

Minutes of the Meeting of the Board of Trustees
University of Kentucky
Tuesday, December 15, 2020

The Board of Trustees of the University of Kentucky met on Tuesday, December 15, 2020, via Zoom Webinar.

I. Meeting Opened

Bob Vance, chair of the Board of Trustees, called the meeting to order at 1:17 p.m. Chair Vance asked Secretary Webb to announce the members present.

II. Roll Call

The following members of the Board of Trustees were in attendance: Claude A. "Skip" Berry, Cathy A. Black, Lee X. Blonder, Joe R. Bowen, Michael A. Christian, Ray Daniels, Ron Geoghegan, Kimberly McCann, Elizabeth McCoy, David Melanson, Paula Leach Pope, Derrick K. Ramsey, C. Frank Shoop, Sandra R. Shuffett, Bryan Sunderland, Hollie Swanson, Robert Vance, Rachel Watts Webb, Courtney Wheeler and Barbara Young. Trustee Carol Martin "Bill" Gatton was not in attendance.

Secretary Webb announced a quorum was present.

III. Approval of Minutes

Chair Vance reported that there had been one petition to address the Board since the last Board of Trustees meeting concerning investment funds for retirement. It was determined that the subject matter of the petition was not relevant to a pending or future item or essential information necessary for the Board to fulfill its statutory responsibilities and the request was forwarded to the Retirement Committee.

Chair Vance stated that the minutes of the October 14, 2020, meeting had been distributed and asked for a motion to approve. Trustee McCoy moved approval and Trustee Sunderland seconded the motion. The motion carried without dissent. (See meeting minutes on the Board of Trustees website, www.uky.edu/Trustees, under "Agenda")

Chair Vance called attention to the 28 consent items on the agenda.

PR 2	Personnel Actions
ASACR 1	Candidate for Degree: May 2020
ASACR 2	Candidate for Degree August 2020
ASACR 3	Candidates for Degree December 2020
ASACR 4	Academic Degree Recommendation: Gatton College of Business and Economics

ASACR 5	Transfer of the Center for Muscle Biology: College of Health Sciences
ASACR 6	Closure of Educational Unit Center
FCR 1	Pledge from L. Stanley Pigman
FCR 2	Pledge from the Saha Foundation
FCR 3	Gift and Pledge from Dr. Rebecca Burchett Liebert and Naming of the Dean's Chair in the College of Engineering
FCR 4	Pledge from Nancy Zink O'Connor
FCR 5	Pledge from Toyota Motor Engineering & Manufacturing North America, Inc.
FCR 6	Acceptance of 2019-20 Audited Financial Statements
FCR 7	Acceptance of Interim Financial Report
FCR 8	Approval of Administrative Regulation 8:1
FCR 9	FY 2020-21 Budget Revision
FCR 10	Proposed 2021-22 Room Rates for University-Constructed Housing
FCR 11	Approval to Acquire 612-620 South Broadway
FCR 12	Approval of Property Disposal - Halls Prairie
FCR 13	Approval of Lease - Lexington Clinic
FCR 14	Approval of Lease - General Pediatrics Clinic Space
FCR 15	Patient Care Facility Fit-up Pavilion A Basement Capital Project
FCR 16	FCR 16 Improve Building Systems - UK HealthCare Good Samaritan
FCR 17	Improve Storage Facility Vaughan Warehouse Capital Project
FCR 18	Capital Construction Report
FCR 19	Patent Report
ICR 1	Revised Endowment Investment Policy
UHCCR 1	UK HealthCare Scope of Services

Chair Vance asked if there were any requests to remove items from the consent agenda. Hearing none, Chair Vance asked for a motion to approve the consent agenda. Trustee Young moved approval, Trustee Shoop seconded the motion and the consent agenda passed without dissent. (See consent items on the Board of Trustees website, www.uky.edu/Trustees, under "Agenda")

IV. Chair's Report

Chair Vance reported that the Executive Committee had met earlier that day and reviewed the compiled results of the Board of Trustees evaluation of the president. He reported that the results were very positive. "There were a few suggestions, but it is clear President Capilouto continues to have the strong support of the Board of Trustees, based on his visionary leadership and steady, committed hand in guiding the university through the pandemic thus far." The chair thanked the Board for their input and time with the evaluation process. (See the President's Evaluation results on the Board of Trustees website, www.uky.edu/Trustees, under "Executive Committee Agenda")

V. President's Report

The president thanked Chair Vance and thanked the Board for their kind comments from the evaluation presentation presented during the Executive Committee meeting. "I am sure you all recognize that your praise would be more appropriately directed to an incredible team of individuals and faculty and staff that populate this campus every day."

The president discussed the current pandemic and the vaccine process while displaying images of the vaccines being delivered to campus. He announced UK HealthCare would begin administering doses and stated, "In a matter of nine months, due to global creativity, we will begin offering the vaccine. It has got to be a miracle of my lifetime."

The president continued by reflecting on the past semester. "I think we can all recognize it is unlike anything we have ever seen in our history. I have said before, there is no perfect time. There is no right time. There is only our time. That has certainly been the case this year. I hope you will agree with me, however imperfect our time has been, that you take great pride in what we have done as a campus together."

"There are heroes among us, and I wish I could name them all, thank them all and spotlight everything they have done. I hope I can appropriately and adequately reflect on their stories with you and express my deepest gratitude. Collectively, they paint a picture of a community; a community unlike any other, and it is your community, more than which I know you also take great pride."

Our students. "They overcame challenges and made sacrifices that most generations of students have not had to do. They wore masks, they limited gatherings, yet there were times that they did not that people focused on, but they limited their gatherings and it was hard. They changed the way they learned, and in fact, they changed almost every aspect of the way they conduct their lives on this campus. Many of them had to balance all this with work to help put themselves through our university and now they face what feels like an uncertain and anxious future."

The president continued, "Most days and nights during the semester I walked this campus for miles at a time. I found peace in it. I would go in and out of our classrooms and buildings. I would go to the dining facilities, the residence halls, the library and the quiet study places. I would go to the places where the cameras cannot see. There I saw our students quietly in isolation, demonstrating the sense of grace and grit that defines the University of Kentucky. We asked them continually to meet a moment of challenge. They did with resolve and resilience, kindness and empathy, and we owe them our thanks."

Our researchers. "They had to close their labs, and then they had to plot to reopen. The community-based researchers, even harder. They had to find novel pathways to answer their questions that mean so much to their fellow Kentuckians. But we continued life-saving work in cancer and opioid-use disorders. We brought experts

together from across this campus on vaccines, testing protocols and other interventions. This place is incredibly unique. You cannot do all the things I just described without the breadth and depth of disciplines we have on one contiguous campus, and we convert that dedication every day into the pursuit of discovery.”

Our faculty. “They had to pivot on a dime last March and moved thousands of courses online in a matter of two weeks. That was a massive task and it should never be overlooked or underappreciated. Many of our faculty worked throughout the summer, thinking through how could we return to campus this fall? They worked on courses and formats that would meet students where they are, in person, online or a mix of those modalities; any way to continue the teaching and the learning. They demonstrated to me that teaching is not just a profession – it is a passion.”

The president continued, “It has to start with a teacher who cares. Our faculty cares. They care deeply. They care profoundly. They had to demonstrate that care and concern in different ways, clearing all kinds of hurdles and obstacles over a short period of time, and there was no road map for this. They had to be creative and innovative. At the end of the semester, I found particular delight when I viewed online our women’s choir here at the University of Kentucky. A choir does not need to be silenced. It was a beautiful concert, but more soulful was their selection of songs. It honored those who had been marginalized and silenced in the past. That is an example of the creativity and innovation that I witnessed every day. We owe deep gratitude to our faculty.”

Our staff. “They quietly, efficiently, without complaints, moved 10,000 pieces of furniture. They set up 30,000 signs to remind us to follow our 4.0, and for us to keep ourselves physically distanced and safe. They worked with a vendor to administer 50,000 COVID tests to our students and employees, and this continued from the beginning when we opened the semester in the fall and throughout the semester. There were teams of people that had never met each other. Countless teams that got together and worked effectively on things we never imagined just months ago. I cannot say enough about our 50-plus member Health Corps team. They did the contact tracing, the screening. They provided academic and wellness support to our community. These individuals took the Johns Hopkins course to become proficient. They shadowed infectious disease experts at UK HealthCare and our local health department, and, thus far, they have traced over 6,200 high exposures.”

“We have learned a lot; that our classrooms are safe places. We learned from UK HealthCare that our treatment rooms and exam rooms are safe places. We learned from our athletic contests that practice fields and courts and fields of play are safe. We have learned from all of this it is when we all let our guard down when we go home or go in the community and gather, that is when we most put ourselves at risk.”

“We recognized that additional support was necessary across our campus as a way of managing this virus, and to live and breathe our most important promise to those we serve and did it in a way that modeled that we care.”

“Thus far, we have invested over \$40 million to create campus and support systems to help ensure a healthy and safe environment. Our emergency operations center (EOC), like all the EOCs, is designed to handle incident response, a crisis or an issue over the course of hours and sometimes days. But we have been at it for nine months, and the dedication of our UK community members who staff the EOC and have worked to respond to this incident continuously fills me with amazement and gratitude. They helped facilitate hundreds of decisions, large and small, to ensure our campus would continue to efficiently and effectively operate.”

Health care heroes. “Across a wide range of providers; physicians; nurses; techs; their support staff; many, many others who selflessly provided care to those most in need, working in long shifts in layers of masks, gloves, face shields and other personal protective equipment (PPE). Beyond COVID, we are the place where the most seriously ill patients come for care. We make sure we meet that commitment to all our fellow citizens, so they do not have to leave home for the best of care. We did it with military precision. They had to change plans and protocols overnight to ensure that we would address the needs of our region and the state in a pandemic of the disproportionate impact on communities of color and those who are economically disadvantaged. These, too, are my heroes, and I know that they are your heroes, too. We owe them thanks.”

The president continued by discussing the preparation and planning required for the return of students in late January. “We will conduct thousands of mandatory entry tests again, and we will significantly increase the ongoing testing we provide for students, faculty and staff throughout the entire semester. Our goal, as it was last May when we conceived our plan to reopen, is to continue to ensure the health, safety and well-being of our entire community while continuing to make progress toward more normal operations of our campus. It must be a collective commitment, one that faculty and staff share, making the experience next semester better than the fall. But we learned a lot. Our world still will be in the throes of the pandemic crisis for months to come. We cannot let our guard down, but we must use what we have learned. That makes what happens on this campus, in ways that must ensure health and safety, so essential. Our ability to do that this semester will be critical to laying the foundation for our success and that of our students over the next several years. We cannot have this be a time of lost education. We will update you on our progress as those plans begin to take shape.”

The president continued, “As part of that process of reflecting and planning, I have had the pleasure in recent weeks to speak with each of our board members individually. And we have done this in the past, but we had to do it this year quite differently. We could not do it in person. But I found those conversations enjoyable and illuminating, and I hope you did, too. They underscored for me your deep devotion to this institution, your commitment to our students and our mission, and how seriously you take your responsibility to represent your fellow citizens.”

“I had the privilege of speaking to all of you, which allows me to reflect on the common themes and collective aspirations you have for this university. I will offer some thoughts on what I heard as we end our year and begin another. You can call it the top-

ten list, but I think in distilling and synthesizing what I heard, I would characterize it as ten things that matter most to the Board of Trustees of the University of Kentucky.” The ten topics include:

One: The ability to anticipate and prepare for change. “A pandemic that hops across countries and continents, striking young and old, killing some and barely infecting others underscores how quickly things can change and how devastatingly fast our course can be altered. We must be able to adjust and pivot. But never, you reminded me, in ways that stray from our values, creating the opportunity for Kentuckians to learn, devoting ourselves to equality of opportunity and a sense of fairness for everyone, and establishing trust and transparency in what we do and how we communicate. The question remains before us: How do we stand ready to change while remaining true to those values that have been honed for more than 155 years?”

Two: A quality of opportunity. “The coronavirus pandemic inarguably exacerbates existing divisions and disparities; those already on the precipice of despair; people with health challenges; many communities of color, and those who were already economically disadvantaged have been disproportionately impacted by COVID; those healthy; economically disenfranchised. Our people of color have been infected at high rates and are more likely to be sick or, tragically, to be taken from us. We have let this virus discriminate. It also widened the opportunity gaps and divisions that impact our students and the students we plan to welcome in the upcoming years. Our results from the first semester remind us that we have got to double-down on our efforts to close those gaps.”

“As a land-grant institution created to increase access to education, we have a moral imperative – a moral imperative in a time of crisis to not only help but also heal and serve, we have a particular responsibility to open the doors giving access to education to those who have been traditionally left behind and keeping those doors open, particularly for our Kentuckians. These are the individuals who are going to lead and change our communities in the future and transform this state.”

“Our faculty and staff have been miraculous partners in this commitment. We have world-class faculty. I will say best in the state, and some of the best in the world. The magical moment when a faculty member engages a student in a classroom is precious and when it was taken from us, we realized how much more precious it was. We tried to overcome that experience as best we could, the missing of that experience, but we are going to do our best to provide that experience as safe as we can this coming semester. I know faculty want to do that, but they cannot do it alone.”

Three: Teaching matters deeply. “So many of you have become teachers and teachers’ assistants, I learned during our phone calls. Assistants or teachers for your children, grandchildren, nieces, nephews, and we all said how hard it was. We all have a deeper appreciation for the teachers in our lives today. You shared your experiences or your views of different teaching styles to fit the learner. You warned about extending the technology beyond the capabilities of the teacher and the learner, and you recognized

what had been lost when we did not have the structure, discipline, regimen of a class experience or in-person experience, or residential experience.”

“In the midst of a pandemic, we have to alter how that teaching takes place – the modes and the formats, but we have to do it in a way that ensures we are meeting our students where they are to maximize their opportunities for success. There is no magic cookbook about how to do this. We are creating knowledge about how best to do this. That is what effective teaching is and curious teachers do. They take the best advantage of our high-tech, new high-tech inventions, and our high-touch approaches to help our students. As a university, we must recognize that, as we find new ways to teach, we must reward the scholarship of teaching that enables and emboldens students.”

Four: Students matter. “Over the last year, we have discovered even more deeply the tools we have and the innovation we can tap to reach and teach students. We have new tools and so many ways to reach out. But tech means little without touch. In a matter of weeks last spring we called 30,000 students to check-in and see what they needed during a time of uncertainty. That is only one way we demonstrated a value core to who we are; putting students first; putting them at the center of everything we do, and we must continually find new ways to ensure that we honor that value and commitment.”

“As we call students, we use technology to help us catalog and document concerns and needs. We could see trends, which guided our approach to targeting individual needs and developing collective solutions. Similarly, in the classroom now we have an array of tools and talents with which to reach and teach students. We can continue to build upon this progress what we have learned about how we use everything along that continuum that maximizes high-tech and high-touch.”

“As our students return to campus at the end of next month, we will continue to find ways, even amid a pandemic and continuing challenges, to commit to their success. That is true in the classroom. It is true in the way we support our students, our academic advising, counseling, tutoring, and our residential life. It is true in the examples we all set for those we work with and call, our partners. Every day I have seen people ask themselves and answer these questions in profound ways: Did we care? Did we care as a community? Did we show our compassion for and our commitment to students in all that we did? And the answer is a resounding yes, and I thank you all for doing that.”

Five: The basics still matter. “Yes, technology is increasingly important. It impacts and shapes virtually everything we do, but at our core, we must still provide students with a toolkit of skills, foundational skills, which equip them to navigate a complex world. They must be able to write and communicate. They must be scientifically and quantitatively literate. They must be able to treat each other with respect and understand differences. They must have critical thinking skills that help them anticipate, understand and solve problems. These are not new to our faculty. These are embedded in our curriculum. We cannot lose sight of them when the ways we interact with the world have changed. Many of the skills and capacities we need to be successful are different, but they remain fundamental to you and to us.”

Six: Science matters. “Science, not as an end to itself, but as a rigorous process, painstakingly adhered to overtime, which has the potential to yield life-changing and life-saving discovery.” The president discussed examples of this research including, “The two scientists, a married couple, who worked with Pfizer on the COVID-19 vaccine now coming to market, worked for years, not on a cure for infectious disease. Their focus was cancer; long, arduous research in which the simple but profound aim was asking questions to see where they led. There is a similar history when you look at the treatments for HIV and AIDS. Those were cancer virologists decades ago. That is the genius of research and discovery. Years later, work around immunotherapy and tumors lays the foundation for a vaccine that heralds healing and hope for millions, relief from an infectious disease that has pummeled the planet.”

“This is the kind of discoveries our researchers pursue each day. Their impact, their exploration of the most pressing questions of our day, from cancer to racial inequality, from energy to opioids uplifts communities across our Commonwealth and beyond.”

Seven: Our history and commitment to service matters. “Time and again we have been a beacon of hope for our state. We do not always have the answers of a defined path, but we are willing to take informed risks that offer hope and the potential and promise of an answer. In the face of deaths of despair ravaging communities across the state, we developed a team that articulated a bold goal. Decreasing deaths from opioid use by 40 percent in three years. We proclaimed we will no longer indulge leading causes of death in our state. We have taken on the task of cutting cancer rates in half in the Commonwealth. These are audacious goals without easy paths, without sure paths. But we do not let problems or perils stand in our way in our promise to our fellow Kentuckians. That is how we honor all those who came before us. That is how we do our part to strengthen a legacy that is over 155 years in the making.”

“At this place and in this time, we must choose to take on what is seemingly insoluble. We must lead around the questions of racial and social justice, eradicate costs and financial burdens that compromise the ability of students to learn, cultivate a sense of community and acceptance for everyone on our campus, and wherever our students take the lessons learned here into a world desperately in need in so many answers.”

“More than a century ago we were founded to serve. That mission has never been more important or more necessary than now. The scholarship of service must find its way into how we recognize and reward all on this campus.”

Eight: Partnerships matter. “We do not have all the answers. We know that. But we bring to the table and communities a capacity for education, research, service and care. When leveraged with the power of partnership on the campus and out in the community, in cities and towns throughout this Commonwealth, it is very powerful. One of you told me there is an expectation in many corners of the state that this university is a cure-all for everything that ails us. That comment illuminates our role as Kentucky’s

university, but it is only part of the equation. The service we render and the problems we solve happens best in partnership with communities and for communities.”

Nine: Our soul matters. “We bring to our state, our intellectual capacity and commitment to teach, heal and help, but we also infuse in everything we do our sense of compassion and empathy – our soul. This drives us to make tomorrow better and more just than things are today. We honor that commitment in ways unlike anyone else; words that move minds; music and performances that stir hearts; a passion for justice that compels us to ask challenging questions without easy answers. We gather in this precious place – people from around the globe – faculty, students and staff, with different perspectives and beliefs, identities and backgrounds. What we have in common is our sense of humanity and our commitment to each other and to the community.”

Ten: You. “My final on the list of what matters most to members of the Board of Trustees is you. You matter. I heard from so many of you how you deeply miss the ability to gather, both formally and informally, to learn more about this place you serve, but also to exchange ideas and learn about each other and learn from each other. It reminded me in many ways, you are still students at heart. It is an example we want to set for all our students, those here now and those who will follow. Together we share our lives and perspectives, not solely around questions of university operations and policy, but about life. You take your responsibilities to serve this place and to advance its mission quite seriously. You reflect so much on what our students and families, faculty and staff, have been telling us, too. We miss each other. Our evolutionary process makes up people that want to gather. We want to find the power and refuge of connection and community, and I miss that, too.”

“Our commitment to each other and our campus must be to continue building back that connection in the coming months. There is hope on the horizon. Together we must light a light that leads our community to it. We do that by remaining true to who we are and to what this place so powerfully is; a community that has cared for over 155 years.”

The president stated, “Mr. Chairman, this is what I have heard in recent weeks from your fellow board members. I am deeply grateful. I am humbled. I am filled with hope.”

The president continued his report by recognizing numerous guests who joined the meeting via Zoom. “Now I have the delight to introduce some of the tangible results of your commitment, and certainly, the commitment of our faculty and staff placing students at the center of everything we do. It is gratifying when I can offer you compelling examples of that promise. This afternoon I am going to introduce you to two students who have demonstrated their outstanding capacity for learning and their undeniable passion for making the world a better place.”

The president recognized 2020 psychology, sociology and Lewis Honors College graduate, Chimene Ntakarutimana, who has been named a 2021 Marshall Scholar. He explained this scholarship program was established by the Parliament in the United

Kingdom in honor of Secretary of State George Marshall, a winner of the Nobel Prize in 1953, as a living gift to the United States. The scholarship will finance two years of graduate study for her at an institution of her choice in the United Kingdom. Chimene is the sixth UK student to receive the honor from the Marshall Aid Commemoration Commission. Approximately 50 Marshall Scholars are selected each year to study at the graduate level at an institution in the United Kingdom. The Marshall Scholarship covers university fees and the cost of living expenses. It also provides an annual book grant, thesis grant, research and daily travel grants, and fares to and from the United States.

The president continued by describing how Chimene will use her Marshall Scholarship to pursue two master's degrees. She will attend University College London for her graduate study in the fields of global migration and gender, society and representation. As part of her two required dissertations, she would like to examine gender-based violence in times of atrocity. From personal experience, she understands how forced migration can change one's life. For six years she lived in a refugee camp after fleeing genocide in her own country of Burundi.

During her time at UK, Chimene was named a Chellgren Fellow and earned a minor in criminology and a Certificate in Social Science Research in addition to her two bachelor's degrees from the UK College of Arts & Sciences. Off-campus, she volunteered with Ampersand Sexual Violence Resource Center of the Bluegrass and received training in crisis response to support survivors in emergency rooms. She currently is working as an administrative coordinator at Kentucky Refugee Ministries (KRM), where she has the opportunity to see the resettlement process firsthand while learning how a nonprofit operates.

"After completing her two master's degrees, Chimene would like to work with international nonprofit organizations focused on migration and one day be the director of the United Nations Women's Department. I am going to bet on her. Please join me in congratulating this incredibly talented member of our community, Chimene."

Ms. Ntakarutimana thanked the president and shared her gratitude for the faculty at UK who helped her throughout the application process. "Starting an application during a pandemic was hard, and not knowing what I was going to do after graduating from UK was also really hard to think about. It is with the support of faculty at UK that I was able to get my current job at Kentucky Refugee Ministries and then, feel like I was worthy of being in all these spaces and all the things that I have been able to apply for. I am very grateful for the time that I have had at UK and for the people that have believed in me and continue to support me. Thank you." Chimene received a round of applause.

The president stated, "We are grateful for you and I think it says a lot about you that the first words out of your mouth were appreciation for our faculty and staff and everybody that's been part of your journey. So, thank you, and good luck."

The president then recognized Kyra Seevers. "I am proud to tell you of another student who embodies the promise of this place. She graduated in computer science and

the Lewis Honors College, a senior here from Lexington, recently interviewed as a finalist for the prestigious Rhodes Scholarship. Soon she will embark upon another exciting new chapter in her life as she takes a position at Google's Cambridge, Massachusetts Campus."

The president continued, "Later today, you will confer upon her the bachelor's degree in Computer Science from the College of Engineering, as well as her minor in Sociology and a Certificate in Universal Design. It is another example of the breadth and depth of disciplines we uniquely have at the University of Kentucky. She has interned twice with Google. Also, a Chellgren Fellow. She has been very active in undergraduate research at UK, working with the school's groundbreaking Digital Restoration Initiative led by Professor Brent Seales. Outside of our research with Professor Seales' lab and internships at Google, she also conducted research abroad in Munich last year as one of UK's two 2009 recipients of Research Internships in Science and Engineering from the German Academic Exchange Service. As part of this experience, she helped create and test augmented reality technologies that aid those with visual impairments. It goes without saying that Kyra has a bright future ahead of her, so please join me in congratulating Kyra."

Ms. Seevers thanked the president and shared what she will be working on at Google as a software engineer. "I am interested in accessibility in technology, as well as disability in technology. I am hoping to work on software projects related to that." She thanked her professors she had the opportunity to work with and shared how generous, caring and supportive they had been throughout her time at UK."

The president thanked Ms. Seevers and said, "It is good to see that in a high-tech world you bring the best of UK's humanity to answering questions. Good luck to you." She received a round of applause.

"Mr. Chairman, these incredible students' stories are reflections of compassion and determination, not only of our students but our faculty. What a world-class experience we can provide at the University of Kentucky."

The president continued his report by recognizing two academic leaders who stepped up in a time of transition, taking on new responsibilities important to the University of Kentucky. He then recognized Christian Brady, the inaugural dean of the Lewis Honors College, "who has done an outstanding job in a short time growing this college in size and reputation. You certainly saw two examples of it moments ago."

The president continued, "Earlier this semester, Dean Brady agreed to serve as interim dean of the College of Arts & Sciences as we transition to a new, permanent dean. Dean Brady has a faculty appointment in the College of Arts & Sciences and brings with him a wealth of experience, leadership and academic excellence. We appreciate his able guidance and steady influence during this time."

Dean Brady stated, "Thank you, President Capilouto and Mr. Chairman, for the

honor of allowing me to serve in this position of trust. As you note, President Capilouto, I am a product of the College of Arts & Sciences and a proud member of our faculty of this college. So, it is a particular honor to serve A&S at this time. Transition is always a challenge, and even more so in this time of uncertainty and understandable anxiety for my colleagues in the college. I want to take a moment to note, building upon your remarks, President Capilouto, upon the tremendous work that our faculty and staff have done and continue to do for students during this challenging time. As you know, the College of Arts & Sciences teaches some 85 percent of general education credits and nearly 50 percent of all undergraduate credit hours. So, the College of Arts & Sciences must remain strong so that the University of Kentucky continues to remain strong.”

Dean Brady continued, “As interim dean, my job is to facilitate the continued outstanding work that our college is engaged in, supporting our students and faculty in their academic endeavors, and prepare the college for the next dean. I certainly have been grateful for the tremendous support of my colleagues as I come in in this time and I am sure we are going to attract an incredible candidate, a whole slate of candidates, to be our next dean. I am honored to continue to serve in a college and university known for its excellence, and as a collaborative community of committed scholars, staff and students. Thank you, Mr. President.”

The president thanked Dean Brady and recognized the next guest.

“While Dean Brady is away from the Lewis Honors College, another outstanding leader has stepped up to ensure that the momentum in this important college continues. Laura Bryan is serving as acting dean of the Lewis Honors College. She is an outstanding scholar in organizational psychology, and has deep experience as an academic administrator, too.” The president introduced Dean Bryan for comments.

Dean Bryan thanked the president and Chair Vance. “It is an honor and privilege to serve the University of Kentucky in this way. I worked for UK almost 15 years ago in a different role, and my career took me to other institutions and leadership opportunities. I was thrilled to return to UK as a faculty member in the Lewis Honors College. I was so impressed with the college’s vision to be a world-class honors experience for our students and the Lewis Family’s commitment to the college, as well as the university’s support.

Dean Bryan continued, “When Provost Blackwell contacted me about serving as the acting dean, I said I will do whatever I can to help the University of Kentucky, and I am I thank you for this wonderful opportunity.”

The president thanked Dean Bryan and continued his report.

University Staff Emeritus (PR 3)

The president introduced PR 3, the recommendation that the Board of Trustees confer upon Jim Wims the title of University Staff Emeritus in recognition of his many years of service, dedication and loyalty to the University of Kentucky. The president

exclaimed that this recommendation was an especially bittersweet recognition and honor.

The president continued by recognizing Mr. Wims. “Jim has served in a number of important positions, all of them revolving around service to and support of our students in his 24 years at the University of Kentucky. But that does not begin to tell the story of his impact. Quiet and unassuming, but powerful in so many ways, and in his own way, a strong voice and an advocate for our students. Jim most recently served as the acting assistant vice president for our Auxiliary Services. Prior to that he served in numerous leadership roles at UK, including Interim Executive Director of the Gatton Student Center; Director of Residence Life; Interim Director of Dining Services; Assistant and Associate Vice President for Student Affairs; Interim Dean of Students and Senior Assistant Provost in the Office of Student and Academic Life.”

The president continued, “Throughout his career, he has been recognized for his primary focus of serving students. His philosophy includes “take the high road” attitude – and we live it every day, sir – coupled with the notion that you should always do the right thing, and that students must always be the top priority in our decision-making. He also has been a dedicated and committed member of the university community, serving on numerous task forces and committees on behalf of students and staff.”

The president continued, “In 2004 UK President Lee Todd presented him with the President’s Diversity Award. He was credited with recruiting and retaining a highly diverse staff and being an active participant in service work at UK and in the community, including mentoring African American staff and students. Jim has brought great distinction to the University of Kentucky and the Commonwealth of Kentucky during his tenure. He is truly deserving of the honor of University Staff Emeritus. Please join me in recognizing Jim.”

Mr. Wims thanked the president and everyone at the university. “It is an understatement to say that I am honored to receive the award of this recognition at the end of my career. I could think of no better place to conclude my 45 years of service than here at the University of Kentucky. I appreciate everything that everyone has done for me, and I know you are going to do great things going forward. Thank you so much for this honor. Much appreciated.”

The president thanked Mr. Wims and wished him his best.

Appointment of the Dean of the Rosenberg College of Law (PR 4)

The president introduced PR 4 that the Board of Trustees approve the appointment of Mary J. Davis as Dean of the J. David Rosenberg College of Law, effective January 1, 2021.

The president exclaimed, “This recommendation is the appointment of someone who is a familiar face to many of you in positions of leadership within our university. I am pleased to announce that Mary J. Davis has accepted our offer, subject to this Board’s approval, to become the next dean of the J. David Rosenberg College of Law effective January 1st, 2021.”

The president continued, "The Ashland-Spears Distinguished Research Professor, Mary joined the UK law faculty in 1991. Previously, she practiced products liability litigation. Her experience, which involved management of nationwide litigation, has informed her scholarship in the field. Her recent work on federal preemption of state products liability laws has been cited by the United States Supreme Court. Mary also has held countless academic and administrative positions at UK, including the Associate Dean of Administration and Faculty Development, and the Associate Dean for Academic Affairs. She has been the visiting professional of law at four different universities; William & Mary; Marshall White School of Law; Wake Forest University School of Law; Boston College School of Law and the University of Texas School of Law."

The president continued, "She has ably led the college as interim dean since July of 2019. This experience, as well as her impressive record of scholarship and her demonstrated commitment to the college and its faculty, staff and students, will serve her well and serve the faculty of the college well. I am excited, as are her colleagues, about Mary assuming the dean's position on a permanent basis."

Chair Vance stated, "Those of us who are alumni of the college of law are also excited. Thank you, Mr. President."

Chair Vance asked for a motion to approve PR 4. Trustee McCann moved approval. Trustee Melanson seconded the motion and the recommendation passed without dissent. (See PR 4 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Chair Vance introduced Dean Mary Davis for remarks.

Dean Davis thanked Chair Vance, the president, provost and her colleagues who have expressed support for her appointment. "I remember when I met with you last July, we were embarking on what was soon to be a historic year for the College of Law. We opened our fabulous building, which became the J. David Rosenberg College of Law per the amazing generosity of our alum, and now friend, David Rosenberg. We could not have imagined at that time what the year would bring. When our year turned upside down, as it did for everyone, when our world turned upside down, we find out what is in our foundation. What we found out about the Rosenberg College of Law is really what we already knew, we have an extraordinary student body who thirst for the education that we provide. They are you. They are the future leaders of our wonderful Commonwealth and nation. They appreciate and respect what we offer here. Our faculty, as President Capilouto has said, turned in a way to a type of educational method that seemed as if it was anathema to the law. We dialogue. We challenge. We explore. Could we do that remotely? The answer is a resounding yes. They were resilient and they were determined. We could not celebrate the Class of 2020, but we will.

Dean Davis continued, "The summer of disappointment about the racial injustices and racism that continue in our communities propelled us at the College of Law to a

renewed intentionality with regard to diversity, equity and inclusivity in our community, in the Commonwealth and the nation. We resolve to explore, deeply and intentionally, ways that we can deal with and respond and act on those inequalities. Our faculty are at the forefront of that. We responded to the challenges of the death of Brianna Taylor with a symposium and student conversation, nationally known and nationally robust conversation about those issues. That is the Rosenberg College of Law. We have so many opportunities in our future. We were so excited last year, and we continue to be excited about the opportunities for our growth, for our financial health, for our students' success and the robustness of our faculty scholarly work and in the classroom."

Dean Davis concluded, "I serve with gratitude. I serve humbly. Hearing the wonderful stories of these students that this university produces is such a humbling experience. It makes me grateful to you for this opportunity to continue to lead a college where I have happily been an educator for 30 years. I am excited about the opportunity to continue to serve this university, and I thank you for the opportunity to do so. I intend to keep our momentum going into 2021 and beyond. Thank you, President Capilouto. Thank you, Chairman Vance. Thanks to all of you for your support, and I look forward to serving this college in the years to come as its dean."

Appointment to the University of Kentucky Mining Engineering Board of Directors (PR 5)

The president introduced PR 5 that the Board of Trustees approve the appointments of Mr. Jeff Brock, Mr. David Hibbs, Mr. Nick Rogers and Mr. Chad Weems to the University of Kentucky Mining Engineering Foundation Board of Directors for a two-year term ending June 30, 2022.

Chair Vance asked for a motion to approve PR 5. Trustee Shuffett moved approval. Trustee Sunderland seconded the motion and the recommendation passed without dissent. (See PR 5 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Mining Engineering Foundation Amended and Restated Bylaws (PR 6)

The president introduced PR 6 that the Board of Trustees approve amendments to the Bylaws of the University of Kentucky Mining and Engineering Foundation, Inc. and pointed out that the proposed amendments being recommended will reflect current board titles and establish other rules and processes that ensure compliance with applicable laws and bylaws. A redlined version was included in the Board materials.

Chair Vance asked for a motion to approve PR 6. Trustee Young moved approval. Trustee Shoop seconded the motion and the recommendation passed without dissent. (See PR 6 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Proposed Revision to Governing Regulation II: Board Self – Evaluation and Removal of Board Members (Second Reading)

The president introduced PR 7 the second reading of a recommendation that the Board of Trustees approve revisions to Governing Regulation GR 2, Governance of the University of Kentucky, which was received for preliminary consideration as PR 4 on September 11th, 2020. The revisions, if approved, will, one, establish an annual self-evaluation of the Board of Trustees for compliance with the accreditation standards of the Southern Association of Colleges and Schools Commission on Colleges, and, two, update the conditions for removal of Board members to be consistent with recent changes to Kentucky statutes on that issue.

Chair Vance asked for a motion to approve PR 7. Trustee McCoy moved approval. Trustee Bowen seconded the motion and the recommendation passed without dissent. (See PR 7 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

VI. Investment Committee Report

Trustee McCoy reported the Investment Committee met the day before to review performance results and conduct other business. She stated the endowment pool had a market value of \$1.6 billion as of October 31st, 2020 and gained an estimated 2.5 percent in the four-month fiscal year-to-date period. U.S. and international equity exposures were the primary contributors to performance for this period. The Investment Committee approved a revised endowment investment policy. Trustee McCoy highlighted the main update was the adoption of modified asset allocation targets intended to enhance the portfolio's expected return while maintaining prudent diversification.

VII. Health Care Committee Report

Trustee Young reported the University Health Care Committee met the day before and Dr. Newman reported that UK HealthCare has seen an increase in COVID-19 patients and taken measures to move to the next level of surge-planning. Each level has specific steps to support additional COVID-19 patient volumes by making changes to inpatient areas, operating rooms and staffing. Dr. Newman discussed UK HealthCare plans to vaccinate frontline employees based on state and federal guidelines during the first phase of the national vaccine distribution. UK College of Medicine, Dean Robert DiPaola, gave the Committee an annual update on the college's continued growth and economic impact on the Commonwealth. As the governing body for UK HealthCare, the Committee received required annual reports on the UK HealthCare Office of Corporate Compliance and the UK College of Medicine Graduate Medical Education's Annual Institutional Review. Craig Collins presented the year-to-date October 2020 financial results with the revised budget approved at the September meeting incorporated. Trustee Young reported net income for the clinical enterprises is \$219.8 million, a 22 percent margin versus a budget of \$142.8 million, and \$77 million greater than the revised budget. She concluded that the Committee recommended approval of four FCRs to the Finance

Committee and approve the list of providers presented by the Chandler and Good Samaritan Medical Staff Committees.

VIII. Closing and Adjournment

Hearing no further business, the meeting adjourned at 2:36 p.m.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Rachel", written in a cursive style.

Rachel Watts Webb
Secretary

(PR 2, ASACR 1, ASACR 2, ASACR 3, ASACR 4, ASACR 5, ASACR 6, FCR 1, FCR 2, FCR 3, FCR 4, FCR 5, FCR 6, FCR 7, FCR 8, FCR 9, FCR 10, FCR 11, FCR 12, FCR 13, FCR 14, FCR 15, FCR 16, FCR 17, FCR 18, FCR 19, ICR 1 and UHCCR 1 are official parts of the Minutes of the meeting)