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Office of the President
June 21, 2019

Members, Audit and Compliance Committee:

PROPOSED INTERNAL AUDIT FISCAL YEAR 2020
WORK PRIORITIZATION PROGRAM

Recommendation: That the proposed Internal Audit Work Plan Prioritization Program be approved for Fiscal Year 2020.

Background: Per the Internal Audit Charter, the Audit and Compliance Committee is required to review and approve the Internal Audit Work Plan Prioritization Program at least annually. The Work Plan Prioritization Program is below.

Action taken: Approved Disapproved Other _____

Follow-Up				Unplanned	
1	Ophthalmology	11	Capital Construction	1	Comply Line
2	Surplus Operations	12	Sanders-Brown Center on Aging	2	Direct UKIA Contact
3	Animal and Food Sciences	13	The Foundation for Sanders-Brown Center on Aging	3	Expanded Scope
4	Boone Center	14	Student Account Services		
5	College of Public Health Grants	15	Pharmacology and Nutritional Sciences		
6	Mechanical Engineering	16	College of Dentistry – Inventory Controls		
7	Pharmacy Services	17	Network Medical Devices		
8	Perioperative Services	18	Institutional Research		
9	County Extension Services				
10	Hospital Security				

	Processes	Rating	Units	Rating	Applications	Rating
1	Contract Management	0.00	Alumni Associations	0.00	In-House Applications	0.00
2	Family Educational Rights and Privacy Act	0.00	Shared Services	0.00	Server Configuration	0.00
3	Health Insurance Portability and Accountability Act	0.00	Service Centers	0.00	Unit Applications	0.00
4	Conflicts of Interest	0.00	501(c)(3) Entities	0.00		
5	Regulatory Compliance	0.00	Required by Kentucky Revised Statutes (KRS)	0.00		
6	Student Fees	0.00	Academic Units	0.00		
7	Agency Accounts	0.00				
8	ProCard	0.00				
9	Cash Handling	0.00				
10	Vendor Master File	0.00				
11	Deprovisioning	0.00				
12	Capital Construction	0.00				