General Conditions shall govern.

_The list of Proposed Unit Prices is to be executed and submitted as a part of the Bidder’s Proposal. Failure to comply will result in rejection of Bidder’s Proposal. The information requested in this submittal is required to assist the University in determining contractor responsibility to complete the project being bid._

_The apparent low bidder is requested to attend a post bid meeting which will be scheduled at a later date._

<table>
<thead>
<tr>
<th>Item</th>
<th>Unit</th>
<th>Cost Per Unit</th>
</tr>
</thead>
<tbody>
<tr>
<td>Iron Worker</td>
<td>Hr</td>
<td>$</td>
</tr>
<tr>
<td>Laborer</td>
<td>Hr</td>
<td>$</td>
</tr>
</tbody>
</table>
PRIMARY LIST OF PROPOSED SUBCONTRACTORS

All subcontractors are subject to the approval of the Capital Construction Procurement Section and Capital Project Management Division, University of Kentucky, Lexington, KY.

If certain branches of the Work are to be done by the Prime Contractor, so state.

*The apparent low bidder will be required to complete and submit to the University the following information by twelve (12) noon of the first working day following the bid opening. The information requested in this submittal is required to assist the University in determining contractor responsibility to complete the project being bid.*

*The apparent low bidder is requested to attend a post bid meeting which will be scheduled at a later date.*

Provide the address, phone number and contact information for the following subcontractor/suppliers:

<table>
<thead>
<tr>
<th>DIVISION OF WORK</th>
<th>NAME AND ADDRESS OF SUBCONTRACTOR</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>
LIST OF MATERIALS AND EQUIPMENT

Each item listed under the different phases of construction must be clearly identified so that the Owner will definitely know what the Bidder proposes to furnish.

The use of a manufacturer's or dealer's name only, or stating "as per Plans and Specifications," will not be considered as sufficient identification.

Where more than one "Make" or "Brand" is listed for any one item, the Owner has the right to select the one to be used.

The apparent low bidder will be required to complete and submit to the University the following information by twelve (12) noon of the first working day following the bid opening. The information requested in this submittal is required to assist the University in determining contractor responsibility to complete the project being bid.

The apparent low bidder is requested to attend a post bid meeting which will be scheduled at a later date.

<table>
<thead>
<tr>
<th>ITEM DESCRIPTION</th>
<th>MATERIAL OR EQUIPMENT</th>
<th>MANUFACTURER</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
IDENTIFICATION OF MINORITY SUBCONTRACTORS AND MATERIAL SUPPLIERS

Participation of Minority and Woman owned Contractors and businesses.

The University of Kentucky encourages and supports the participation of minority and woman owned businesses.

1. Minority and Woman Subcontractors

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

2. Minority and Woman Material Suppliers

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

SUPERINTENDENT

In accordance with Article 17 of the General Conditions a full-time superintendent will be required on this project. Below, please list the superintendent your firm will employ on this project. The successful Bidder will be required to furnish a resume of the superintendent’s qualifications and or past projects.

______________________________

List the Superintendent’s Name
TRADE CONTRACT – 04B – Ornamental Railings

This section defines in summary, without limitations by the descriptions, significant items of the scope of work to be performed by the Subcontractor and any special provision related to the Subcontractor’s execution of the Work and the Project. The details of the scope of work are further defined in Drawings, Specifications, and other provisions contained in the Project Documents. In addition to this specific scope of work, The Requirements Common to All Trade Packages contained in this bid manual is also applicable.

The scope of this work category includes all labor, material, equipment, services, and supervision necessary to complete all work specified herein, in accordance with the contract documents as described below.

The scope of work shall include all work indicated in the Work Category Description, the drawings, contract forms, contract conditions, Divisions 0 and 1 of the specifications, and all other listed specifications. This scope of work includes, but is not necessarily limited to, the following specification sections:

- **Division 02 – EXISTING CONDITIONS**
  - Section 02 3200 Geotechnical Investigation and Report

- **Division 05 – METALS**
  - Section 05 1000 Structural Anchors
  - Section 05 5213 Pipe and Tube Railings
  - Section 05 7301 Exterior Decorative Metal Railings
  - Section 05 7313 Glazed Decorative Metal Railings

- **Division 06 – WOOD AND PLASTICS**
  - Section 06 1053 Miscellaneous Rough Carpentry

- **Division 08 – DOORS AND WINDOWS**
  - Section 08 8000 Glazing

The following items are intended to clarify and/or further define the scope of work included in the bid documents. The following shall not be construed as the entire scope of work for this Work Category. All work described or indicated in the respective specification sections or divisions listed above, and on the drawings, shall be included, unless noted otherwise:

A. General

1. This bidder shall acquire all permits required for the execution of this scope of work for the site. All costs associated with the permit shall be included.

2. Provide traffic control, including flagmen, traffic cones and barricades, as required to protect the public at all times as the work and material deliveries require.

3. Access to the existing facility shall be maintained at all times.

4. If power requirements exceed 120V service or are remotely located, then provide all temporary utilities, including the costs of consumption, required for the performance of the work.

5. Provide and continually maintain OSHA compliant guardrails around the top of all open excavations, including shored excavations, with the required number and spacing of ladders for accessing the excavation.

6. This subcontractor shall provide all surveying, surveying controls (line and grade), benchmarks and subsequent layout required to complete the scope of work. All survey work shall be
performed by or under the supervision of a Licensed Professional surveyor with current professional liability insurance. This subcontractor shall be responsible for repairing or replacing benchmarks and/or controls damaged by its operations and for delivering intact benchmarks and/or controls at the conclusion of its scope of work for reference by a follow-on subcontractor. All other engineering and layout required off of the controls for this scope of work shall also be included.

7. Provide for utility location services at all areas of underground work. This subcontractor shall assume full responsibility for the protection of all existing utilities located within the work areas associated with the scope of work.

8. All dust control and street cleaning required is included. This will require water trucks, street sweepers, operators, and labor required to maintain the site, roads and walks in a satisfactory condition as directed by the Construction Manager. Street sweeping operations are not satisfied by backhoe bucket scraping pavement – actual broom sweeping is required and is to be included.

9. Provide all hoisting as required in the performance of the Trade Contract. This bidder shall be responsible to provide all subgrade work as required to satisfy the bearing pressures of their hoisting operations. This shall include but not be limited to crane mats, leveling of subgrade and crushed stone.

B. Ornamental Railings

1. The limits of this scope of work are identified on the construction documents and specifications.

2. This bidder shall be responsible for the Ornamental railings ORN-3, ORN-3A, ORN-4, ORN-5, ORN-6, ORN-7, ORN-8 and ORN-9.

3. This bidder shall be responsible for both the interior and exterior glass railings and glass guardrails in accordance with the documents.

4. The wood handrail on any of these railings/guardrails is by others.

5. This bidder shall be responsible for the railings/guardrails on the Stairs No. C, D and E.

6. This bidder shall be responsible to remove and dispose of the temporary railings/guardrails as the permanent railings/guardrails in this scope of work are being installed.

7. This bidder shall be responsible for all in-wall and out of wall blocking required for this scope of work.

C. Testing and Special Inspections

1. Schedule, coordinate and cooperate with testing agencies employed by others to provide special inspections associated with the scope of the work. All other specified testing, including material testing, and the coordination of same, shall be the responsibility of this subcontractor.
D. Dewatering

1. Provide dewatering as required, as specified and/or as directed by the CM. In addition to specified requirements, all dewatering operations shall meet the approval of the Lexington-Fayette Urban County Government (LFUCG). This subcontractor shall be responsible for securing the approval of the LFUCG and for all associated costs. Any and all dewatering system(s) put in place shall be regularly maintained, and they shall become the property of a follow-on subcontractor who shall assume maintenance of the system(s) following the conclusion of this subcontractor’s scope of work.