March 2017

To Whom It May Concern:

In order to transfer a mutual fund to the University of Kentucky a shell account will need to be set up at the fund company where your shares are held. Our custodian bank, Northern Trust, will complete this process on behalf of the university.

To facilitate this process, please complete the mutual fund transfer information below and send by mail, fax or e-mail to:

UK Office of Philanthropy
ATTN: Angelica Prekopa, Associate Director of Gift Receiving
100 William B. Sturgill Building, Lexington, KY 40506-0015
Fax#: (859) 323-9881
E-mail address: a.prekopa@uky.edu

If you have any questions or need additional information, please contact Angelica Prekopa by phone at (859) 257-4761, or toll-free at (800) 875-6272.

Sincerely,

UK Office of Philanthropy

_______________________________________________________________________________

Today’s Date: _____________________________________________

Mutual Fund Name: _________________________________________

Symbol: ___________________________________________________

CUSIP #: _________________________________________________

Number of Shares or $$ Amount: ______________________________

Name of Shareholder: _______________________________________

Contact Person: _____________________________________________

Contact Phone #: ___________________________________________

Contact E-mail Address: ______________________________________

Donor Name(s): _____________________________________________

Gift Designation: ___________________________________________