## GOAL I. Reach for National Prominence

### Top 20* or Top Quartile Programs

<table>
<thead>
<tr>
<th>1998-99</th>
<th>2001-02</th>
</tr>
</thead>
<tbody>
<tr>
<td>Anatomy (NIH)</td>
<td>Anatomy</td>
</tr>
<tr>
<td>Geography (NRC)</td>
<td>Behavioral Science (NIH)</td>
</tr>
<tr>
<td>Public Finance (USN)</td>
<td>Geography</td>
</tr>
<tr>
<td>Pharmacology (NIH)</td>
<td>Elementary Education (USN)</td>
</tr>
<tr>
<td>Pharmacy (USN)</td>
<td>Public Finance</td>
</tr>
<tr>
<td>Physiology (NIH)</td>
<td>Pharmacology</td>
</tr>
<tr>
<td>Spanish (NRC)</td>
<td>Pharmacy</td>
</tr>
<tr>
<td>Surgery (NIH)</td>
<td>Physiology</td>
</tr>
<tr>
<td>Toxicology (NRC)</td>
<td>Spanish</td>
</tr>
</tbody>
</table>

*out of at least 40

### 2003-04

1. Anatomy
2. Biochemistry (NIH)
3. Geography
4. Public Finance
5. Microbiology (NIH)
6. Nursing
7. Pharmacology
8. Pharmacy
9. Physical Therapy (USN)
10. Physiology
11. Spanish
12. Surgery
13. Toxicology

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**Indicator I-A:** Increase from 10 to 15 the number of top ranked academic programs, according to the National Institutes of Health (NIH), the National Research Council (NRC), or *U.S. News & World Report.*
GOAL I. Reach for National Prominence

Indicator I-B: Increase health affairs clinical income by 10 percent.

From FY2003 to FY2004, clinical income increased by 8.5 percent.
GOAL I. Reach for National Prominence

Indicator I-D: Increase the market value of the University’s endowments to $500 million through fund raising focused on the priority research areas identified in the Commonwealth’s Strategic Plan for the New Economy.

90% of the difference between the baseline of $414 million and the goal of $500 million was achieved.
GOAL II. Attract and Graduate Outstanding Students

Indicator II-A: Increase the middle 50 percent range of ACT scores for incoming freshmen to 23-28, as reported to *U.S. News & World Report.*

In fall 2003, 50 percent of incoming freshmen scored between 22 and 27 on the ACT, compared to 21 and 26 in previous years.
GOAL II. Attract and Graduate Outstanding Students

Comparative Mid-Fifty Freshman Students Profile
ACT Composite Scores

University of Kentucky

KY ACT Scores of High School Students (17 – 23)

National ACT (18 – 25)
GOAL II. Attract and Graduate Outstanding Students

Indicator II-B: Achieve enrollment and community college transfer goals established in collaboration with the Council on Postsecondary Education.

Transfer enrollment declined to 648 in fall 2003; the CPE goal was 680.

GOAL II. Attract and Graduate Outstanding Students

Fall Enrollment

<table>
<thead>
<tr>
<th>Year</th>
<th>Undergraduates</th>
<th>Graduate &amp; 1st Professional</th>
</tr>
</thead>
<tbody>
<tr>
<td>1997</td>
<td>17,015</td>
<td>6,532</td>
</tr>
<tr>
<td>1998</td>
<td>17,157</td>
<td>6,552</td>
</tr>
<tr>
<td>1999</td>
<td>16,847</td>
<td>6,219</td>
</tr>
<tr>
<td>2000</td>
<td>16,899</td>
<td>6,217</td>
</tr>
<tr>
<td>2001</td>
<td>17,284</td>
<td>6,764</td>
</tr>
<tr>
<td>2002</td>
<td>17,878 (17,435*)</td>
<td>7,155 (6,900*)</td>
</tr>
<tr>
<td>2003</td>
<td>18,190 (17,535*)</td>
<td>7,289 (6,935*)</td>
</tr>
<tr>
<td>2004</td>
<td>18,816 (18,707*)</td>
<td>7,315 (7,502*)</td>
</tr>
<tr>
<td>2005</td>
<td>19,081 (19,081*)</td>
<td>7,652 (7,652*)</td>
</tr>
</tbody>
</table>

CPE GOALS:

- Undergraduates: 17,015, 17,157, 16,847, 16,899, 17,284, 17,878, 18,190, 18,816, 19,081
- Graduate & 1st Professional: 6,532, 6,552, 6,219, 6,217, 6,764, 7,155, 7,289, 7,315, 7,652

*Preliminary
GOAL II. Attract and Graduate Outstanding Students

Construction Progress

- Four undergraduate residence halls under construction
- Total of 684 beds
- On target to open in Fall 2005

Indicator II-C: Open a new undergraduate residence hall, designed as a 21st century living/learning community.
GOAL II. Attract and Graduate Outstanding Students

Indicator II-D: Increase the first-to-second year retention rate of first-time, full-time, degree-seeking students to 83 percent.

First-to-second Year Retention Rate, Fall Semester (based on previous fall cohort)

Preliminary fall 2004 retention rate is 79.3 percent.


50% 60% 70% 80% 90%

83.0%
GOAL II. Attract and Graduate Outstanding Students

Indicator II-E: Exceed the predicted levels of attainment on indicators of quality undergraduate education, as reported by seniors on the National Survey of Student Engagement (NSSE).

<table>
<thead>
<tr>
<th>NSSE Scales</th>
<th>Predicted</th>
<th>Actual</th>
</tr>
</thead>
<tbody>
<tr>
<td>Level of Academic Challenge</td>
<td>52.9</td>
<td>54.4</td>
</tr>
<tr>
<td>Active and Collaborative Learning</td>
<td>45.8</td>
<td>46.2</td>
</tr>
<tr>
<td>Student-Faculty Interactions</td>
<td>39.1</td>
<td>41.5</td>
</tr>
<tr>
<td>Enriching Educational Experiences</td>
<td>45.9</td>
<td>46.7</td>
</tr>
<tr>
<td>Supportive Campus Environment</td>
<td>52.7</td>
<td>53.7</td>
</tr>
</tbody>
</table>

Predicted scores are based on a regression analysis of student and institutional characteristics.
GOAL II. Attract and Graduate Outstanding Students

Indicator II-F: Increase the six-year graduation rate to 60 percent.

Six consecutive new records have been set.
GOAL III. Attract, Develop and Retain a Distinguished Faculty

Indicator III-A: Increase the average faculty salary to at least 90 percent of the benchmark median.

Average Instructional Faculty Salaries increased 19.2 percent since 1997:

- Fall 1997 $58,660
- Fall 2003 $69,911

Regional Benchmarks 1997

Benchmark Comparisons began in 1998.
GOAL III. Attract, Develop and Retain a Distinguished Faculty

New Faculty Recruitment and Retention Initiatives
✓ Dual Career Hiring Fund
✓ Minority Recruitment Fund
✓ Faculty Retention Fund
✓ Provost Initiatives Fund
✓ Teaching Resources for Undergraduate Education (TRUE) program

Indicator III-B: Develop institutional policies and procedures that promote recruitment and retention of faculty and staff.
GOAL IV. Discover, Share and Apply New Knowledge

Indicator IV-A: Increase federal research expenditures, as reported in the National Science Foundation Survey of Research and Development Expenditures at Universities and Colleges, to at least $140 million.

From FY 1997 to FY 2003 federal research expenditures increased by 93 percent.
GOAL IV. Discover, Share and Apply New Knowledge

Indicator IV-C: Increase **doctoral degree enrollment** from 2,154 to 2,350; and increase **doctoral degree production** from 216 to 250.
GOAL IV. Discover, Share and Apply New Knowledge

Indicator IV-D: Increase postdoctoral scholars from 232 to 275.

Postdoctoral enrollment has increased 95 percent since 1997.
GOAL V. Nurture Diversity of Thought, Culture, Gender and Ethnicity

Indicator V: Meet the enrollment and persistence goals of the Kentucky Plan for Equal Opportunities in Higher Education and the employment goals of the University Affirmative Action Plan.

<table>
<thead>
<tr>
<th></th>
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<tbody>
<tr>
<td></td>
<td>Actual</td>
<td>% of Goal</td>
</tr>
<tr>
<td>Undergraduate Enrollment – 7%</td>
<td>5.9%</td>
<td>84%</td>
</tr>
<tr>
<td>First-year Student Retention – 77.6%</td>
<td>78.9%</td>
<td>102%</td>
</tr>
<tr>
<td>Undergraduate Retention – 78.9%</td>
<td>81.2%</td>
<td>103%</td>
</tr>
<tr>
<td>Six-year Graduation – 57.5%</td>
<td>46.4%</td>
<td>81%</td>
</tr>
<tr>
<td>Graduate Enrollment – 5.3%</td>
<td>6.9%</td>
<td>130%</td>
</tr>
</tbody>
</table>
GOAL V. Nurture Diversity of Thought, Culture, Gender and Ethnicity

<table>
<thead>
<tr>
<th>Affirmative Action Goals for African Americans (AA) and Females by Employment Category, Percent of Total</th>
<th>Fall 2002 Baseline</th>
<th>Fall 2003 Update</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Actual Data</td>
<td>% of Goal</td>
</tr>
<tr>
<td>AA Exec/Admin/Managerial – 5%</td>
<td>3.3%</td>
<td>66%</td>
</tr>
<tr>
<td>AA Faculty – 4%</td>
<td>3.5%</td>
<td>88%</td>
</tr>
<tr>
<td>AA Other Professional – 5%</td>
<td>4.0%</td>
<td>80%</td>
</tr>
<tr>
<td>Female Exec/Admin/Managerial – 41%</td>
<td>42.1%</td>
<td>102%</td>
</tr>
<tr>
<td>Female Faculty – 34%</td>
<td>31.4%</td>
<td>92%</td>
</tr>
</tbody>
</table>

Indicator V: Meet the enrollment and persistence goals of the Kentucky Plan for Equal Opportunities in Higher Education and the employment goals of the University Affirmative Action Plan.
GOAL VI. Elevate the Quality of Life for Kentuckians

Indicator VI-B: Secure authorization and design a strategic clinical facility.

Design for a strategic clinical facility for UK Hospital – a new patient bed tower – has been authorized and the design planning stage is underway.
GOAL VI. Elevate the Quality of Life for Kentuckians

Indicator VI-D: Increase the number of patent applications by 10 percent.
GOAL VI. Elevate the Quality of Life for Kentuckians

Five new start-up companies in 2003-04:
• Artemetrx, LLC – health care software
• Naprogenix, Inc. – pharmacological screening
• Sentechbiomed, Inc. – sensor technologies
• Mersive Technologies, LLC – self-calibrating rendering and display systems
• Allylix, Inc. – uses of plant-derived enzymes

• One new start-up in 2002-03
• Three new start-ups in 2001-02
• One new start-up in 2000-01

Indicator VI-E: Increase the number of start-up companies to two per year.
GOAL VI. Elevate the Quality of Life for Kentuckians

Areas Critical to Kentuckians
- Agriculture
- Arts & Cultural Studies
- Economic Development
- Education
- Energy & the Environment
- Family & Social Services/Sciences
- Math, Science & Engineering
- Public Health & Medical Services
- Oral Health & Science

Indicator VI-F: Increase public service expenditures, particularly extramural grant-supported expenditures, in areas critical to improving the lives of Kentuckians.
The Dream & the Challenge
2003-04 Summary of Progress

Goal achieved for 5 key indicators:
- Six-year graduation rate
- NSSE results
- Postdoctoral scholars enrollment
- Patent applications
- Start-up companies

- Good progress on 17 key indicators
- Little or no progress on 4 key indicators