

The Cornerstone of a Great University is its People
Sept. 8, 2016

Dear Colleagues and Students:

As one of this nation's premier public research universities, the work of our institution is led by our faculty, staff and students. And our people must drive our University forward in a manner that is both ethical and just.

The University's Institutional Compliance Program provides a comprehensive, general review of the University's ethics, corporate compliance and employee conduct initiatives. Our Institutional Compliance Program consists of the three following areas.

"Ethical Principles and Code of Conduct," one of the University's Governing Regulations, is the very core of this institution. For us to be a successful University — respected throughout the world as a leading academic institute — all UK employees must approach their job in accordance with the principles and guidelines contained in this regulation. This document establishes guidelines for professional conduct for University members, including all employees, trustees, those using University resources or facilities, volunteers, and representatives acting as agents of the University. The policy may be reviewed online at www.uky.edu/Legal/ethicsreporting.htm.

The Corporate Compliance Program deals with the complexities and legalities of the units in UK HealthCare. Corporate Compliance aims to enhance and further demonstrate to our patients, the community, employees, staff, the government and third-party payers our commitment to honest and fair dealing, and to centralize and intensify our efforts in preventing and detecting illegal, unethical or abusive conduct. The program may be reviewed online at www.ukhealthcare.uky.edu/staff/compliance/policylinks.

Like the complexities facing UK HealthCare, the Athletics Department has a unique set of rules and regulations passed by the member institutions of the NCAA. To deal with those issues, the "Comply Cats" Program is an enhancement to the Athletics Department's Compliance Program. The Comply Cats Program may be viewed at <http://www.ukathletics.com/page/compliance-comply>.

To serve the Commonwealth and to prepare our students for the world, we must provide a safe work environment; we must embrace the shared responsibility of making UK the most accountable and ethical university in the nation. Please take some time to review these ethics and compliance policies and programs. UK is a better place because of your efforts.

Eli Capilouto
President