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Office of the President
June 21, 2018

Members, Audit and Compliance Committee:

PROPOSED INTERNAL AUDIT FISCAL YEAR 2019 WORK PLAN

Recommendation: That the proposed Internal Audit Fiscal Year 2019 Work Plan be approved for the first quarter of the 2019 Fiscal Year.

Background: Per the Internal Audit Charter, the Committee is required to review and approve the Internal Audit Work Plan at least annually. The first quarter Work Plan is attached.

Action taken: Approved Disapproved Other _____

Proposed Internal Audit Fiscal Year 2019 Work Plan (First Quarter)

I	Compliance Program	Assignments	Description
1	Current Activity	0	Carry Forward Activities
2	Process Validation	2	Unannounced / Surprise Audits-Planned
3	Transaction Validation	2	Data Mining Exceptions (Section III.2)
II	Business / Operations	Assignments	Description
1	Current Activity	5	Carry Forward Activities
2	New Activities	multiple	Shrinkage, Timekeeping, Contracts, and Monitoring
III	Information Technology	Assignments	Description
1	Current Activity	5	Carry Forward Activities
2	Compliance Program	4	Application Configuration, IT Security, and Data Mining
3	Business/Operations	4	Evaluations based on CoBit Model
4	New Activities	multiple	System Backups, Application, Deprovision, and Monitoring
IV	UKIA Continuous Activities	Assignments	Description
1	Follow-Up Reviews	4	Audit Process and Infrastructure Improvements
2	Investigations	multiple	Proactive and Reactive Inquires