



FACT BOOK 2015-2016

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UNIVERSITY OF KENTUCKY

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January 2016

We are proud to publish the 2015-16 Fact Booklet—a compendium of current statistics about people and programs at the University of Kentucky. In accordance with KRS 164.250, this booklet provides important data to our stakeholders and the general public, including information on enrollment management; retention and graduation rates; research contracts, grants and expenditures; faculty salaries; and a summary of the 2015-2016 Operating and Capital Budget.

For the eighth year in a row, UK set a record for total enrollment last fall. More than 30,700 students are now pursuing an advanced degree or credential at the University of Kentucky. Nearly 30 percent of UK undergraduates came to our campus from outside of Kentucky, including 38 percent of the freshman class. The size of the first-year class (5,211), the largest in UK's history, included another record high—bringing the total number of National Merit, National Achievement, and National Hispanic Finalists enrolled on our campus to 395. We also saw our highest-to-date retention rate, at 82.7 percent.

From new residence halls that are transforming the student living experience to classrooms and research space that advances learning and discovery, the University of Kentucky is becoming a national model for a thriving, public residential research campus.

Further underscoring the commitment to create the best possible academic experience for students, the university announced in October the single largest gift in UK's history — \$23 million to create one of the country's leading Honors programs. In the last five years, at the direction of President Capilouto and the Board of Trustees, participation in the

University's Honors Program has nearly doubled as part of an effort to enhance the undergraduate educational experience, while also improving retention and graduation rates. Construction is underway on a residence hall to house the Lewis Honors College, located at the corner of University and Hilltop Drives.

Construction is nearly complete on the new Academic Science Building, a \$122 million facility which will open in fall 2016. This state-of-the-art facility, home to innovative lecture halls and groundbreaking TEAL (Technology Enabled Active Learning) classrooms, will revamp science education at UK.

These priorities embolden our mission as a renowned flagship and land grant research university. They bolster our commitment to Kentucky – a covenant that has never been more vital. As we continue our work together we are building a stronger University of Kentucky—or better yet, a stronger University for Kentucky—and through it, a better future for our state.

Sincerely,

A handwritten signature in black ink, reading "Eli A. Capilouto". The signature is written in a cursive, flowing style with a large initial "E" and "C".

Eli Capilouto
President

MISSION

The University of Kentucky is a public, land grant university dedicated to improving people's lives through excellence in education, research and creative work, service and health care. As Kentucky's flagship institution, the University plays a critical leadership role by promoting diversity, inclusion, economic development and human well-being.

The University of Kentucky:

- Facilitates learning, informed by scholarship and research;
- Expands knowledge through research, scholarship and creative activity; and
- Serves a global community by disseminating, sharing and applying knowledge.

The University, as the flagship institution, plays a critical leadership role for the Commonwealth by contributing to the economic development and quality of life within Kentucky's borders and beyond. The University nurtures a diverse community characterized by fairness and equal opportunity.

VISION

The University of Kentucky will be one of the nation's 20 best public research universities.

-- The University of Kentucky Board of Trustees adopted the amended the Vision and Mission Statements on January 23, 2007 and modified it on March 27, 2012.

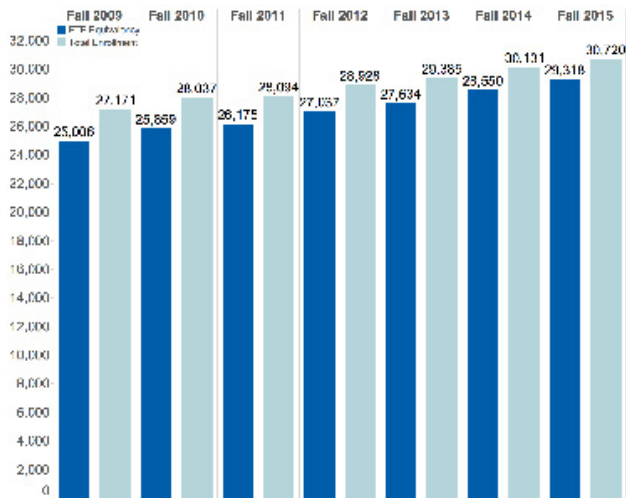
ENROLLMENT - Headcount and Full-time Equivalent

Fall 2015 Headcount

Level	Full-time	Part-time	Total
Undergraduate	21,199	1,506	22,705
Graduate*	5,745	1,277	7,022
Subtotal (IPEDS)	26,944	2,783	29,727
UG Auditors	0	56	56
Postdoctoral	248	1	249
House Staff	688	0	688
Total (CPE)	27,880	2,840	30,720

FTE calculations have been revised in accordance with CPE guidelines. The new method uses a formula that weights the number of student credit hours by students' degree level.

*Note: Beginning in 2009-10, federal reporting guidelines for enrollments eliminated the first-professional degree category and established a new Doctor's Degree - Professional Practice category. These degree programs are now subsumed under the graduate classification.



ENROLLMENT – Fall 2015 at a Glance

		<u>% of Total</u>
Men	14,298	46.5
Women	16,422	53.5
Total	30,720	100.0

Full-time	27,880	90.8
Part-time	2,840	9.2
Total	30,720	100.0

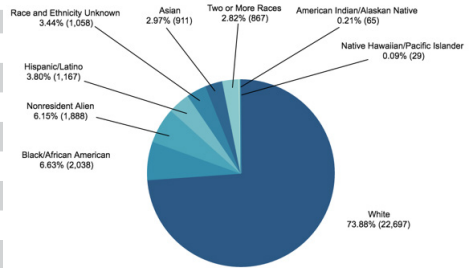
Resident	20,887	68.0%
Non-resident	9,833	32.0%
Total	30,720	100.0%

		<u>% of Total</u>
Freshmen	6,260	20.4
Sophomores	4,823	15.7
Juniors	4,788	15.6
Seniors	6,376	20.8
UG Non-degree	514	1.7
Subtotal Undergraduate	22,761	74.2
Master/Specialist	2,351	7.7
Doctoral*	4,316	14.0
Graduate Non-degree	355	1.2
Subtotal Graduate	7,022	22.9
House Staff/Post Doctoral	937	3.1
Total	30,720	100.0

*Note: Beginning in 2009-10, federal reporting guidelines for enrollments eliminated the first-professional degree category and established a new Doctor's Degree - Professional Practice category. These degree programs are included under the Doctoral classification.

ENROLLMENT - Fall 2015 by Race/Ethnicity

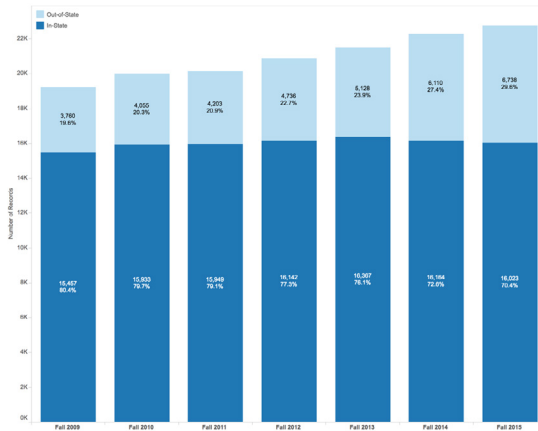
	<u>Undergrad.</u>	<u>Graduate</u>	<u>Post-Doc</u>	<u>House Staff</u>	<u>Total</u>
Black/African American	1,701	319	3	15	2,038
American Indian/Alaskan Native	41	23	0	1	65
Asian	569	246	20	76	911
Native Hawaiian/Pacific Islander	26	3	0	0	29
Hispanic/Latino	954	188	3	22	1,167
Nonresident Alien	785	938	144	21	1,888
White	17,268	4,856	72	501	22,697
Two or more races	770	95	2	0	867
Race and Ethnicity Unknown	647	354	5	52	1,058
Total	22,761	7,022	249	688	30,720



Total = 30,720

UNDERGRADUATES – by Residency Status

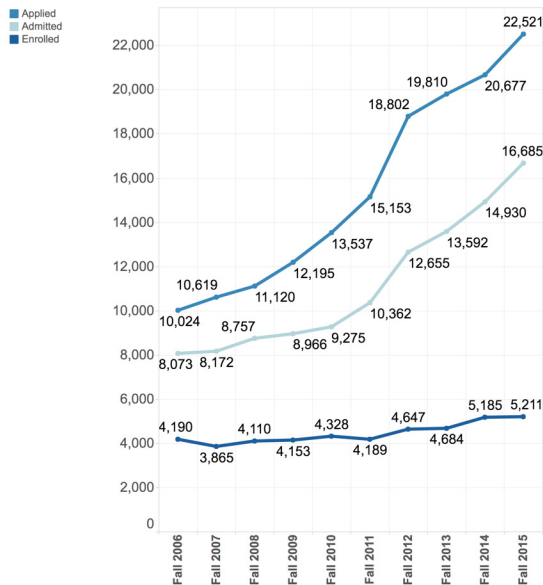
<u>Year</u>	<u>In-State Residents</u>	<u>Out-of-State Students</u>
Fall 2015	16,023	6,738
Fall 2014	16,164	6,110
Fall 2013	16,367	5,128
Fall 2012	16,142	4,736
Fall 2011	15,949	4,203
Fall 2010	15,933	4,055
Fall 2009	15,457	3,760



APPLIED, ADMITTED and ENROLLED

First-year Students Fall 2015

Applied	22,521
Admitted	16,685
Enrolled	5,211
Admit. Rate	74.1%
Yield Rate	31.2%



FIRST-YEAR STUDENT PROFILE

	<u>Fall</u> <u>2011</u>	<u>Fall</u> <u>2012</u>	<u>Fall</u> <u>2013</u>	<u>Fall</u> <u>2014</u>	<u>Fall</u> <u>2015</u>
Total	4,139	4,647	4,684	5,185	5,211
Female	2,194	2,498	2,623	2,900	2,994
Black or African-American ^a	422	533	553	550	557
Out-of-State	1,073	1,442	1,401 ^b	1,932	1,982
International	56	110	95	131	97
Full-Time	4,082	4,588	4,619	5,144	5,167
Governor's Scholars and Governor's School for the Arts	394	427	417	384	410
Merit Scholars	32	70	105 ^c	113 ^c	117 ^c
Valedictorians	154	208	182	170	156

^a This number includes students who reported two or more races, one of which was "Black or African American".

^b Includes International Students.

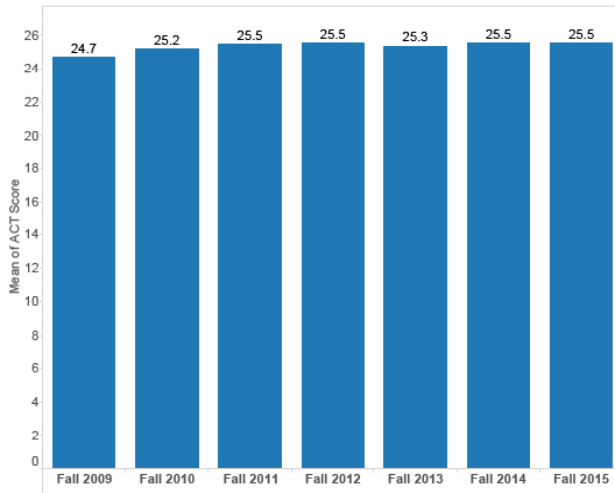
^c Number includes National Merit, National Achievement and National Hispanic Finalists



ACT Scores – Entering First-year Students

<u>Year</u>	<u>N</u>	<u>Mean</u>	<u>25th/75th Percentile</u>
Fall 2015	4,788	25.5	22/28
Fall 2014	4,707	25.5	21/28
Fall 2013	4,326	25.3	22/28
Fall 2012	4,240	25.5	23/28
Fall 2011	3,865	25.5	23/28
Fall 2010	4,049	25.2	22/28
Fall 2009	3,906	24.7	22/28

Note: Some students submit SAT scores rather than ACT scores. These students' scores are not reflected in the table and graph.

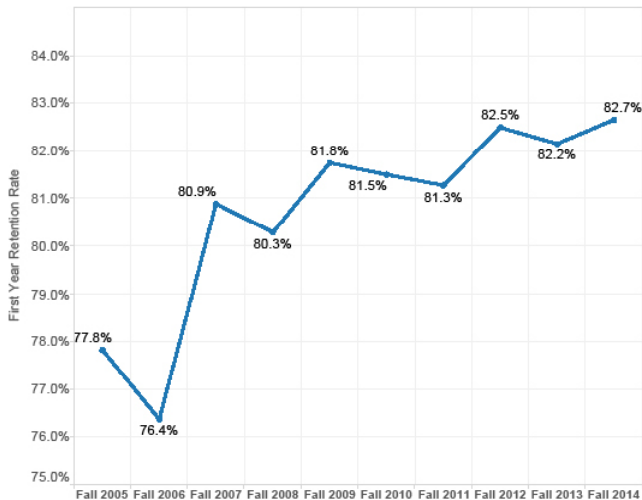


RETENTION RATE

First-to-second Year Retention Rate*

<u>Cohort</u>	<u>Retention Rate</u>
Fall 2014	82.7%
Fall 2013	82.2%
Fall 2012	82.5%
Fall 2011	81.3%
Fall 2010	81.5%
Fall 2009	81.8%
Fall 2008	80.3%
Fall 2007	81.0%
Fall 2006	76.4%
Fall 2005	77.8%

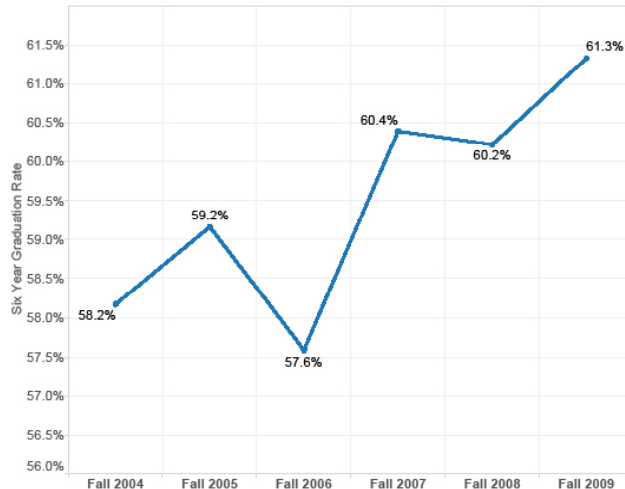
*Retention rates apply to first-time, full-time, degree-seeking students.



GRADUATION RATE

Six-year Graduation Rate*

<u>Cohort</u>	<u>Graduation Rate</u>
Fall 2009	61.3%
Fall 2008	60.2%
Fall 2007	60.4%
Fall 2006	57.6%
Fall 2005	59.2%
Fall 2004	58.2%

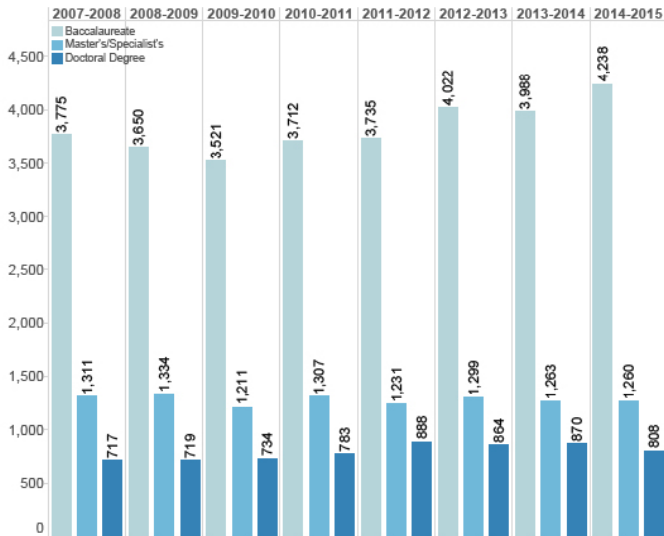


*Graduation rates apply to first-time, full-time, degree-seeking students.

DEGREES CONFERRED

Academic Year 2014-2015

Baccalaureate	4,238
Master's/Specialist's	1,260
Doctor's Degree	808



Note: Academic Year is defined as Fall - Winter
- Spring - Summer.

DEGREES CONFERRED - By College

Academic Year 2014-2015

<u>College</u>	<u>Bacc.</u>	<u>Mast.*</u>	<u>Doc.**</u>
Agriculture	647	90	29
Arts & Sciences	1,020	146	99
Business & Economics	631	164	13
Comm. & Information	370	105	10
Dentistry	-	7	56
Design	72	19	-
Education	420	198	35
Engineering	439	76	52
Fine Arts	114	29	11
Grad. School	-	73	4

<u>College</u>	<u>Bacc.</u>	<u>Mast.*</u>	<u>Doc.**</u>
Health Sciences	66	110	69
Law	-	-	124
Medicine	-	37	141
Nursing	217	-	24
Pharmacy	-	-	144
Public Health	-	81	15
Social Work	55	96	2
Total	4,051	1,231	828

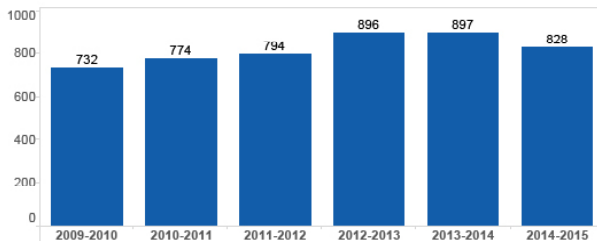
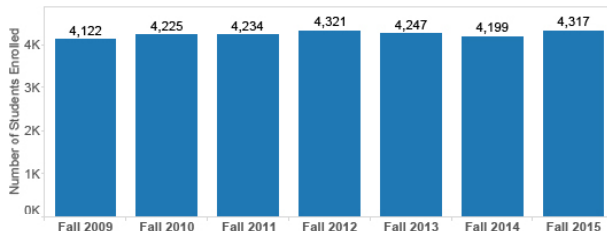
* Includes Specialist degrees

** Includes Doctor's Degrees (Research/Scholarship) and Doctor's Degrees (Professional Practice)\

Note: Academic Year is defined as Fall - Winter - Spring - Summer.

DOCTORATES - Enrollments and Degrees Awarded

<u>Year</u>	<u>Fall Enrollment</u>	<u>Degrees Awarded</u>
2015-16	4,317	-
2014-15	4,199	828
2013-14	4,247	897
2012-13	4,319	896
2011-12	4,234	794
2010-11	4,225	774
2009-10	4,122	732



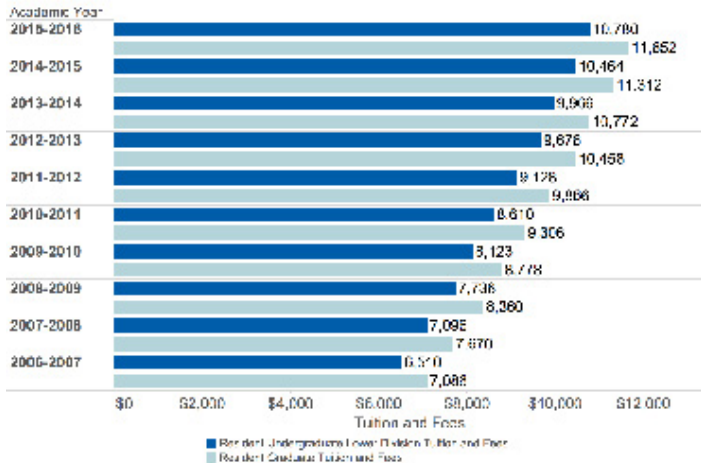
Note 1: Federal reporting guidelines have eliminated the first-professional degree category and established a new Doctor's Degree - Professional Practice category. Doctoral enrollments and degree recipients have been revised to include enrollments and degrees from former first-professional programs.

Note 2: Enrollments are based on the fall semester of a given academic year. The number of degree recipients for 2015-16 is not yet available.

ANNUAL TUITION and FEES

2015-2016

<u>Resident</u>	<u>Tuition</u>	<u>Fees</u>
Graduate	\$ 10,403	\$ 1,249
Undergraduate		
- Lower Division	9,531	1,249
- Upper Division	9,843	1,249
<u>Non-resident</u>	<u>Tuition</u>	<u>Fees</u>
Graduate	\$ 24,905	\$ 1,249
Undergraduate		
- Lower Division	22,855	1,249
- Upper Division	23,183	1,249



Note 1: The undergraduate tuition rate in the graph applies to lower division students.

Note 2: Several graduate programs (e.g., MBA) have differential tuition rates.

FULL-TIME EMPLOYEES

2015-2016

<u>Full-Time Employees</u>	President's Office	Educational Units	Provost		Health Affairs	Research	Total	% Total
			Administration/ Support	Finance and Administration				
Exec./Admin./Managerial	48	225	88	77	127	38	603	4.6%
Faculty	0	2,348	14	0	0	1	2,363	18.0%
Library Faculty	0	6	49	0	0	0	55	0.4%
Other Professional	224	2,073	401	256	1,730	318	5,003	38.1%
Secretarial/Clerical	73	1,144	198	155	711	50	2,331	17.7%
Tech./Paraprofessional	24	833	37	137	461	41	1,542	11.7%
Skilled Crafts	7	22	2	136	0	3	170	1.3%
Service/Maintenance	9	189	17	660	170	31	1,078	8.2%
Total	385	6,840	806	1,421	3,199	482	13,145	100.0%

Note 1: Percentages may not total properly due to rounding.

Note 2: The President's Office includes staff from the Athletics Department and the Offices of the General Counsel, Institutional Advancement, and Commercialization and Economic Development.

Note 3: Starting in 2006-07, library faculty are reported to the federal government in the other professional category. For this publication, library faculty are classified separately.

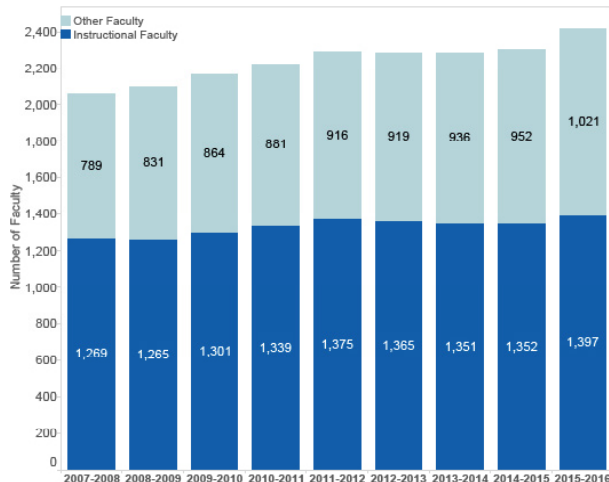
FULL-TIME EMPLOYEE DEMOGRAPHICS

2015-2016

<u>Employee Category</u> <u>(Full-Time)</u>	<u>Black or African</u> <u>American</u>	<u>American In-</u> <u>dian or Alaskan</u> <u>Native</u>	<u>Asian</u>	<u>Native Hawaiian</u> <u>or Other Pacific</u> <u>Islander</u>	<u>Hispanic/Latino</u>	<u>White</u>	<u>Multiple</u>	<u>Unknown</u>	<u>Female</u>	<u>Male</u>
Executive/Administrative/Managerial	24	0	10	0	4	563	2	0	282	321
Faculty	86	2	309	7	70	1,873	16	0	929	1,434
Library Faculty	1	0	2	0	0	52	0	0	39	16
Other Professional	229	2	217	5	60	4,449	40	1	3,270	1,733
Secretarial/Clerical	275	2	22	1	29	1,984	18	0	2,032	299
Tech./Paraprofessional	127	2	59	1	27	1,316	10	0	970	572
Skilled Crafts	18	0	1	0	0	150	1	0	3	167
Service/Maintenance	306	0	15	1	7	743	6	0	390	688
Total	1,066	8	635	15	197	11,130	93	1	7,915	5,230

FULL-TIME FACULTY - Historical Trends

	Instructional Faculty*	Other Faculty
2015-2016	1,397	1,021
2014-2015	1,352	952
2013-2014	1,351	936
2012-2013	1,365	919
2011-2012	1,375	916
2010-2011	1,339	881
2009-2010	1,301	864
2008-2009	1,265	831
2007-2008	1,269	789



*Note: Instructional faculty in preclinical and clinical medicine are not included.

FACULTY DEMOGRAPHICS

Tenured and Tenure-track Faculty 2015-2016

	<u>Black or African American</u>		<u>Asian</u>		<u>Hispanic/Latino</u>		<u>White</u>		<u>Multiple</u>		<u>American Indian or Alaskan Native</u>		<u>Native Hawaiian or Other Pacific Islander</u>		<u>Total</u>
	<u>Male</u>	<u>Female</u>	<u>Male</u>	<u>Female</u>	<u>Male</u>	<u>Female</u>	<u>Male</u>	<u>Female</u>	<u>Male</u>	<u>Female</u>	<u>Male</u>	<u>Female</u>	<u>Male</u>	<u>Female</u>	
Professors/Lib. I	6	5	68	10	6	3	421	143	0	0	0	0	0	0	662
Associate Professors/Lib. II	17	14	39	22	5	8	260	171	4	1	0	0	1	0	542
Assistant Professors/Lib. III	7	14	43	20	16	7	147	131	2	6	1	0	0	0	394
Instructors/Lib. IV	0	0	0	0	0	0	1	0	0	0	0	0	0	0	1
Total	30	33	150	52	27	18	829	445	6	7	1	0	1	0	1,599

FACULTY - By Rank and Title Series: 2015-2016

Tenured/Tenure Track

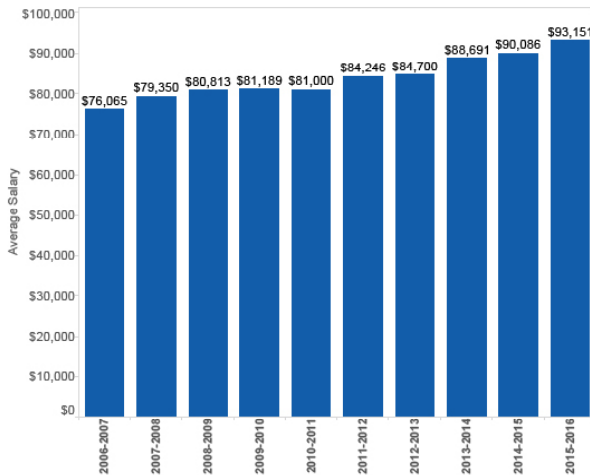
<u>Rank</u>	<u>Regular</u>	<u>Librarian</u>	<u>Extension</u>	<u>Spec. Title</u>	<u>Total</u>
Professors/Lib. I	520	19	38	85	662
Associate Professors/Lib. II	397	27	16	102	542
Assistant Professors/Lib. III	295	9	13	77	394
Instructors/Lib. IV	1				1
Total	1,213	55	67	264	1,599

Non-tenure Track

<u>Rank</u>	<u>Clinical</u>	<u>Research</u>	<u>Lecturer</u>	<u>Other</u>	<u>Total</u>
Professors	41	3		2	46
Associate Professors	101	19		1	121
Assistant Professors	344	68		4	416
Instructors	34		175	27	236
Total	520	90	175	34	819

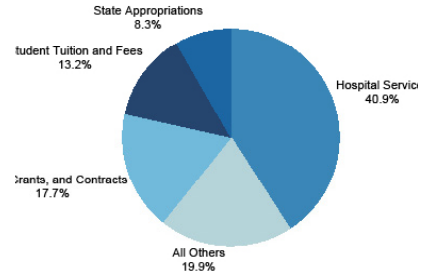
FACULTY SALARIES

<u>Fiscal</u> <u>Year</u>	<u>All-ranks</u> <u>Average Salary</u>
2015-2016	\$ 93,151
2014-2015	90,086
2013-2014	88,691
2012-2013	84,700
2011-2012	84,246
2010-2011	81,000
2009-2010	81,189
2008-2009	80,813
2007-2008	79,350
2006-2007	76,065



REVENUE (In Millions)

Source of Funds	Revised Budget	Budget
	2014-15	2015-16
State Appropriations	\$279.6	\$279.6
Student Tuition and Fees	412.1	446.7
County Appropriations	22.8	23.2
Endowment and Investment Income	23.9	25.7
Federal Appropriations	17.2	18.4
Gifts, Grants and Contracts	560.8	598.3
Recoveries of Facilities and Admin. Costs	43.5	44.0
Sales and Services	48.8	51.1
Hospital Services	1,169.2	1,380.6
Auxiliary Enterprises	151.9	170.7
Appropriated Fund Balances - University	281.7	318.8
Other	5.2	11.3
Total	\$3,016.7	\$3,368.4



EXPENDITURES (In Millions)

<u>Program</u>	<u>Revised Budget 2014-15</u>	<u>Budget 2015-16</u>
Instruction	\$431.8	\$430.3
Research	301.4	324.0
Public Service	356.6	398.1
Libraries	26.2	26.5
Academic Support	98.9	89.5
Student Services	39.7	43.7
Institutional Support	151.7	172.4
Operations and Maintenance	75.7	80.7
Student Financial Aid	169.6	186.6
Auxiliary Enterprises	152.6	161.0
Hospital Services	1,064.9	1,272.4
Transfers		
Capital Transfers	70.7	107.1
Mandatory Transfers	76.9	76.2
Total	\$3,016.7	\$3,368.4

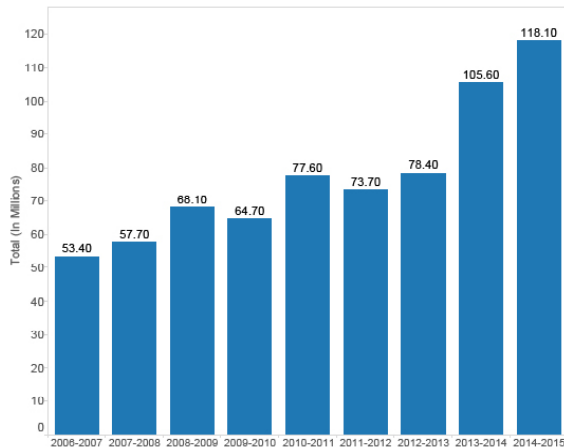
<u>Category</u>	<u>Revised Budget 2014-15</u>	<u>Budget 2015-16</u>
Personnel Services	\$1,447.6	\$1,543.2
Operating Expenses	1,210.7	1,412.6
Financial Aid	169.6	186.6
Capital Outlay	41.2	42.7
Transfers		
Capital Transfers	70.7	107.1
Mandatory Transfers	76.9	76.2
Total	\$3,016.7	\$3,368.4

PRIVATE GIVING (In Millions)

Fiscal Year 2014-2015

Alumni	\$ 28.8
Non-alumni	22.3
Corporations	24.0
Foundations	23.8
Organizations	18.5
Total	\$118.1*

*Source amounts do not total properly due to rounding.



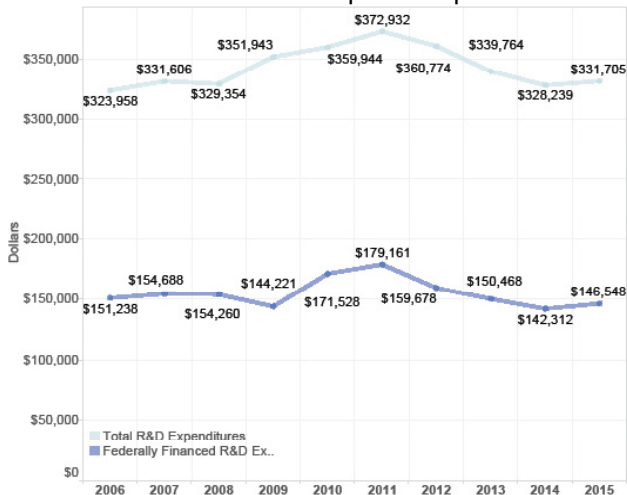
RESEARCH and DEVELOPMENT (In Thousands)

Fiscal Year	Total R&D Expenditures*	Federally Financed R&D Expenditures*
2015	\$ 331,705	\$ 151,238
2014	328,239	\$ 142,312
2013	339,764	150,468
2012	360,774	159,678
2011	372,932	179,161
2010**	359,944	171,528
2009	351,943	144,221
2008	329,354	154,260
2007	331,606	154,688
2006	323,958	151,238

*As reported to the National Science Foundation for science and engineering disciplines; expenditures for FY 2008 and FY 2009 have been revised.

** The FY 2010 Expenditure amount includes all fields, not just science and engineering.

Research and Development Expenditures



UNIVERSITY ENDOWMENT (In Thousands)

<u>Fiscal Year</u>	<u>Market Value</u>
2015	\$ 1,096,112
2014	1,090,855
2013	957,784
2012	868,316
2011	881,860
2010	748,676
2009	668,008
2008	871,861
2007	916,590
2006	746,114

Note: In 2006, the Chandler Medical Center University Hospital Quasi-Endowment Fund for \$150 million was established, accounting for the large increase over the 2005 total.

Market Value of University Endowment



LIBRARY COLLECTIONS

UK Libraries serves all students, faculty, and staff of the university, as well as the population of Kentucky. Twelve libraries on campus are home to more than 4.6 million volumes, with more than 400 licensed networked electronic databases, a large collection of e-journals and more than 1.1 million electronic books accessible both on- and off-campus. The collection also includes approximately 244,000 maps; numerous state, national, and international newspapers; nearly 10,000 interviews archived by the Louie B. Nunn Center for Oral History; the University Archives and Records Program; the Wendell H. Ford Public Policy Research Center; the Audio-Visual Archives; the Bert T. Combs Appalachian Collection; the Public Policy Archives; the Rare Book Collection including the W. Hugh Peal Collection of 19th century English and related literature; and the Preservation Reformatting Center. The Special Collections Research Center manages several online research tools that provide access to topical guides, collection inventories, and digitized materials. These tools are open to the public and include ExploreUK, SPOKEdb, the Notable Kentucky African Americans Database, and the Kentucky Digital Newspaper Program. The Digital Library Service also makes unique holdings available to the world and creates digital content for the Kentucky Digital Library including electronic texts, digitized photographs, images and archival finding aids. UK Libraries is a regional depository for U.S. government publications, and also a depository for Canadian government publications and European Union publications. British Parliamentary Papers, Kentucky government publications, and technical reports from federal agencies are selectively collected.



CURRENT BENCHMARK INSTITUTIONS

Michigan State University

Ohio State University

University of Arizona

University of California – Davis

University of Florida

University of Iowa

University of Michigan – Ann Arbor

University of Minnesota – Twin Cities

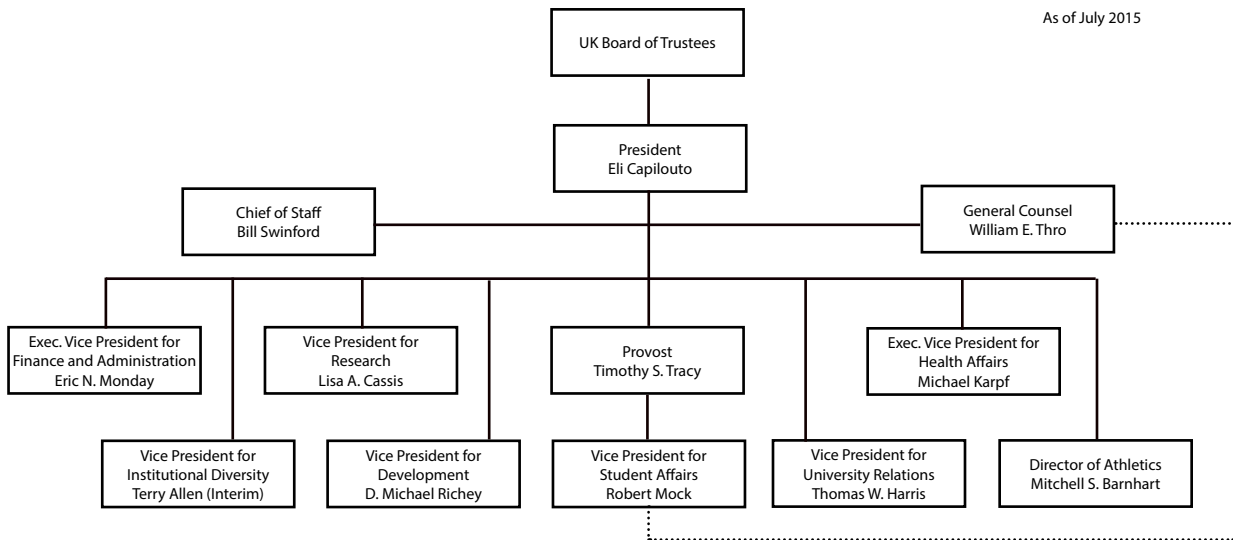
University of Missouri - Columbia

University of North Carolina – Chapel Hill

University of Wisconsin – Madison

ADMINISTRATIVE ORGANIZATION

As of July 2015



BOARD of TRUSTEES

C.B. Akins, Sr.	Lexington	(2017)	Cammie Deshields Grant	Alumni Member	(2020)
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Oliver Keith Gannon	Mt. Sterling	(2016)	Barbara Young	Lexington	(2015)

ADMINISTRATIVE HISTORY

- | | | |
|---|---|--|
| 1865 Agricultural and Mechanical College (A&M) established as part of Kentucky University | 1941 Herman L. Donovan became President | 1976 College of Communications and College of Fine Arts established |
| 1869 James K. Patterson became President of A&M | 1947 College of Pharmacy moved to UK | 1982 University reorganized with a Central Administration and three sectors headed by Chancellors (Lexington Campus, Medical Center, and Community College System) |
| 1878 A&M separated from Kentucky University | 1956 Frank G. Dickey became President | 1984 LTI became Lexington Community College |
| 1882 A&M moved to current location in Lexington | 1957 Ashland Extension Center established | 1987 David P. Roselle became President |
| 1885 Ag. Experiment Station opened | 1960 Medical Center established; College of Medicine admitted first class; College of Nursing admitted first class; Henderson Extension Center and Southeast Extension Center established | 1990 Charles T. Wethington, Jr. became President |
| 1889 College of Agriculture established | 1962 College of Dentistry admitted first class; University Hospital opened | 1993 College of Communications and Information Studies established |
| 1908 College of Arts and Sciences and College of Law established; A&M name changed to "State University, Lexington, Kentucky" | 1963 John W. Oswald became President | 1998 William T. Young Library opened; All Community Colleges (except LCC) transferred to the KCTCS |
| 1909 University Library established | 1964 Community College System established under UK; College of Architecture established | 2001 Lee T. Todd, Jr. became President; University reorganized with a Provost model |
| 1911 Henry S. Barker became President of State University | 1965 Lexington Technical Institute (LTI) established | 2002 College of Design established |
| 1916 State University renamed University of Kentucky | 1966 College of Allied Health Professions established | 2004 LCC transferred to the KCTCS; College of Public Health established |
| 1917 Frank L. McVey became President of UK; Graduate School established | 1967 College of Home Economics established | 2011 Eli Capilouto became President |
| 1918 College of Engineering established | 1968 Albert D. Kirwan became President | |
| 1923 College of Education established | 1969 College of Social Work established; Otis A. Singletary became President | |
| 1925 College of Business and Economics established | 1970 College of Library and Information Science established | |

VALUES

The University of Kentucky is guided by its core values:

- Integrity
- Excellence
- Mutual Respect and Human Dignity
- Diversity and Inclusion
- Academic Freedom
- Personal and institutional responsibility and accountability
- Shared Governance
- A sense of community
- Work-life Sensitivity
- Civic Engagement
- Social Responsibility

-- The University of Kentucky Board of Trustees adopted the amended the Values Statement on January 23, 2007 and modified it on March 27, 2012.

