

# UK's Periodic Review ERC Orientation

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Planning & Institutional Effectiveness



# Periodic Review Orientation Overview

- Institutional Effectiveness & Assessment
- Periodic review overview
- Periodic review components: self study, external review, and implementation plan

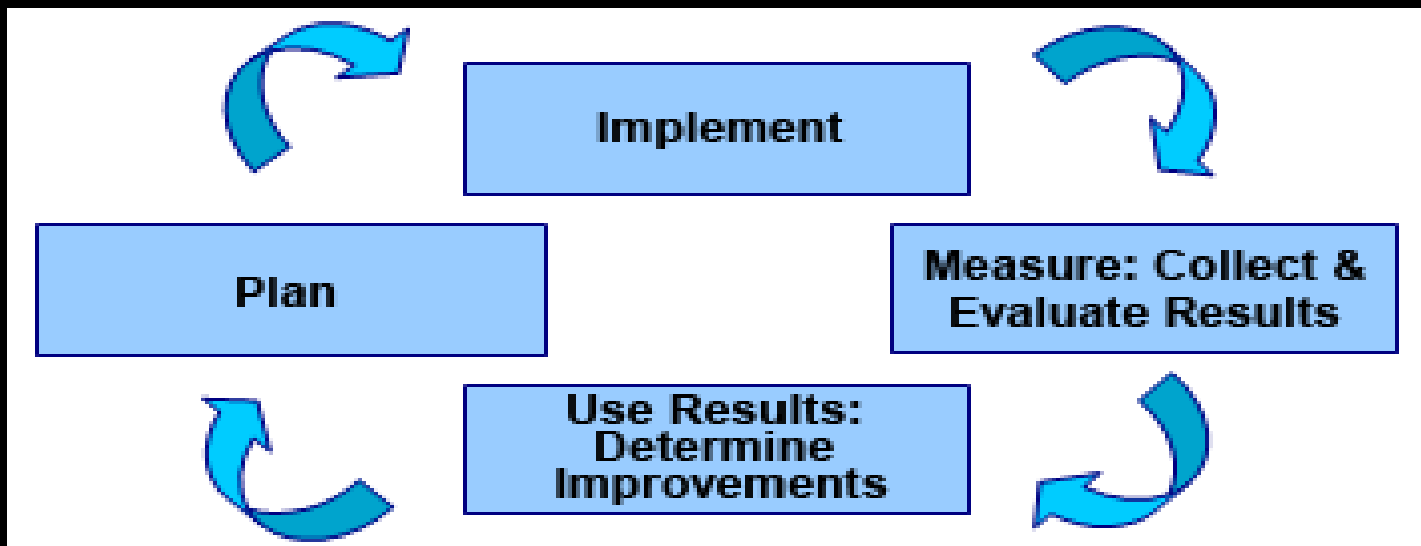
# What is institutional effectiveness?

“The institution engages in ongoing, integrated, and institution-wide **research-based planning and evaluation processes** that incorporate a systematic review of programs and services that (a) results in continuing improvement and (b) demonstrates that the institution is effectively accomplishing its mission”  
*(SACSCOC Core Requirement 2.5).*

# What is assessment?

*Assessment is the process by which ...*

“the institution **identifies expected outcomes** for its educational programs and its administrative and educational support services; **assesses whether it achieves these outcomes**; and **provides evidence of improvement** based on analysis of those results”  
*(SACS Comprehensive Standard 3.3.1).*



# How do we use assessment at UK?

“Assessment is used to monitor the University’s effectiveness in achieving its mission, vision, and goals. The University and its units shall **demonstrate an explicit use of assessment results to facilitate resource allocation and budgeting decisions in support of their strategic plans and to ensure quality enhancement**” (UK AR 1:4)

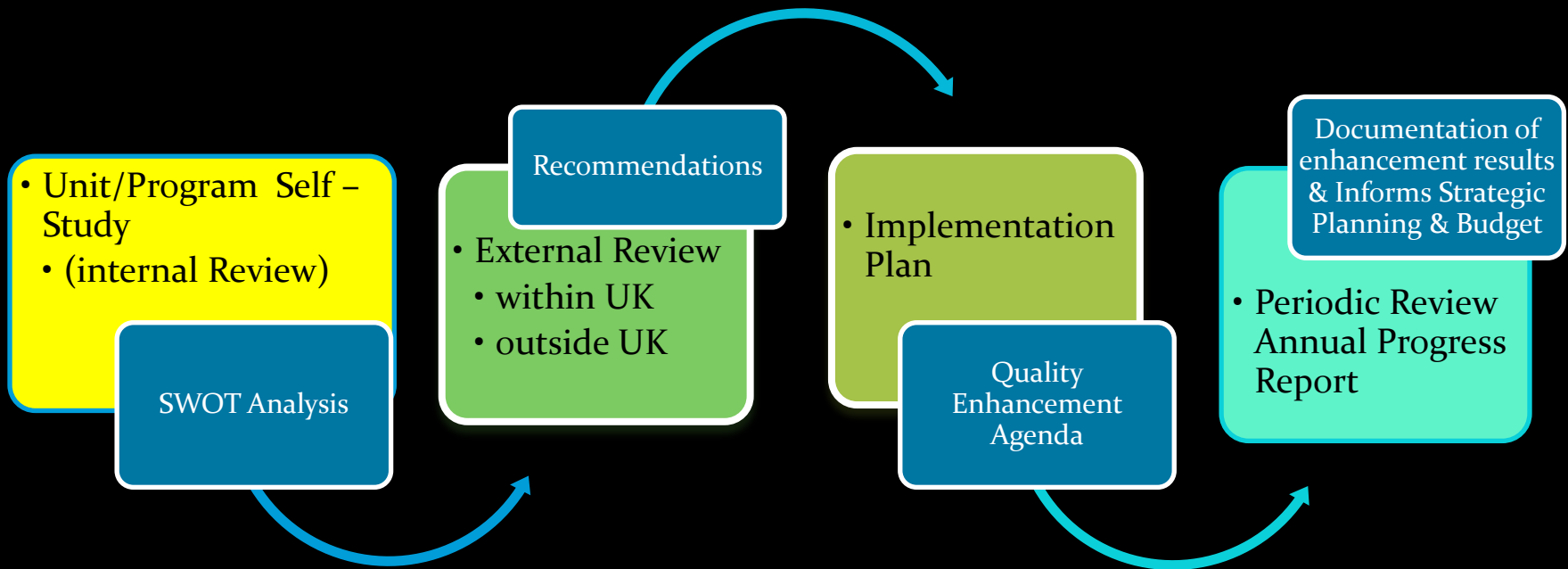
# What does UK's periodic review look like?

- **6 yr Periodic Review** : the primary vehicle for assessment of educational and administrative units and for documentation of institutional effectiveness (AR 1:4).
  - **254 Units participate in Periodic Review**
    - 113 Administrative and Educational Support units
    - 16 Colleges, Library, and Graduate School
    - 95 Academic departments ( 365 Academic degree & certificate programs)
    - 28 Research Centers & Institutes

# What are the purposes of periodic review?

Periodic Review Purposes	Planning	Assessment	Budget	Other
<b>University</b> <ul style="list-style-type: none"> <li>•Governing Regulations I-X</li> <li>•Administrative Regulations</li> <li>•University Senate Rules</li> </ul>	√	√	√	IE
<b>State</b> (Legislature, CPE)		√	√	
<b>SACS</b>				IE
<b>External Constituencies</b> (e.g., Accrediting Agencies)		√		

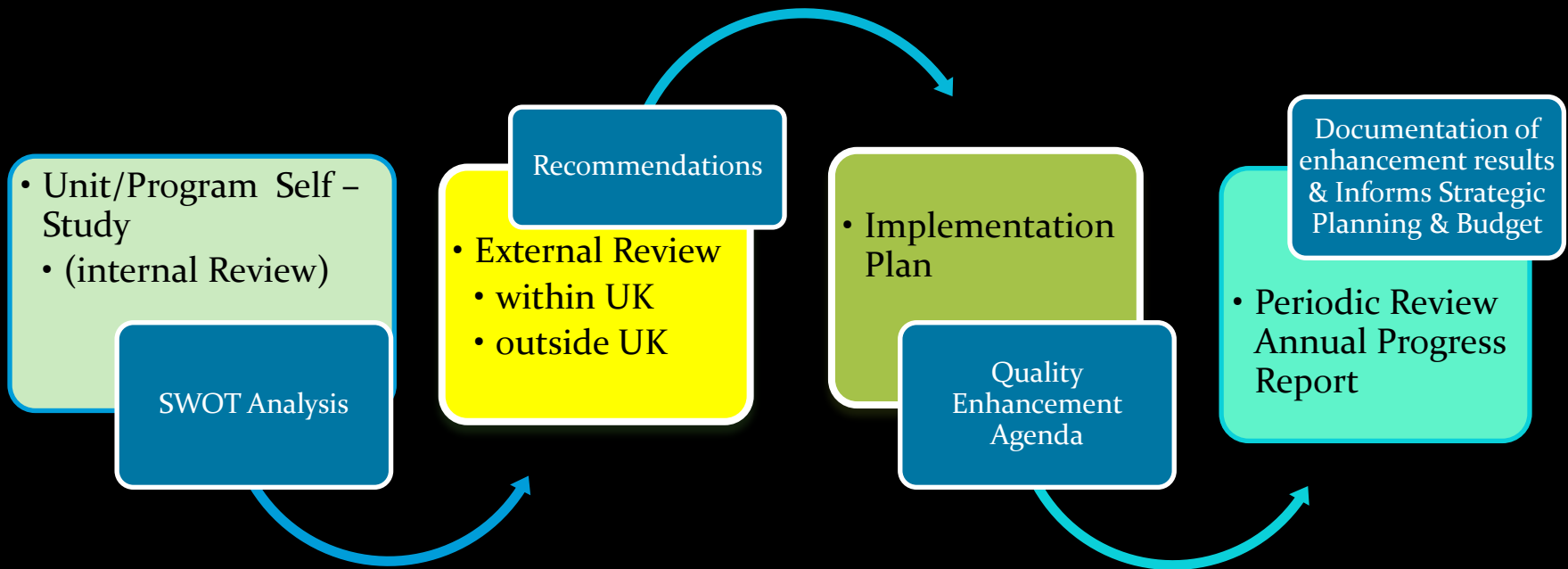
# What are the components of UK's periodic review process?





<b>Unit/Program Self-Study</b>	<b>CPE</b>	<b>SACS</b>	<b>UK</b>	<b>Accredited Units</b>
<b>Centrality to University Mission</b>	√	√	√	√
<b>Demand/Unnecessary Duplication</b>	√	√	√	√
<b>Productivity/Quality and Student Success</b>	√	√	√	√
<b>Program Resources/ Cost and Funding</b>	√	√	√	√
<b>Evaluation and Assessment</b>	√	√	√	√

# What are the components of UK's periodic review process?



# What is the focus of the External Review?

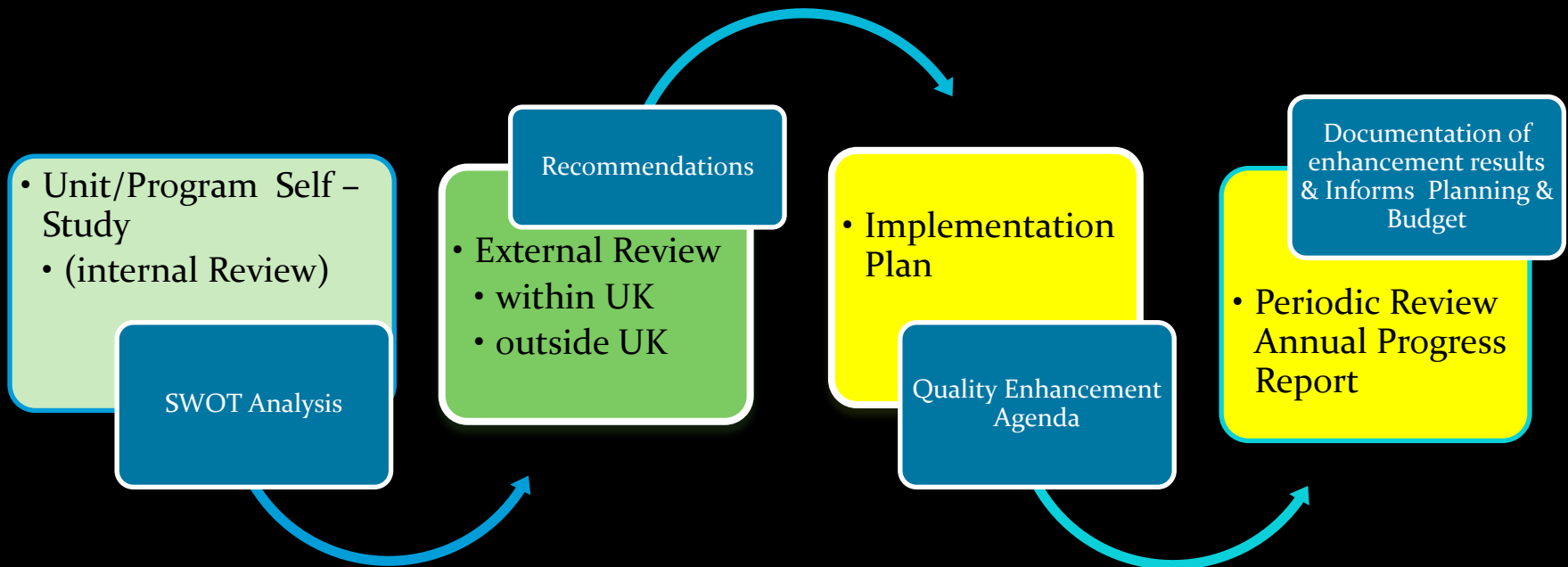
- Examination of the self-study/accreditation report
- Use of appropriate data collection techniques to assure objectivity;
- Assessment of the validity of conclusions reached in self-study; and
- Identification of additional strengths and recommendations for quality enhancement.

# How is the External Review conducted?

**External Review Committee** (comprised of members outside the unit and UK)

- 6-8 Appointed Members
  - Appointed by Dean (educational departments and degrees)
  - Appointed by Provost (College-wide)
  - Majority Faculty (Educational Units)
  - Conducts external review, meets with unit and its leadership to discuss preliminary findings and writes report.

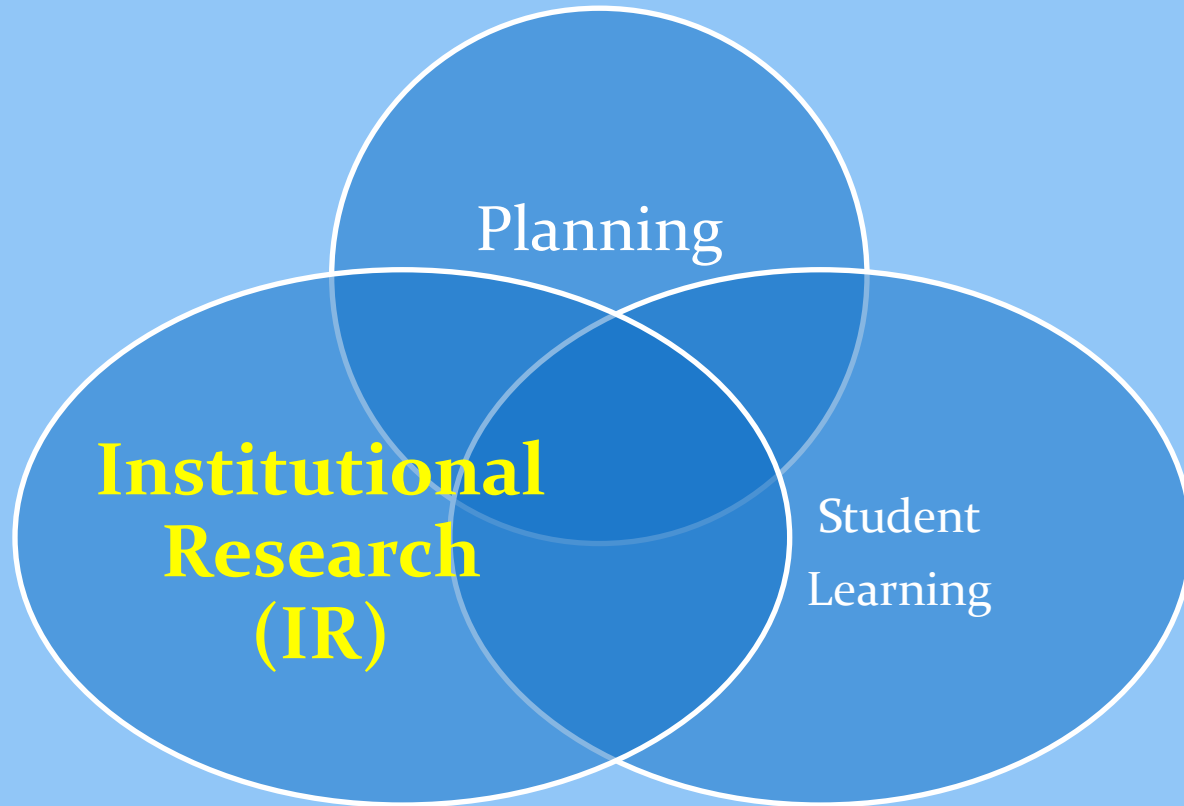
# What are the components of UK's periodic review process?



# What are the purposes of the Periodic Review Implementation Plan?

- sets agenda for change and quality enhancement over the next 3-5 year cycle.
- defines unit agenda based on self-study and external review report/recommendations.
- used by unit to document future plans and resource needs for consideration in budgetary decision-making.
- folds into university planning and annual progress reporting.
- supports shared governance--developed by unit faculty/staff/and unit head and approved by unit Chief Administrative Officer (CAO).

# The Role of Institutional Research in Periodic Review



# What is the role of IR?

- Institutional Research & Advanced Analytics utilizes state-of-the-art methods and technologies to support strategic University decisions. We analyze institutional effectiveness, study past trends, complete various analyses, deliver visualization and dashboards, monitor data quality and release official institutional data to external stakeholders.



# What IR sources inform Periodic Review?

**Student-Related Data:** <http://www.uky.edu/iraa>

- Freshman Profile (ACT Scores & High School GPAs)
- Headcount Enrollments (10 years)
- Degrees Conferred (10 years)
- Retention and Graduation Rates
- Surveys
  - Senior
  - Alumni
  - NSSE
  - Campus Climate
- Licensure Exam Results (will soon post)
- Other Resources [www.uky.edu/iraa/resources-support](http://www.uky.edu/iraa/resources-support)

# What IR sources inform Periodic Review?

**Faculty-Related Data: <http://www.uky.edu/iraa>**

- Course History Information (total enrolled, # of sections)
- Student Credit Hours (by semester and course level)
- Teacher/Course Evaluations
- Instructional Faculty Salaries (by CIP code, rank and gender)

# Institutional Data Sources

Institutional data compiled by Institutional Research/Advanced Analytics can be found at:

<http://www.uky.edu/iraa/>

Teacher Course Evaluation Data can be found at:

<http://www.uky.edu/iraa/faculty/tce>

# Contact Information

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