

Minutes of the University Athletics Committee
Monday, March 16, 2015
Board Room, 18th Floor Patterson Office Tower

Meeting Opened and Roll Call

Dr. C.B. Akins, Sr., Chair of the University Athletics Committee, called the meeting to order at 9:50 a.m.

The following members of the University Athletics Committee were present: Trustees C.B. Akins, Sr. - Chair, Sheila Brothers, Bill Gatton, Kelly Holland, Terry Mobley; community advisory members Vickie Bell, Paula Hanson; and faculty representative Joe Fink. Community advisory member Davis Marksbury was not in attendance.

Approval of Minutes

Chair Akins welcomed the committee members and said that the minutes of December 9, 2014, had been distributed and asked for any corrections or comments. Seeing none, Trustee Mobley moved that the minutes be approved. Trustee Brothers seconded the motion, and it carried without dissent.

President's Remarks

President Capilouto commended Athletics Director (AD) Mitch Barnhart and all the coaches for what had been a spectacular season thus far across all our sports; not only in play, but academically as well. The success of the Department of Intercollegiate Athletics was due to the never-ending work of the staff that worked to make sure the experience was positive for the athletes, coaches, and fans. The less-apparent, but very compelling work of the Office of Compliance under the direction of Executive Associate AD Sandy Bell, and Senior Associate AD Rachel Newman Baker, was also commended.

Departmental Report

The Department of Intercollegiate Athletics report was presented by Mitch Barnhart, Director of Athletics.

The men's basketball team won the Southeastern Conference (SEC) regular season championship and the SEC Tournament championship that was held in Nashville. Congratulations were extended to Coach John Calipari and the team for the win and extending their record to 34-0.

The goals set forth seven (7) years ago as part of the 15 by 15 by 15 Plan have been reached. With the men's basketball team winning the SEC Tournament championship, the goal to win 15 SEC and/or National Collegiate Athletics Association (NCAA) championships, and a top 15 placement in the Directors Cup standing by 2015, had been met. Other department successes not counted in the goal were a trip the College World Series, top-5 finishes in national

track and field championships, women's basketball finishing second in the regular season and the SEC Tournament.

Coach Calipari was named a candidate for National Coach of the Year and a number of players received awards and recognition for the 2014-15 season.

The gymnastics team has done remarkably well. Even with season-ending injuries to three starters, the team continued to perform at a high level by making the second-best score in school history. The rifle team competed in the national tournament and finished No. 6 in the country.

Women's swimming and diving had a notable season. For the first time since 1999, UK had two women individual champions at the SEC Championships. Christina Bechtel and Danielle Galyer won individual titles and will compete in the national championships.

Track and field finished the indoor season at the NCAA Indoor Championships Meet. Kendra Harrison was crowned a National Champion in the 60 meter hurdles. The women's team finished No. 5 overall in the nation, which was the best finish in UK's track and field history. Four of the five top teams were from the SEC.

The softball team opened the season ranked No. 10 in the nation. Baseball season was underway and conference play was about to begin. Women's tennis has improved and has had some great upsets so far this season. Some UK tennis players were named SEC Athlete of the Week. Men's tennis, men's and women's golf have begun and are progressing into the season.

AD Barnhart expressed his gratitude for the hard work of the Physical Plant staff in making UK's facilities safe for those that participated in and attended athletic events during the heavy snowfall and icy conditions.

Football spring practice has begun. After the February signing day, UK's recruiting class ranked No. 34. Coach Stoops brought in three of the top four recruiting classes at UK and continues to bring in high-level talent. The construction on the stadium is progressing despite the harsh weather and still on schedule to be completed by September 5, 2015. Construction on the new practice facility is in progress.

Academically, the overall grade point average (GPA) for the Fall 2014 semester was 3.112. 20 of the 22 teams had a 3.0 GPA or above, and 63 student-athletes were named to the SEC All-Academic Honor Roll for the Fall semester, which was second in the league. There were 31 current and former student-athletes that graduated in December.

AD Barnhart continued his report with brief information regarding the Cost of Attendance (COA) scholarships that UK student-athletes will receive. COA will begin in August for the Fall 2015 semester. This will provide funds to student-athletes for incidental and cost of living expenses that are outside the normal scholarship.

An expanded relationship with iHeart Media was announced. This pertains to UK's relationship with local radio stations that provide broadcasts to fans. This 15-year deal matches the 15-year deal with JMI Sports.

Former UK baseball team member, AJ Reed, was named the Kentucky Sportsman of the Year. Coach John Calipari was named as a finalist for the Naismith Memorial Basketball Hall of Fame, which will be announced during the Final Four. UK football pioneer Nate Northington was featured in a CBS Sports Network documentary that highlighted him being the first African-American football player in the SEC in 1967. Art Still was named to the College Football Hall of Fame in January. Two UK greats were honored in February with retired jerseys, former UK gymnast Jenny Hansen, and former basketball player Tony Delk. Former UK soccer star Arin Gilliland was a first-round draft pick in the women's professional soccer league, selected No. 8 by the Chicago franchise.

President Capilouto recognized AD Barnhart as being selected National Athletic Director of the Year by the National Association of Collegiate Directors of Athletics.

Trustee Akins requested clarification about full COA scholarships. COA is a figure determined by the Office of Student Financial Aid for expenses outside the normal scholarship. The amount student-athletes are awarded will be dependent upon the type of scholarship they receive, i.e. full scholarship recipients receive full COA, partial scholarship recipients receive partial COA. There are approximately 275 scholarships that are shared among almost 500 athletes. While not every student-athletes will be on full COA, all will be on some COA. These funds will be distributed by semester, rather than a lump sum each academic year. Not all colleges will be able to offer these additional monies to all student athletes, but UK will provide the benefit to all its athletes.

Trustee Gatton asked if there will be a competitive advantage due to the lack of uniformity in the COA benefit. AD Barnhart stated that there will be some schools that have a higher COA than others based on geographics. It is hopeful that there will be some equity and similarities in how schools calculate the amount that athletes will receive.

Trustee Mobley commended AD Barnhart, the athletics staff, and those working in the Center for Academic and Tutorial Services (CATS) for the work that has been done to maintain and exceed the 3.0 GPA among student-athletes. Trustee Mobley asked if the 3.1 GPA represents scholarship athletes and walk-on athletes. The GPA that is calculated is based on that of scholarship athletes.

Trustee Grossman stated the UK athletes should be commended not only for their winning ability, but for their selflessness, good sportsmanship, and quality of character over the last year.

NCAA Rules and Compliance Presentation

The NCAA Rules and Compliance presentation was presented by Sandy Bell, Executive Associate AD/Student Services and Rachel Newman Baker, Senior Associate AD for Compliance. Information on the new NCAA Governance Process and Autonomy Legislation was included.

One of the most important pieces of the new structure was the formation of the NCAA Council that will assist with the new governance structure. The Council is made up of national leaders in college athletics. There is one representative from the SEC on the Council and that representative is Mitch Barnhart.

Ms. Baker familiarized the Board with the role and function of the Compliance Office:

- Educate: *Educate student-athletes, coaches, staff, donors/supporters, parents, local merchants, alumni and former players in order to avoid NCAA rules violations.*
- Interpretations: *Provide coaches, staff, student-athletes and donors/supporters interpretations of how NCAA/SEC rules should properly apply in order to avoid NCAA/SEC rules violations; provide coaches/staff with permissible alternative ways of accomplishing their goals*
- Monitor: *Collect and review information from coaches, student-athletes and staff to monitor adherence to NCAA and SEC rules and report all violations of NCAA/SEC rules*
- Waivers: *Research, write and submit waivers to the NCAA/SEC that would allow us to do something that otherwise would be in violation of NCAA/SEC rules*
- Investigate: *Investigate possible violations of NCAA rules and report to the NCAA/SEC all confirmed violations; declare involved student-athletes/recruits ineligible and seek reinstatement through the NCAA.*

Trustee Grossman asked how many substantive violations are reported each year. Ms. Baker stated there were approximately 20-25 level three violations reported per year which is average within the conference. Level three violations are secondary violations that are unintentional or cause a minimal competitive recruiting advantage. There haven't been any more severe violations reported in the past year.

Executive Associate AD Bell continued the presentation explaining the concept of "Institutional Control". NCAA Constitution 6.1.1 states that "A member institution's president or chancellor has ultimate responsibility and final authority for the conduct of the intercollegiate athletics program and the actions of any board in control of that program. The term 'president or chancellor' refers to the individual with primary executive authority for an institution and does not include an individual who has executive responsibility over a system of institutions." As such, if there is a major case in which a lack of institutional control is found, the president or chancellor will be identified in the public report that is released. The director of athletics will be named in the report in lack of control and failure to monitor cases, and head coaches will be named if a specific sport is involved or if the violation involves anyone that reports directly to the head coach. A Board member would only be named if the Board member was directly involved in the violation.

Ms. Baker provided insight on recruiting rules:

- Definition of Prospective Student-Athlete: *A prospective student-athlete is a student who has started classes for the ninth grade. In addition, a student who has not started classes for the ninth grade becomes a prospective student-athlete if the institution provides such an individual (or the individual's relatives or friends) any financial assistance or other benefits that the institution does not provide to prospective students generally.*
- An individual remains a prospective student-athlete until one of the following occurs (whichever is earlier):
 - (a) *The individual officially registers and enrolls in a minimum full-time program of studies and attends classes in any term of a four-year collegiate institution's regular academic year (excluding summer);*
 - (b) *The individual participates in a regular squad practice or competition at a four-year collegiate institution that occurs before the beginning of any term;*
 - (c) *The individual officially registers, enrolls and attends classes during the certifying institution's summer term prior to his or her initial full-time enrollment at the certifying institution; or*
 - (d) *The individual reports to an institutional orientation session that is open to all incoming students within 14 calendar days prior to the opening day of classes of a regular academic year term.*
- Definition of Prospective Student-Athlete in Men's Basketball: *Any individual who has started classes for the seventh grade is considered a prospective student-athlete.*
- As a member of the Board of Trustees you cannot be involved in the recruiting process. *Recruiting contacts on or off campus between a member of the institution's board of governor (or regents) and a prospective student-athlete are not permissible.*

Trustee Grossman asked if encouraging his high school-aged son's friends to come to UK was permissible. Executive Associate AD Bell stated that as a member of the Board of Trustees, speaking to his son's friends about attending UK is not permissible if they are in grades 9 – 12.

Ms. Baker also provided a brief overview of the NCAA New Governance Process and Autonomy Legislation. A reminder of the process for ticket requests, and autograph rules for student-athletes and coaches was also provided.

Business Items

Susan Krauss, Treasurer, reported to the Committee that the Audit and Compliance Subcommittee authorized the retention of BKD for the audit of the Department of Intercollegiate Athletics financial statements and other related audit work for the fiscal year ending June 30, 2015. The fiscal year 2015 audit engagement will include agreed upon procedures as required by the NCAA on certain financial data detailing operating revenues, expenses, and capital expenditures.

NCAA bylaws require that an independent accountant verify the accuracy and completeness of the financial data prior to submission to the institution's president and the NCAA. The engagement letter for the fiscal year 2015 was provided to the Committee.

The Committee acknowledged in FCR 1 the generous gift from Wendell and Vickie Bell that will be used towards capital projects within the Athletics department. The Committee reviewed FCR 12, Renovate Old Softball/Soccer Locker Room Capital Project, which is the increase in scope from \$1.5 to \$2.2 million due to actual bids exceeding the design consultant's original estimate. Trustee Brothers moved that FCR 12 be recommended to the Finance Committee. Trustee Holland seconded the motion, and it passed without dissent.

Other Business

With no further business, the meeting was adjourned at 10:52 a.m.

Respectfully submitted,

Dr. C.B. Akins, Sr.