

University of Kentucky
A Component Unit of the Commonwealth of Kentucky
Single Audit Reports and
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2012



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June 30, 2012

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UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

	SPONSOR ID	FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
RESEARCH AND DEVELOPMENT			
DEPARTMENT OF AGRICULTURE			
Agricultural Research Basic and Applied Research		10.001	1,376,608
Through Mississippi State University	012000-322348-01	10.001	38,976
US Department of Agriculture Contract	09-CS-11080226-013	10.09-CS-11080226-013	351
US Department of Agriculture Contract	09-CS-11132422-229	10.09-CS-11132422-229	25,457
US Department of Agriculture Contract	09-JV-11242307-111	10.09-JV-11242307-111	116
US Department of Agriculture Contract	10-JV-11330152-119	10.10-JV-11330152-119	32,314
US Department of Agriculture Contract	10-PA-11080222-029	10.10-PA-11080222-029	2,853
Through Kentucky Department of Agriculture	PO2 035 1000005643 2	10.170	(702)
Through Kentucky Department of Agriculture	PON2 035 1100001313 3	10.170	5,295
Through Kentucky Department of Agriculture	PON2 035 1100001315 3	10.170	(593)
Through Kentucky Department of Agriculture	PON2 035 1100001316 5	10.170	33,076
Through Kentucky Department of Agriculture	PON2 035 1100001317 3	10.170	13,983
Through Kentucky Department of Agriculture	PON2 035 1100001390 2	10.170	5,800
Through Kentucky Department of Agriculture	PON2 035 1200000906 1	10.170	2,339
Through Kentucky Department of Agriculture	PON2 035 1200000907 1	10.170	1,864
Grants for Agricultural Research, Special Research Grants		10.200	1,062,019
Through University of Florida	1000061650	10.200	228
Through Iowa State University	416-30-05A	10.200	2,178
Through Cornell University	62094-9562	10.200	392
Through University of Florida	PO 1000061392	10.200	243
Through University of Florida	PO 1000061472	10.200	87
Through University of Florida	PO 1100068328	10.200	5,186
Cooperative Forestry Research		10.202	420,978
Payments to Agricultural Experiment Stations		10.203	5,590,176
Grants for Agricultural Research Competitive Research Grants		10.206	736,229
Through Ohio State University	60013559	10.206	21,214
Through West Virginia University	PO 50012039	10.206	(2)
Animal Health and Disease Research		10.207	37,685
Through Insect Pest Management Development	2004-33610-14373	10.212	(11)
Through Kentucky State University	2010-38821-21522	10.216	8,735
Biotechnology Risk Assessment Research		10.219	57,731
Agricultural and Rural Economic Research		10.250	(2,582)
Through Washington State University	112890_G002645	10.303	160,575
Through University of Florida	UF IFAS 00069557	10.304	41,345
International Science and Education Grants		10.305	15,936
Through Iowa State University	416-30-06B	10.307	94,412
Through University of Illinois	2010-03728-02	10.309	21,657
Agriculture and Food Research Initiative (AFR)		10.310	644,706
Through University of California Davis	201015718-14	10.310	12,271
Through Pennsylvania State University	4382-UK-USDA-0017	10.310	28,109
Through University of Connecticut	FRS #561425	10.310	1,274
Through University of Minnesota	H001340202	10.310	16,515
Through Regents of the University of California	S-000480	10.310	14,812
Through University of Florida	UF10040; 00086631	10.310	31,719

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		FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
Biomass Research and Development Initiative		10.312	\$1,000,723
Value-Added Producer Grants		10.352	5,598
Cooperative Extension Service		10.500	227,846
Through National Institute for Hometown Security	02-09-UK	10.500	36,301
Through University of Massachusetts	11-006323 G 00	10.500	739
Through West Virginia University	98-228A-UKRF	10.500	35,579
Through University of Florida	UF11274	10.500	10,015
Through Binational Agricultural Research and Development	US-4318-10 R	10.600	30,474
Forestry Research		10.652	47,254
Through University of Montana	PG12-64736-01	10.652	10,025
Through Slow the Spread Foundation	05-01-02	10.664	22
Through Slow the Spread Foundation	11-01-02	10.664	46,570
Through Slow the Spread Foundation	12-01-02	10.664	994
Forest Health Protection		10.680	46,481
ARRA-Recovery Act of 2009: Capital Improvement		10.687	6,161
Soil and Water Conservation		10.902	147,932
Soil Survey		10.903	54,230
Environmental Quality Incentives Program		10.912	9,364
Through University of Tennessee	8500025673	10.912	1,144
ARRA-Emergency Watershed Protection Program		10.923	921
SUBTOTAL DEPARTMENT OF AGRICULTURE			12,279,927
DEPARTMENT OF COMMERCE			
Through Kentucky Highlands Investment Corp	EDA 04-79-06480	11.307	132,352
Cooperative Fishery Statistics		11.434	35,928
Through University of Southern Mississippi	USM-GR04061-001	11.472	20,179
ARRA-Measurement and Engineering Research and Standards		11.609	588,209
Congressionally-Identified Projects		11.617	54,552
Through AMT Nano LLC Coldstream Center	SB134111SE0864	11.SB134111SE0864	9,768
SUBTOTAL DEPARTMENT OF COMMERCE			840,988
DEPARTMENT OF DEFENSE			
Through Universal Technology Co	10-S587-0094-01-C3	12.10-S587-0094-01-C3	7,256
Through South Carolina Research Authority	2011-203	12.2011-203	73,688
Through Tuskegee University	30 22420 136 66122	12.30 22420 136 66122	1
Basic and Applied Scientific Research		12.300	674,051
Through Battelle Memorial Institute Columbus Operations	TCN 06011	12.300	(2)
Through Oak Ridge National Laboratory	4000100727	12.4000100727	278,966
Through Kentucky Department of Military Affairs	PO2 095 0600000291 1	12.401	2
Through Kentucky Department of Military Affairs	PO2 095 1000006783 1	12.401	10,622
Through Lockheed	4100316121	12.4100316121	1,923
Military Medical Research and Development		12.420	1,335,678
Through Denver Research Institute	005	12.420	84,657
Basic Scientific Research		12.431	806,810
Through Tulane University	TUL-590-09/10	12.431	313,731
Through Materials Sciences Corp	4478-AB51-105-04	12.4478-AB51-105-04	188,539
Through Materials Sciences Corp	497-SD21-121-02	12.497-SD21-121-02	4,175

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	SPONSOR ID	FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
Through Materials Sciences Corp	5036-SD20-001-24	12.5036-SD20-001-24	\$35,789
Through Materials Sciences Corp	5129-SD21-111-01	12.5129-SD21-111-01	8,002
Through University of Florida	UF-EIES-1102017-UKY	12.800	69,453
Mathematical Sciences Grants Program		12.901	14,936
Research and Technology Development		12.910	184,268
Through Adaptive Intelligent Systems LLC	DOD042008	12.910	21,470
Through Adaptive Intelligent Systems LLC	DOD062008	12.910	30,290
Through VSee Lab	DOD092009	12.910	39,573
Through NanoSonic Inc	A2-0041	12.A2-0041	(9)
Through Flashscan 3D	DOD122008	12.DOD122008	9,406
Through ObjectVideo Incorporated	FA8650-12-C-7212	12.FA8650-12-C-7212	7,159
Through HyPerComp Incorporated	FA9550-10-C-0121	12.FA9550-10-C-0121	283
Through Innovative Productivity Inc	IPI-11-N029	12.IPI-11-N029	38,930
Through Alliant Techsystems Incorporated	MP00044249	12.MP00044249	21,671
Department of Defense Contract	N00173-11-P-1033	12.N00173-11-P-1033	47,794
Through Covalent Group One Glenhardie Corporate Center	RBV A/B-01	12.RBV A/B-01	(16,892)
Through PPD Development Inc	RF1V-02(A)	12.RF1V-02(A)	120,067
Through ERC Incorporated	RS110485	12.RS110485	37,500
Through ERC Incorporated	RS111042	12.RS111042	103,664
Through Charles River Analytics Inc	SC1007201 / PO1100255	12.SC1007201 / PO1100255	115,319
Through Charles River Analytics Inc	SC1105201	12.SC1105201	30,765
Through Creative Electron Incorporated	USAF022011	12.USAF022011	14,767
Department of Defense Contract	W56HZV-07-C-0721	12.W56HZV-07-C-0721	237,018
Department of Defense Contract	W9113M-11-C-0044	12.W9113M-11-C-0044	1,308,705
Department of Defense Contract	W911S0-06-P-0011	12.W911S0-06-P-0011	1,085,984
Through Wake Forest University	WUHS 10183	12.WUHS 10183	571,536
SUBTOTAL DEPARTMENT OF DEFENSE			7,917,545
CENTRAL INTELLIGENCE AGENCY			
Central Intelligence Agency	2011-11070800001	13.2011-11070800001	62,704
SUBTOTAL CENTRAL INTELLIGENCE AGENCY			62,704
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT			
Community Outreach Partnership Center Program		14.511	2
SUBTOTAL DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT			2
DEPARTMENT OF THE INTERIOR			
Wildland Fire Research and Studies Program		15.232	92,186
Applied Science Program Coop Agreements		15.255	44,199
Through Commerce Cabinet Dept of Fish and Wildlife	KDFW-MOA-61406	15.611	22
Through Commerce Cabinet Dept of Fish and Wildlife	PO2 660 100000380 1	15.611	(3)
Through Commerce Cabinet Dept of Fish and Wildlife	PON2 660 1000003365 2	15.611	49,234
Through Commerce Cabinet Dept of Fish and Wildlife	PON2 660 1000003913 1	15.611	(360)
Through Commerce Cabinet Dept of Fish and Wildlife	PON2 660 1100002851 1	15.611	88,685
Through Commerce Cabinet Dept of Fish and Wildlife	PON2 660 1000003346 1	15.634	(3,073)
Through Commerce Cabinet Dept of Fish and Wildlife	PON2 660 1000003353 2	15.634	(2,492)

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	SPONSOR ID	FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
Assistance to State Water Resources Research Institutes		15.805	\$70,755
Earthquake Hazards Reduction Program		15.807	131,570
U.S. Geological Survey Research and Data Collection		15.808	48,632
National Cooperative Geologic Mapping Program		15.810	217,916
Through Kentucky Heritage Council	FY11-10-PA-101	15.904	26,200
Through Kentucky Heritage Council	GRANT 21-10-21924	15.904	2,356
American Battlefield Protection		15.926	6,664
Cooperative Research and Training Programs		15.945	10,679
Department of the Interior Contract	H5000095041	15.H5000095041	84,619
Department of the Interior Contract	H5000095041/J5536090200	15.H5000095041/J5536090200	16,912
Department of the Interior Contract	P11AT50437	15.P11AT50437	22,144
Department of the Interior Contract	PO #1443C5010070912	15.PO #1443C5010070912	30,602
Through University of Southern California	Y82308	15.Y82308	2,698
SUBTOTAL DEPARTMENT OF THE INTERIOR			940,145
DEPARTMENT OF JUSTICE			
Through American Bar Association	6690	16.528	8
Department of Justice Contract	A0N005195	16.A0N005195	21,894
Department of Justice Contract	A1N103291	16.A1N103291	44,057
SUBTOTAL DEPARTMENT OF JUSTICE			65,959
DEPARTMENT OF LABOR			
Through University of Baltimore	KENTUCKY-ADARE 2	17.248	16,309
Through Kentucky Department of Education	M-05463785	17.261	(1,186)
SUBTOTAL DEPARTMENT OF LABOR			15,123
DEPARTMENT OF STATE			
Through National Council for Eurasian and East European	825-12	19.300	21,089
Through Partnership for Energy & Environment Research	STATE 120903	19.STATE 120903	135,384
SUBTOTAL DEPARTMENT OF STATE			156,473
DEPARTMENT OF TRANSPORTATION			
Through Kentucky Transportation Cabinet	FRT 118	20.205	(3)
Through Kentucky Transportation Cabinet	FRT 126	20.205	353
Through Kentucky Transportation Cabinet	FRT 137	20.205	23,201
Through Kentucky Transportation Cabinet	FRT 141	20.205	(1)
Through Kentucky Transportation Cabinet	FRT 142	20.205	673
Through Kentucky Transportation Cabinet	FRT 143	20.205	2,646
Through Kentucky Transportation Cabinet	FRT 157	20.205	10,877
Through Kentucky Transportation Cabinet	FRT 158	20.205	44,024
Through Kentucky Transportation Cabinet	FRT 159	20.205	19,793
Through Kentucky Transportation Cabinet	FRT 160	20.205	28,184
Through Kentucky Transportation Cabinet	FRT 161	20.205	3,607
Through Kentucky Transportation Cabinet	FRT 179	20.205	98,177
Through Kentucky Transportation Cabinet	FRT 180	20.205	197
Through Kentucky Transportation Cabinet	FRT 182	20.205	5,397

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	SPONSOR ID	FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
Through Kentucky Transportation Cabinet	FRT 183	20.205	\$19,450
Through Kentucky Transportation Cabinet	FRT 184	20.205	33,216
Through Kentucky Transportation Cabinet	FRT 186	20.205	46,592
Through Kentucky Transportation Cabinet	FRT 188	20.205	47,916
Through Kentucky Transportation Cabinet	FRT 189	20.205	45,198
Through Kentucky Transportation Cabinet	FRT 190	20.205	42,072
Through Kentucky Transportation Cabinet	FRT 192	20.205	50,529
Through Kentucky Transportation Cabinet	FRT 193	20.205	6,277
Through Kentucky Transportation Cabinet	FRT 194	20.205	11,485
Through Kentucky Transportation Cabinet	KHIT 51	20.205	5,653
Through Kentucky Transportation Cabinet	KHIT 52	20.205	422
Through Kentucky Transportation Cabinet	KHIT 58	20.205	45,848
Through Kentucky Transportation Cabinet	KHIT 71	20.205	12,997
Through Kentucky Transportation Cabinet	M-04230541	20.205	233,515
Through Kentucky Transportation Cabinet	RSS 13	20.205	10,999
Through Kentucky Transportation Cabinet	TA 27	20.205	4,907
Through Kentucky Transportation Cabinet	TASK 30	20.205	119
Through Kentucky Transportation Cabinet	TASK 31	20.205	1,207
Through Kentucky Transportation Cabinet	TASK 33	20.205	82,341
Through Kentucky Transportation Cabinet	TASK 34	20.205	29,994
Through Kentucky Transportation Cabinet	TASK 35	20.205	39,336
Through Kentucky Transportation Cabinet	TASK 36	20.205	13,512
Through Kentucky Transportation Cabinet	TASK 40	20.205	14,900
Through Kentucky Transportation Cabinet	TASK 41	20.205	14,900
Through Kentucky Transportation Cabinet	TASK 43	20.205	15,027
Through Kentucky Transportation Cabinet	TASK 44	20.205	34,761
Through Kentucky Transportation Cabinet	FRT 88	20.215	24,021
Through Kentucky Transportation Cabinet	M-99135293	20.215	32
Through Kentucky Transportation Cabinet	RSF 20	20.217	63,148
Through Kentucky Transportation Cabinet	RSF 25	20.217	(186)
Through Kentucky Transportation Cabinet	RSF 27	20.217	31,367
Through Kentucky Transportation Cabinet	RSF 30	20.217	151,557
Through Kentucky Transportation Cabinet	RSF 33	20.217	4,994
Through Battelle Memorial Institute Columbus Operations	276477	20.276477	7,337
Through Kentucky Transportation Cabinet	TASK 37	20.505	17,944
Through Kentucky Transportation Cabinet	TASK 38	20.505	39,997
Through Kentucky Transportation Cabinet	C-02327148	20.515	(5,250)
Through Kentucky Transportation Cabinet	KCTRP 04-24	20.515	105,448
Through Kentucky Transportation Cabinet	KYSPR 06-322	20.515	3,190
Through Kentucky Transportation Cabinet	KYSPR 09-372	20.515	24,781
Through Kentucky Transportation Cabinet	KYSPR 09-373	20.515	16,374
Through Kentucky Transportation Cabinet	KYSPR 09-374	20.515	13,481
Through Kentucky Transportation Cabinet	KYSPR 09-377	20.515	19,489
Through Kentucky Transportation Cabinet	KYSPR 09-379	20.515	19,801
Through Kentucky Transportation Cabinet	KYSPR 09-381	20.515	10,877
Through Kentucky Transportation Cabinet	KYSPR 10-388	20.515	52,475
Through Kentucky Transportation Cabinet	KYSPR 10-393	20.515	65,625
Through Kentucky Transportation Cabinet	KYSPR 10-394	20.515	49,924
Through Kentucky Transportation Cabinet	KYSPR 10-395	20.515	28,393

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	SPONSOR ID	FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
Through Kentucky Transportation Cabinet	KYSPR 10-396	20.515	\$124,983
Through Kentucky Transportation Cabinet	KYSPR 10-404	20.515	87,826
Through Kentucky Transportation Cabinet	KYSPR 10-406	20.515	47,994
Through Kentucky Transportation Cabinet	KYSPR 10-408	20.515	60,770
Through Kentucky Transportation Cabinet	KYSPR 10-409	20.515	85,730
Through Kentucky Transportation Cabinet	KYSPR 11-411	20.515	47,465
Through Kentucky Transportation Cabinet	KYSPR 11-416	20.515	62,772
Through Kentucky Transportation Cabinet	KYSPR 11-417	20.515	59,559
Through Kentucky Transportation Cabinet	KYSPR 11-418	20.515	34,146
Through Kentucky Transportation Cabinet	KYSPR 11-419	20.515	95,079
Through Kentucky Transportation Cabinet	KYSPR 11-420	20.515	49,969
Through Kentucky Transportation Cabinet	KYSPR 11-421	20.515	44,996
Through Kentucky Transportation Cabinet	KYSPR 11-422	20.515	72,962
Through Kentucky Transportation Cabinet	KYSPR 11-423	20.515	24,999
Through Kentucky Transportation Cabinet	KYSPR 11-424	20.515	62,359
Through Kentucky Transportation Cabinet	KYSPR 11-425	20.515	75,015
Through Kentucky Transportation Cabinet	KYSPR 11-426	20.515	192,513
Through Kentucky Transportation Cabinet	KYSPR 11-427	20.515	84,036
Through Kentucky Transportation Cabinet	KYSPR 11-428	20.515	27,801
Through Kentucky Transportation Cabinet	KYSPR 11-451	20.515	40,031
Through Kentucky Transportation Cabinet	KYSPR 11-56	20.515	255
Through Kentucky Transportation Cabinet	KYSPR 12-429	20.515	98,539
Through Kentucky Transportation Cabinet	KYSPR 12-430	20.515	84,997
Through Kentucky Transportation Cabinet	KYSPR 12-431	20.515	41,762
Through Kentucky Transportation Cabinet	KYSPR 12-432	20.515	54,995
Through Kentucky Transportation Cabinet	KYSPR 12-433	20.515	72,150
Through Kentucky Transportation Cabinet	KYSPR 12-434	20.515	66,979
Through Kentucky Transportation Cabinet	KYSPR 12-435	20.515	48,188
Through Kentucky Transportation Cabinet	KYSPR 12-436	20.515	19,969
Through Kentucky Transportation Cabinet	KYSPR 12-437	20.515	76,994
Through Kentucky Transportation Cabinet	KYSPR 12-438	20.515	58,072
Through Kentucky Transportation Cabinet	KYSPR 12-439	20.515	80,640
Through Kentucky Transportation Cabinet	KYSPR 12-440	20.515	74,984
Through Kentucky Transportation Cabinet	KYSPR 12-441	20.515	74,957
Through Kentucky Transportation Cabinet	KYSPR 12-442	20.515	54,970
Through Kentucky Transportation Cabinet	KYSPR 12-443	20.515	74,740
Through Kentucky Transportation Cabinet	KYSPR 12-444	20.515	49,561
Through Kentucky Transportation Cabinet	KYSPR 12-445	20.515	71,045
Through Kentucky Transportation Cabinet	KYSPR 12-446	20.515	70,994
Through Kentucky Transportation Cabinet	KYSPR 12-447	20.515	29,980
Through Kentucky Transportation Cabinet	KYSPR 12-448	20.515	66,475
Through Kentucky Transportation Cabinet	KYSPR 12-449	20.515	77,334
Through Kentucky Transportation Cabinet	KYSPR 12-51	20.515	223,883
Through Kentucky Transportation Cabinet	KYSPR 12-56	20.515	1,443,904
Through Kentucky Transportation Cabinet	KYSPR 85-107	20.515	98,568
Through Kentucky Transportation Cabinet	PLANNING STUDY 20	20.515	119,970
Through Kentucky Transportation Cabinet	K9-12-02	20.600	7,238
Through Kentucky Transportation Cabinet	OP-11-05	20.600	46,785
Through Kentucky Transportation Cabinet	OP-12-05	20.600	50,254

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	SPONSOR ID	FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
Through Kentucky Transportation Cabinet	PT-11-53	20.600	\$19,964
Through Kentucky Transportation Cabinet	RS-11-01	20.600	43,158
Through Kentucky Transportation Cabinet	RS-12-01	20.600	45,599
Through Kentucky Transportation Cabinet	RSF 14	20.600	69,438
Through Kentucky Transportation Cabinet	RSF 31	20.600	70,225
Through Kentucky Transportation Cabinet	RSF 32	20.600	60,718
Through Kentucky Transportation Cabinet	TA 39	20.600	18,535
Through Kentucky Transportation Cabinet	TR-11-03	20.600	20,294
Through Kentucky Transportation Cabinet	K9-11-04	20.610	19,208
Through University of Illinois	2012-02061-01	20.701	50,885
Through Marshall University Research Corporation	2012-087 / 212134	20.701	93,624
Through University of Tennessee	102130	20.761	1,077
Department of Transportation Contract	DTMC75-09-H-00016	20.DTMC75-09-H-00016	5,961
SUBTOTAL DEPARTMENT OF TRANSPORTATION			7,083,990
APPALACHIAN REGIONAL COMMISSION			
Through Morehead State University	2011-12-007-001	23.001	123,960
Appalachian Research, Technical Assistance		23.011	60,220
SUBTOTAL APPALACHIAN REGIONAL COMMISSION			184,180
NATIONAL AERONAUTICS & SPACE ADMINISTRATION			
Aerospace Education Services Program		43.001	234,893
Through Space Telescope Science Institute	HST-AR-12125.01-A	43.001	90,630
Through Western Kentucky University	WKURF 516204-08-01	43.001	105,374
Technology Transfer		43.002	426,321
Through National Space Biomedical Research Institute	NCC9-58-183	43.002	121
Education		43.008	191,259
National Aeronautics Space Commission Contract	1355922	43.1355922	69
National Aeronautics Space Commission Contract	1401954	43.1401954	55,127
Through University of Wisconsin	180K924	43.180K924	170,370
Through Western Kentucky University	596304-10-001	43.596304-10-001	17,921
Through Space Telescope Science Institute	HST-GO-12309.02-A	43.HST-GO-12309.02-A	283
Through National Space Biomedical Research Institute	NCC9-58-183	43.NCC9-58-183	(1,053)
Through University of Pennsylvania	NNA04CK46G	43.NNA04CK46G	(2)
National Aeronautics Space Commission Contract	NNG06GJ35G	43.NNG06GJ35G	1,924
National Aeronautics Space Commission Contract	NNX10AD39G	43.NNX10AD39G	106,459
National Aeronautics Space Commission Contract	NNX10AL96H	43.NNX10AL96H	657,787
National Aeronautics Space Commission Contract	NNX10AN01A	43.NNX10AN01A	265,979
National Aeronautics Space Commission Contract	NNX10AV39A	43.NNX10AV39A	105,406
National Aeronautics Space Commission Contract	RFP-12-001-006	43.RFP-12-001-006	9,848
National Aeronautics Space Commission Contract	RFP-12-001-012	43.RFP-12-001-012	5,938
National Aeronautics Space Commission Contract	RSA NO: 1430426	43.RSA NO: 1430426	21,819
Through CFD Research Corporation	SUBCONTRACT # 1485	43.SUBCONTRACT # 1485	22,363
Through University of Louisville	ULRF 11-0774-01	43.ULRF 11-0774-01	14,944
Through Western Kentucky University	WKU 596198-04-10	43.WKU 596198-04-10	(17)
Through Western Kentucky University	WKURF 516212-09-01	43.WKURF 516212-09-01	104,447
Through Western Kentucky University	WKURF 596285-10-001	43.WKURF 596285-10-001	32,935

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	SPONSOR ID	FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
Through Western Kentucky University	WKURF 596868-08-01	43.WKURF 596868-08-01	\$6
SUBTOTAL NATIONAL AERONAUTICS & SPACE ADMINISTRATION			2,641,151
NATIONAL ENDOWMENT FOR THE HUMANITIES			
Through St Louis University	NEH112010	45.169	9,755
Librarians for the 21st Century		45.313	81,759
SUBTOTAL NATIONAL ENDOWMENT FOR THE HUMANITIES			91,514
NATIONAL SCIENCE FOUNDATION			
Engineering Grants		47.041	1,645,901
Through Michigan State University	61-2561UK	47.041	15,059
Through Optical Dynamics Co	NSF022009	47.041	3,057
Through American Acoustical Products	NSF072011	47.041	50,000
Through Direct i2i Technologies Inc	NSF082008	47.041	34,138
Mathematical and Physical Sciences		47.049	3,437,078
Through University of Pennsylvania	2529162	47.049	15,959
Through University of Louisville	ULRF 11-0817-02	47.049	15,547
Through Incorporated Research Institutions for Seismology	80-15	47.050	19,689
Computer and Information Science and Engineering		47.070	1,045,360
Through BBN Technologies The GENI Project Office	9500009448	47.070	61,552
Biological Sciences		47.074	1,702,060
Through University of Delaware	27181	47.074	1,320
Through Boyce Thompson Institute for Plant Research	BTI 07-05	47.074	331
Through Duke University	EF-0830093	47.074	111,641
Through Kansas State University	S12051	47.074	32,086
Social, Behavioral, and Economic Sciences		47.075	554,233
Through Duke University	12-NSF-1085	47.075	61,520
Through Ohio State University	60027792-01	47.075	82,366
Education and Human Resources		47.076	345,208
Through Tennessee Technological University	1022934	47.076	30,226
Through Kentucky Science and Technology Corp	KSTC123-ESR0086188-04-01	47.076	1
Through University of Louisville	ULRF08-0891-04	47.076	105,840
International Science and Engineering (OISE)		47.079	140,922
Office of Experimental Program to Stimulate Competitive		47.081	2,722,600
ARRA-TRANS-NSF Recovery Act Research Support		47.082	3,620,754
Through ARRA-Columbia University	1(ACCT #5-24688)	47.082	73,848
Through ARRA-DePaul University	500733SG067	47.082	15,656
Through ARRA-BBN Technologies The GENI Project Office	PO # 9500010143	47.082	180,953
Through ARRA-University of Southern Mississippi	USM-GR03690-001	47.082	22,118
National Science Foundation	CCF-1049360	47.CCF-1049360	75,217
Through University of Colorado	NSF06062012	47.NSF06062012	277
Through West Virginia University	WVURC PO#50068397	47.WVURC PO#50068397	11,064
SUBTOTAL NATIONAL SCIENCE FOUNDATION			16,233,581
DEPARTMENT OF VETERANS AFFAIRS			
Veterans Administration Contract	C15910	64.C15910	(35)

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	SPONSOR ID	FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
Veterans Administration Contract	VA012011	64.VA012011	\$5,538
SUBTOTAL DEPARTMENT OF VETERANS AFFAIRS			5,503
ENVIRONMENTAL PROTECTION AGENCY			
Targeted Watershed Grants		66.439	43,901
Through Kentucky Energy and Environment Cabinet	PON2 129 1100001694 2	66.454	8,760
Through Kentucky Energy and Environment Cabinet	PO2 590 0700010583 2	66.460	20,940
Through Kentucky Energy and Environment Cabinet	PON2 129 1100001573 1	66.460	23,884
Through Kentucky Energy and Environment Cabinet	PON2 129 1100001694 2	66.460	26,930
Science To Achieve Results (STAR) Research Program		66.509	678,178
Office of Research and Development Consolidated Research		66.511	101,520
P3 Award: National Student Design Competition		66.516	2,841
Through Consortium for Plant Biotechnology Research, Inc	EPA82947901-237	66.600	(2)
SUBTOTAL ENVIRONMENTAL PROTECTION AGENCY			906,952
DEPARTMENT OF ENERGY			
Through ARRA-University of Georgia	RU267-507/4693218	81.003	27,118
Through ARRA-Kentucky Energy and Environment Cabinet	PO2 127 1000004299 1	81.041	2,555,817
Office of Science Financial Assistance Program		81.049	1,972,632
Through Duke University	08-SC-NICCR-1073	81.049	71,764
Through Green Technology Limited Company	DE-SC0006378	81.049	30,027
Through Cornell University	SUBAWARD #: 63079-9708	81.049	71,296
Through University of Chicago	SUBAWARD 39683	81.049	17,151
Through South Dakota State University	3TD152	81.079	16,936
Through University of Louisville	ULRF 05-1231F	81.079	(1,361)
Through University of Louisville	ULRF 05-1231G	81.079	(968)
Renewable Energy Research and Development		81.087	479,791
Through West Virginia University	10-733-UKRF	81.087	337,614
Through Morehead State University	2010-11-072-001	81.087	36,200
Through South Dakota State University	3TE153	81.087	26,511
Through Consortium for Plant Biotechnology Research, Inc	GO12026-325	81.087	108,671
Fossil Energy Research and Development		81.089	1,712,655
Through Battelle Memorial Institute Columbus Operations	202290	81.089	37,898
Through Virginia Polytechnic Institute and State University	429307-19660	81.089	106,403
Through Illinois State Geological Survey	A5507	81.089	74,739
Through Virginia Polytechnic Institute and State University	CR-19109-C-429231	81.089	6,863
Through Center for Advanced Separation	DE-FE0000699	81.089	115,852
Through CoalTek Incorporated	DE-FE0005293	81.089	139,653
Through Novozymes North America Inc.	DE-FE0007741	81.089	16,280
Environmental Restoration		81.092	822,963
Through Idaho State University	09-265E TASK ORDER 00079	81.09-265E TASK ORDER 00079	84,813
Through Kentucky Energy and Environment Cabinet	PON2 129 1000003622 1	81.104	35,058
Through Kentucky Energy and Environment Cabinet	PON2 129 1100001969 1	81.104	47,308
Stewardship Science Grant Program		81.112	145,221
Through University of Houston	R-10-0030	81.117	348,393
Through ARRA-Kentucky Public Service Commission	PON2 123 1000002932 1	81.122	4
Through ARRA-Kentucky Public Service Commission	PON2 123 1000002983 1	81.122	70,563

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	SPONSOR ID	FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES	
Through ARRA-University of Illinois	2010-00636-01	A2349	81.132	\$36,345
ARRA-Advanced Research and Projects Agency			81.135	387,876
Through University of California Berkely	00007863		81.135	57,448
Through ARRA-Pennsylvania State University	4199-UK-DOE-0092		81.135	115,208
Through Texas AgriLife Research	570792		81.135	36,728
Through Battelle Memorial Institute Columbus Operations	228857		81.228857	39,270
Through University of Michigan	3001318173		81.3001318173	39,155
Through Oak Ridge National Laboratory	4000100512		81.4000100512	850
Through Oak Ridge National Laboratory	4000103052		81.4000103052	9,804
Through Oak Ridge National Laboratory	4000103637		81.4000103637	85,670
Through Kentucky Cabinet for Health and Family Services	M-05148006		81.502	1
Through Los Alamos National Laboratory	65335-001-08		81.65335-001-08	5,063
Department of Energy Contract	DE-NT0005988		81.DE-NT0005988	463,072
Through NEI Corporation	DOE092008		81.DOE092008	282
Through Argonne National Laboratory	OF33642/DEAC0206CH111357		81.OF33642/DEAC0206CH111357	8,578
Through TDA Research Incorporated	UKRF 11.01		81.UKRF 11.01	185,175
SUBTOTAL DEPARTMENT OF ENERGY				10,984,390
DEPARTMENT OF EDUCATION				
Through Kentucky Council on Postsecondary Ed	PO2 415 1000005779 1		84.002	(405)
Through Kentucky Content Literacy Consortium Danville Schools	6946		84.048	23
Through Kentucky Content Literacy Consortium Danville Schools	DOED 031510		84.048	87,096
Through Morehead State University	11223197 P116Z040152		84.116	739
Education Research, Development and Dissemination			84.305	87,467
Through Duke University	10-ED-1097		84.305	100,380
Research in Special Education			84.324	282,502
Through University of South Carolina	12-2108 PO#42179		84.324	25,142
Special Education Personnel Preparation to Improve Services			84.325	92,663
Through University of Oregon	223811A		84.327	127,776
Through Western Kentucky University	WKURF 543604-06-46		84.336	(21)
Through Kentucky Department of Education	M-04155120		84.367	(16,396)
Through Kentucky Council on Postsecondary Education	PO2 415 1000003144 1		84.367	(161)
Through Kentucky Council on Postsecondary Education	PO2 415 1100002582 2		84.367	100,944
Through Kentucky Department of Education	PON2 540 1100001001 5		84.371	121,454
Through Kentucky Education Professional Standards Board	PO2 183 1000004913 1		84.372	26,396
Through University of Minnesota	T3039214501		84.373	1,730
Through ARRA-University of Utah	10018883-KY/PRIME 101760		84.397	(66)
SUBTOTAL DEPARTMENT OF EDUCATION				1,037,263
DEPARTMENT OF HEALTH AND HUMAN SERVICES				
Through VaxGen Inc.	03-BMT-150		93.03-BMT-150	13,417
Through Kentucky Cabinet for Health and Family Services	M-05411849		93.051	(7)
Through National Institute for Pharmaceutical Technology	NIPTE-U01-KY-001-2012		93.103	28,414
Biological Response to Environmental Health Hazards			93.113	1,235,802
Through Columbia University	5-37761		93.113	9,040
Through Science Applications International Co	11XS031		93.11XS031	2,191
Oral Diseases and Disorders Research			93.121	205,663

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	SPONSOR ID	FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
Through Health Decision Technologies LLC	1R3DE021327-01	93.121	\$65
Through Oraceuticals Inc	1R41DE016172	93.121	(1)
Through Oraceuticals Inc	NIHR412011	93.121	16,533
Through Rice University	YR2 FUNDING SUB R21731	93.121	175,070
Centers for Research and Demonstration for Health Promotion		93.135	124,649
Injury Prevention and Control Research and State		93.136	472,176
NIEHS Superfund Hazardous Substances Basic Research		93.143	2,238,837
Research Related to Deafness and Communication Disorders		93.173	1,325,197
Through University of Michigan	3001396589	93.173	74,175
Through Georgia State University	DLN22-01	93.173	6
Department of Health and Human Services Contract	200-2009-30678	93.200-2009-30678	263,966
Department of Health and Human Services Contract	200-2011-40628	93.200-2011-40628	113,017
Research and Training in Complementary and Alternative		93.213	377,385
Through Ohio State University	60035714 / R01AT004922	93.213	19,208
Through Naprogenix AgTeCC	NAPROGENIX-UKRF 10-01-10	93.213	56,486
Through Akeso Health Sciences LLC	NIH092009	93.213	36,540
Through Ohio State University	RF01208463/60026485	93.213	91,493
National Center on Sleep Disorders Research		93.233	43,190
Policy Research and Evaluation Grants		93.239	28,314
Mental Health Research Grants		93.242	824,078
Through University of Notre Dame	201705	93.242	44,851
Through Medical University of South Carolina	MUSC11-026	93.242	105,252
Through Community Action Council for Lexington Fayette	121934	93.243	12,095
Through Catholic Social Services of the Diocese	5H79SM059210-02	93.243	6,997
Through Catholic Social Services of the Diocese	5H79SM059210-03	93.243	21,198
Through HOPE Center of Lexington	CSAT-MEN	93.243	60,247
Through Kentucky Cabinet for Health and Family Services	PO2 729 1000005251 2	93.243	38,679
Through Kentucky Cabinet for Health and Family Services	PO2 729 1100005272 1	93.243	6,154
Through Kentucky Administrative Office of the Courts	PON2 025 0900012888 3	93.243	20,718
Through Kentucky Administrative Office of the Courts	PON2 025 0900012900 3	93.243	11,203
Occupational Safety and Health Program		93.262	1,266,659
Through University of Louisville	02-0806	93.262	(3,470)
Through Colorado State University	G-46241-1	93.262	278
Alcohol Research Programs		93.273	2,533,604
Through Naprogenix AgTeCC	1R43AA018226-01A2	93.273	55,408
Through University of Mississippi	66100080712-UKY01	93.273	14,056
Through University of Tennessee	9000008357	93.273	20,954
Through Naprogenix AgTeCC	NAPROGENIX-UKRF 10-1-08	93.273	131,279
Through Naprogenix AgTeCC	NAPROGENIX-UKRF 8-01-07	93.273	68,872
Through Northern Kentucky University	NKU-UKRF-4000644-01	93.273	42,977
Through University of Georgia	RR274-346/3503288	93.273	26,659
Drug Abuse and Addiction Research Programs		93.279	7,437,893
Through University of Cincinnati	007073	93.279	21,515
Through University of Arkansas	39672/PO G120105727	93.279	40,358
Through University of Arkansas	39672/PO G120105728	93.279	16,426
Through Boston University	4500000109	93.279	191,493
Through University of Cincinnati	COEUS# 7073, 3U10DA01373	93.279	103,444
Through Alcomed Incorporated	NIH052011	93.279	132,040
Through Alcomed Incorporated	NIH052012	93.279	6,464

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	SPONSOR ID	FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
Through Alcomed Incorporated	NIH092010	93.279	(\$1,993)
Through University of Tennessee	R073223387	93.279	91,106
Through University of Georgia	RR274-332/3506508	93.279	25,414
Through University of Georgia	RR274-381/4785666	93.279	16,043
Through University of Georgia	RR274-403/4895506	93.279	7,175
Through University of Louisville	ULRF 10-0085	93.279	22,523
Through Kentucky Cabinet for Health and Family Services	PO2 728 0800017491 1	93.283	3
Through Kentucky Cabinet for Health and Family Services	PON2 728 1000003926 1	93.283	(600)
Through Kentucky Cabinet for Health and Family Services	PON2 728 1100002142 1	93.283	119,781
Discovery and Applied Research for Technological Innovations		93.286	321,748
Minority Health and Health Disparities Research		93.307	1,006,902
Through University of Michigan	3000691501-RPT	93.310	146,280
Through Duke Clinical Research Institute	3449 REVEAL SITE 333	93.3449 REVEAL SITE 333	850
Through ParaTechs Corp	8R44OD010958-03	93.351	14,881
Nursing Research		93.361	465,414
Through NACC/University of Washington	725910	93.361	14,995
Through NACC/University of Washington	737298	93.361	4,757
Through University of Missouri	C00036084-2	93.361	20,529
National Center for Research Resources		93.389	12,450,314
Through Miami University	HERSHBERGER-NIH-UKRF	93.389	13,914
Through University of Louisville	OGMB090109B1-UKY	93.389	299,109
Through Marshall University Research Corporation	P1200434	93.389	85,958
Through Washington University	WU-11-204	93.389	2,913
Cancer Cause and Prevention Research		93.393	2,152,602
Through University of Massachusetts	6114214/RFS900200	93.393	49,600
Through University of Miami	M158945	93.393	38,750
Through University of Miami	M162542	93.393	32,816
Through Pennsylvania State University	UKY-CA140335	93.393	25,739
Cancer Detection and Diagnosis Research		93.394	353,862
Cancer Treatment Research		93.395	2,298,340
Through National Childhood Cancer Foundation	020742	93.395	112,389
Through American College of Radiology	0526	93.395	34,767
Through St Jude Childrens Research Hospital	111495018-7384667	93.395	(8,840)
Through St Jude Childrens Research Hospital	111495020-7425086	93.395	27,597
Through National Childhood Cancer Foundation	18503	93.395	46
Through National Childhood Cancer Foundation	18796	93.395	11
Through National Childhood Cancer Foundation	19548	93.395	1,234
Through National Childhood Cancer Foundation	21001	93.395	7,170
Through The Gynecologic Oncology Group	27469-060	93.395	185,915
Through Southwest Oncology Group	CA32102	93.395	4,199
Through Exatherm LLC	NIH072011	93.395	138,179
Through MC3 Inc	NIH122010	93.395	12
Through NSABP Foundation	TIND 182	93.395	17,834
Cancer Biology Research		93.396	1,735,117
Through University of Connecticut Health Center	UCH6-26256088	93.396	40,433
Cancer Centers Support Grants		93.397	499,401
Cancer Research Manpower		93.398	306,165
Through Southwest Oncology Group	CA37429	93.399	(86)
Through NSABP Foundation	PFED26-KEN-01	93.399	9,622

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		FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
Through Washington University	WU-HT-11-14 PO 2911004P	93.399	\$6,427
Through Washington University	WU-HT-12-20 PO2917246T	93.399	2,179
Through University of Pittsburgh	402417-04	93.402417-04	7,081
Through Kentucky Cabinet for Health and Family Services	PO2 724 1100003258 1	93.525	82,918
Through Eastern Kentucky University	452134-11-163	93.558	(302)
Through Eastern Kentucky University	452319-12-118	93.558	17,875
Through Kentucky Cabinet for Health and Family Services	PON2 736 1000003631 1	93.558	5,526,565
Refugee and Entrant Assistance Wilson/Fish Program		93.583	257,782
Department of Health and Human Services Contract	5R01AI078756-05	93.5R01AI078756-05	302,913
Head Start		93.600	24,514
Through Kentucky Cabinet for Health and Family Services	PO2 729 1000004763 1	93.630	135,502
Through Eastern Kentucky University	452319-12-118	93.658	11,590
ARRA-Non-Construction Grants		93.701	13,678,344
Through ARRA-University of Texas Health Science Center	0007209-A	93.701	23,655
Through ARRA-University of Florida	00081712 UF09174	93.701	222,249
Through ARRA-University of Pittsburgh	0015124 PROJECT # 116763	93.701	3,151
Through ARRA-University of New Mexico	028176-87K8	93.701	10,038
Through ARRA-West Virginia University	09-726ARRA-UKRF	93.701	67,986
Through ARRA-Duke Clinical Research Institute	173530	93.701	1,493
Through ARRA-East Tennessee State University	220561-01-2 5R03CA139876	93.701	1,178
Through ARRA-University of California San Diego	23-UKL ADNI-GO	93.701	2,490
Through ARRA-University of Michigan	3001413335-PNT	93.701	72,482
Through ARRA-Indiana State University	HHS56 1R01GM084229-01A2	93.701	187
Through ARRA-National Development and Research	NDRI PROJ 612B PO 47820	93.701	56,264
Through ARRA-Davidson College	OGC2009-22	93.701	2,738
Through ARRA-University of Miami	PO# M159067	93.701	33,297
Through ARRA-University of Texas Health Science Center	00075121	93.728	64,757
Heart and Vascular Diseases Research		93.837	4,968,669
Through University of California San Francisco	4528SC	93.837	14,646
Through Medarray Inc	NHLBI012012	93.837	88,061
Through W-Z Biotech LLC	NIH122011	93.837	48,670
Through Carl T. Hayden Medical Research Foundation	R01HL094775	93.837	5,660
Lung Diseases Research		93.838	2,062,623
Blood Diseases and Resources Research		93.839	548,461
Through University of North Carolina	5-34426	93.839	(1,245)
Arthritis, Musculoskeletal and Skin Diseases Research		93.846	2,216,124
Through Johns Hopkins University	2001073065	93.846	38,733
Through Ohio State University	60032679/R01AR055563	93.846	42,540
Through Ohio State University	60032699/R01AR055563	93.846	9,787
Through University of Iowa	PO# 1000885508	93.846	24,289
Diabetes, Endocrinology and Metabolism Research		93.847	5,225,393
Through Southern Illinois University	520339	93.847	22,921
Through University of North Carolina	5-31706	93.847	2,970
Through University of South Florida	HHSN267200800019C	93.847	358
Through University of North Texas Health Science Center	RN0065-2012-001	93.847	24,165
Extramural Research Programs in the Neurosciences		93.853	7,011,333
Through Massachusetts General Hospital	2003A009773/214300	93.853	30,354
Through University of Michigan	3000691501-RPT	93.853	(14)
Through University of Michigan	3000770498-ALIAS	93.853	76,808

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	SPONSOR ID	FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
Through University of Michigan	3001300372-PIII	93.853	\$101,735
Through University of Michigan	3002112003-SHN	93.853	1,371
Through University of Michigan	5000002954	93.853	5,514
Through University of California San Francisco	558055-24034	93.853	62,847
Through Yale University	A06760/1 R01 NS044876-02	93.853	76,413
Through Yale University	A07868 (M07A00656)	93.853	434
Through Yale University	A08082 (M10A10562)	93.853	21,148
Through University of North Carolina	DOBBS092010	93.853	(9,943)
Through University of British Columbia	F09-04888	93.853	43,282
Through Yale University	M07A00656 (A08383)	93.853	32,362
Through Yale University	M10A10562 (A08580)	93.853	29,138
Through Texas A&M Research Foundation	S080082 447732 03001	93.853	(1,100)
Through Columbia University	U01 NS043975	93.853	1,740
Allergy, Immunology and Transplantation Research		93.855	3,044,640
Through University of Pittsburgh	0011210, 115316-1	93.855	350,783
Through University of Chicago	37045	93.855	103,649
Through University of North Carolina	5-32387	93.855	40,129
Through University of North Carolina	UNC-CH5-31181	93.855	113,479
Through Washington University	WU-09-57 PO290491	93.855	247,518
Microbiology and Infectious Diseases Research		93.856	44,895
Through Planet Biotechnology Incorporated	NIH082011	93.856	22,717
Biomedical Research and Research Training		93.859	2,224,104
Through ParaTechs Corp	1R43GM090342-01A1	93.859	26,246
Through University of Minnesota	P657611701	93.859	78,064
Through AM Biotechnologies LLC	RNASBIR-2	93.859	28,505
Genetics and Developmental Biology Research		93.862	(89)
Child Health and Human Development Extramural Research		93.865	2,191,740
Through University of Illinois	2010-06423-01-00	93.865	34,629
Through NACC/University of Washington	736904	93.865	1,957
Through Medical University of South Carolina	MUSC11-011	93.865	22,660
Through Alkymos Inc	NIH082011	93.865	116,502
Aging Research		93.866	9,495,719
Through University of Pittsburgh	0010382	93.866	108,327
Through Arizona State University	08-913	93.866	98,779
Through University of Illinois	2008-03931-06-03	93.866	316,229
Through University of California San Diego	23-00	93.866	21,352
Through Boston University	4500000855 / 9500234634	93.866	10,071
Through Scout Diagnostics, LLC	5R24AG030239-03	93.866	114,971
Through NACC/University of Washington	5U01AG016976-13	93.866	24,738
Through University of California San Diego	ADC-030	93.866	17,142
Through University of California San Diego	ADC-035	93.866	2,876
Through University of California San Diego	ADC-039	93.866	139,174
Through Seattle Institute for Biomedical and Clinical Research	BW22-KENTUCKY 2	93.866	129,825
Through Seattle Institute for Biomedical and Clinical Research	BW22-KENTUCKY 3	93.866	24,537
Through University of California San Diego	CSSA 23-UKL-IGIV M-00-AD	93.866	102,081
Through University of California San Diego	S9000021	93.866	23,789
Through University of Maryland	SR00001586	93.866	30,002
Vision Research		93.867	2,218,714
Through University of Connecticut Health Center	110204	93.867	26,346

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	SPONSOR ID	FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
Through St Lukes Roosevelt Institute for Health Sciences	NIH032012	93.867	\$155
Grants for Training in Primary Care Medicine and Dentistry		93.884	96,685
Specially Selected Health Projects		93.888	11,388
Through Trover Clinic Foundation	HRSA082011	93.912	91,676
Through CHARM Inc	CDC0411	93.943	3,660
Through Kentucky Cabinet for Health and Family Services	M-03444108	93.952	(1)
Through Kentucky Cabinet for Health and Family Services	PO2 279 0700007755 1	93.959	20
Through Kentucky Cabinet for Health and Family Services	PO2 729 1100005272 1	93.959	57,063
Through Kentucky Cabinet for Health and Family Services	PO2 728 1100000176 1	93.994	(954)
Through Kentucky Cabinet for Health and Family Services	PO2 728 1100004842 1	93.994	5,405
Department of Health and Human Services Contract	HHSN261200700019C	93.HHSN261200700019C	118,345
Department of Health and Human Services Contract	HHSN266200500045P	93.HHSN266200500045P	241,493
Department of Health and Human Services Contract	HHSN271201100710P	93.HHSN271201100710P	85,139
Department of Health and Human Services Contract	HHSN276201000725P	93.HHSN276201000725P	19,913
Through National Institute for Pharmaceutical Technology	KY0001	93.KY0001	7,156
Through National Childhood Cancer Foundation	NCI071508	93.NCI071508	1,298
Through University of Louisville	OGMB090109C1-UKY-A	93.OGMB090109C1-UKY-A	166
Through Bavarian Nordic	POX-MVA-008	93.POX-MVA-008	31,752
Through Bavarian Nordic	POX-MVA-010	93.POX-MVA-010	6,043
Through Bavarian Nordic	POX-MVA-024	93.POX-MVA-024	36,256
Through Duke University Medical Center	SPS 102970	93.SPS 102970	5,613
Through Institute for Genomic Research	TIGR-04-010/N01-A1-15547	93.TIGR-04-010/N01-A1-15547	(709)
Department of Health and Human Services Contract	U01CA151648	93.U01CA151648	131,605
SUBTOTAL DEPARTMENT OF HEALTH AND HUMAN SERVICES			109,387,664
DEPARTMENT OF HOMELAND SECURITY			
Through National Institute for Hometown Security	01-10-UK	97.01-10-UK	48,145
Through National Institute for Hometown Security	02-09-UK	97.02-09-UK	284,390
Through National Institute for Hometown Security	02-10-UK	97.02-10-UK	687,016
Through National Institute for Hometown Security	03-09-UK	97.03-09-UK	317,113
Through National Institute for Hometown Security	04-09-UK	97.04-09-UK	459,634
Through University of Southern California	160002	97.061	31,722
Through University of Minnesota	P001955409	97.061	174,184
Through Kansas State University	S11199	97.061	39,744
Through National Institute for Hometown Security	08-07-UK	97.08-07-UK	278,552
Through National Institute for Hometown Security	08-09-UK	97.08-09-UK	44,722
Through University of Louisville	090446Z01	97.090446Z01	171,444
Through National Institute for Hometown Security	09-07-UK	97.09-07-UK	246,282
Through National Institute for Hometown Security	10-07-UK	97.10-07-UK	236,426
Through National Institute for Hometown Security	11-07-UK	97.11-07-UK	278,644
Through National Institute for Hometown Security	12-07-UK	97.12-07-UK	858,422
Through National Institute for Hometown Security	16-07-UK	97.16-07-UK	77,012
Through National Institute for Hometown Security	17-07-UK	97.17-07-UK	1,947
Through Flashscan 3D	HSHQDC-11-C-00115	97.HSHQDC-11-C-00115	24,290
Through University of Louisville	ULRF 08-0292-01	97.ULRF 08-0292-01	153,971
Through Western Kentucky University	WKURF 547103-10-001	97.WKURF 547103-10-001	127,601
SUBTOTAL DEPARTMENT OF HOMELAND SECURITY			4,541,261

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

	SPONSOR ID	FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
US AGENCY FOR INTERNATIONAL DEVELOPMENT			
Through Vanderbilt University	18815-S2	98.18815-S2	2,427
SUBTOTAL US AGENCY FOR INTERNATIONAL DEVELOPMENT			2,427
TOTAL RESEARCH AND DEVELOPMENT			175,378,742
STUDENT FINANCIAL AID			
DEPARTMENT OF EDUCATION			
Federal Supplemental Educational Opportunity Grants		84.007	441,317
Federal Work-Study Program		84.033	1,135,268
Federal Perkins Loan Cancellations		84.038	22,137,128
Federal Pell Grant Program		84.063	20,487,191
Federal Direct Student Loans		84.268	164,817,210
Academic Competitiveness Grant (ACG)		84.375	1,415
National Science and Mathematics Access to Retain Talent		84.376	11,851
SUBTOTAL DEPARTMENT OF EDUCATION			209,031,380
DEPARTMENT OF HEALTH AND HUMAN SERVICES			
Health Careers Opportunity Program		93.342	6,644,114
Scholarships for Health Professions Students		93.925	213,989
SUBTOTAL DEPARTMENT OF HEALTH AND HUMAN SERVICES			6,858,103
TOTAL STUDENT FINANCIAL AID			215,889,483
OTHER FEDERAL ASSISTANCE			
DEPARTMENT OF AGRICULTURE			
Agricultural Research Basic and Applied Research		10.001	44,397
Plant and Animal Disease, Pest Control, and Animal Care		10.025	860,154
Through Kentucky Department of Agriculture	M-06086977	10.025	(3)
Through Kentucky Department of Agriculture	PON2 035 1100002785 1	10.025	19,200
Federal-State Marketing Improvement Program		10.156	6,963
Through Kentucky Department of Agriculture	GWS2L2	10.170	5,000
Through Kentucky Department of Agriculture	PO2 035 1000005460 1	10.170	16,407
Through Kentucky Department of Agriculture	PON2 035 1100001314 3	10.170	22,891
Through Kentucky Department of Agriculture	PON2 035 1200000904 1	10.170	702
Grants for Agricultural Research, Special Research Grants		10.200	198,886
Through University of Florida	1200141260	10.200	4,932
Through University of Florida	1200141704	10.200	1,884
Through Cornell University	61353-9318	10.200	25,128
Cooperative Forestry Research		10.202	(143)
Through Kentucky Energy and Environment Cabinet	PO2 128 0900023358 3	10.202	42,317
Through University of Georgia	RD309-109/4786426	10.215	14,309
Through University of Georgia	RE675-161/4694458	10.215	35,326

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

		FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
Through Iowa State University	416-17-02	10.217	(\$181)
Through Texas A&M University	451010	10.303	71,217
Through Purdue University	8000035690-AG	10.304	60,209
International Science and Education Grants		10.305	36,824
Agriculture and Food Research Initiative (AFR)		10.310	2,496
Through Purdue University	8000041337-AG 2010-38411	10.310	51,588
Through University of Florida	UF10071	10.310	30,408
Beginning Farmer and Rancher Development Program		10.311	175,304
Cooperative Extension Service		10.500	11,373,021
Through National Four H Council	2008-45201-04715	10.500	22,976
Through University of Arkansas	21660-02	10.500	1,340
Through University of Arkansas	21661-09	10.500	11,084
Through University of Arkansas	21661-18	10.500	9,613
Through University of Nebraska	25-6329-0059-808	10.500	8,445
Through University of Nebraska	25-6365-0023-004	10.500	90,970
Through University of Nebraska	25-6365-0023-103	10.500	5,941
Through University of Nebraska	25-6365-0023-109	10.500	12,139
Through University of Nebraska	25-6365-0030-014	10.500	2,490
Through University of Nebraska	25-6365-0040-004	10.500	297,814
Through University of Nebraska	26-6365-0001-353	10.500	32,775
Through University of Nebraska	26-6365-0023-105	10.500	8,673
Through Michigan State University	61-4001A	10.500	35,146
Through Texas A&M University	622236	10.500	4,611
Through Texas A&M University	622251	10.500	1,142
Through Purdue University	8000041654	10.500	143,203
Through Purdue University	8000047941	10.500	45,714
Through University of Georgia	RE675-161/4786296	10.500	38,968
Through University of Georgia	RE675-161/4786446	10.500	3,670
Through Kansas State University	S08033	10.500	28,616
Through Kansas State University	S11141	10.500	12,090
Through Kansas State University	S12014	10.500	67,923
Through Kansas State University	S12199	10.500	25,805
Through Auburn University	10-ACES-374584-UK	10.550	2,964
Through Eastern Kentucky University	452317-12-146	10.561	544
Through Kentucky Cabinet for Health and Family Services	PO2 721 M-06077982 2	10.561	1
Through Kentucky Cabinet for Health and Family Services	PON2 736 1000003601 1	10.561	(247)
Through Kentucky Cabinet for Health and Family Services	PON2 736 1100000767 1	10.561	766,684
Through Kentucky Cabinet for Health and Family Services	PON2 736 1200000476 1	10.561	1,956,911
Through Kentucky Energy and Environment Cabinet	09-DG-11083121-005	10.664	18,300
Through Kentucky Energy and Environment Cabinet	PON2 128 1000003545 1	10.664	4
Through Kentucky Energy and Environment Cabinet	PON2 128 1000003596 1	10.664	(816)
Through Kentucky Energy and Environment Cabinet	PON2 128 1000003598 1	10.664	(893)
Through Kentucky Energy and Environment Cabinet	PON2 128 1000003600 1	10.664	2,520
Through Kentucky Energy and Environment Cabinet	PON2 128 1100001909 1	10.664	(79)
Through Kentucky Energy and Environment Cabinet	PON2 128 1200001302 1	10.664	16,030
Through Kentucky Energy and Environment Cabinet	PON2 128 1200001850 1	10.664	16,043
Rural Business Enterprise Grants		10.769	49,236
ARRA - Rural Energy for America Program		10.868	56,894
Soil Survey		10.903	6,616

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

	SPONSOR ID	FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
Environmental Quality Incentives Program		10.912	\$4,368
Technical Agricultural Assistance		10.960	44,922
Cochran Fellowship Program -International Training-Foreign		10.962	49,133
US Department of Agriculture Contract	AG-3198-B-10-0028	10.AG-3198-B-10-0028	1,260,850
Through Altarum Institute	SC-10-013	10.SC-10-013	26,459
SUBTOTAL DEPARTMENT OF AGRICULTURE			18,286,828
DEPARTMENT OF COMMERCE			
Economic Development Technical Assistance		11.303	141,277
Public Telecommunications Facilities Planning and Construction		11.550	13,045
ARRA-National Institute of Standards and Technology Construction		11.618	8,102,189
SUBTOTAL DEPARTMENT OF COMMERCE			8,256,511
DEPARTMENT OF DEFENSE			
Through Kentucky Department of Military Affairs	PON2 095 1000004089 1	12.401	9,089
Military Medical Research and Development		12.420	28,885
Language Grant Program		12.900	131,432
Mathematical Sciences Grants Program		12.901	93
SUBTOTAL DEPARTMENT OF DEFENSE			169,499
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT			
Sustainable Communities Regional Planning Grant Program		14.703	154,201
SUBTOTAL DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT			154,201
DEPARTMENT OF THE INTERIOR			
U.S. Geological Survey Research and Data Collection		15.808	(156)
National Geological and Geophysical Data Preservation Program		15.814	67,941
SUBTOTAL DEPARTMENT OF THE INTERIOR			67,785
DEPARTMENT OF JUSTICE			
Grants to Reduce Violent Crimes Against Women on Campus		16.525	44,560
Through Kentucky Association of Sexual Assault Programs	KASAP 072012	16.529	30,160
Edward Byrne Memorial State and Local Law Enforcement Assist		16.580	125,387
Through University of Massachusetts	S51000000010939	16.582	1,012
Through Kentucky Administrative Office of the Courts	PON2 025 1200002134 1	16.585	519
Through National Four H Council	2010-OJJDP-MNTR-22	16.726	71,834
Through Mountain Comprehensive Care Center	2010-RN-BX-0004	16.812	24,784
Through Kentucky Department of Corrections	PON2 527 1200000700 1	16.812	2,775
Through Kentucky Department of Corrections	PON2 527 1200000711 1	16.812	4,635
Department of Justice Contract	DJBPO109KF510001	16.DJBPO109KF510001	8,584
Department of Justice Contract	DJBPO109LF510001	16.DJBPO109LF510001	13,136
SUBTOTAL DEPARTMENT OF JUSTICE			327,386
DEPARTMENT OF LABOR			

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

	SPONSOR ID	FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
Through ARRA-Kentucky Council on Postsecondary Education	PO2 415 1100002385 1	17.260	(\$6,006)
SUBTOTAL DEPARTMENT OF LABOR			(6,006)
DEPARTMENT OF STATE			
Environmental and Scientific Partnerships and Programs		19.017	47,456
Professional Exchanges Annual Open Grant		19.415	88,705
General Department of State Assistance		19.700	61,546
SUBTOTAL DEPARTMENT OF STATE			197,707
DEPARTMENT OF TRANSPORTATION			
Through Kentucky Transportation Cabinet	FRT 171	20.205	150,799
Through Kentucky Transportation Cabinet	FRT 185	20.205	27,475
Through Kentucky Transportation Cabinet	PO2 628 0800020505 1	20.205	50,802
Through Northwestern University	PROJ0000173	20.205	132,287
Through Kentucky Transportation Cabinet	TASK 3	20.205	7,829
Through Kentucky Transportation Cabinet	8418301N	20.215	85,572
Through Kentucky Transportation Cabinet	8622701N	20.215	47,551
Through National Academy of Sciences	HR 15-32A	20.215	12,072
Through Kentucky Transportation Cabinet	LTAP2-4	20.215	151,985
Through Kentucky Transportation Cabinet	PLANNING STUDY 2	20.215	79,507
Through Kentucky Transportation Cabinet	KYSPR 07-338	20.515	2
Through Kentucky Transportation Cabinet	KYSPR 10-392	20.515	49,875
Through Kentucky Transportation Cabinet	K9-12-05	20.601	33,738
Through University of Tennessee	OR10728-001.05	20.OR10728-001.05	34,447
SUBTOTAL DEPARTMENT OF TRANSPORTATION			863,941
APPALACHIAN REGIONAL COMMISSION			
Through Greenup County Health Department	KY-17095-11	23.002	10,378
Through Kentucky Cabinet for Health and Family Services	PO2 728 1100004938 1	23.002	4,903
Appalachian Research, Technical Assistance		23.011	160,836
Appalachian Regional Commission Contract	ARC11-PO38	23.ARC11-PO38	2,522
SUBTOTAL APPALACHIAN REGIONAL COMMISSION			178,639
OFFICE OF PERSONNEL MANAGEMENT			
Intergovernmental Personnel Act (IPA) Mobility Program		27.011	159,593
SUBTOTAL OFFICE OF PERSONNEL MANAGEMENT			159,593
NATIONAL AERONAUTICS & SPACE ADMINISTRATION			
National Aeronautics Space Commission Contract	NNX10AL96H	43.NNX10AL96H	35
National Aeronautics Space Commission Contract	RFP-12-001-016	43.RFP-12-001-016	6,930
Through Western Kentucky University	WKU 516171-07-82	43.WKU 516171-07-82	1
SUBTOTAL NATIONAL AERONAUTICS & SPACE ADMINISTRATION			6,966
NATIONAL ENDOWMENT FOR THE HUMANITIES			

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

	SPONSOR ID	FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
Promotion of the Arts Grants to Organizations and Individual		45.024	(\$5)
Through Kentucky Humanities Council	2012-009	45.129	1,488
Promotion of the Humanities Division of Preservation		45.149	91,615
Promotion of the Humanities Research		45.161	98,882
Through Massachusetts Historical Society	NEH022011	45.161	67,015
National Leadership Grants		45.312	12,013
SUBTOTAL NATIONAL ENDOWMENT FOR THE HUMANITIES			271,008
NATIONAL SCIENCE FOUNDATION			
Engineering Grants		47.041	178,944
Mathematical and Physical Sciences		47.049	4,188
Geosciences		47.050	46,706
Computer and Information Science and Engineering		47.070	23,238
Through Computing Research Association	CIF-B-28	47.070	36,290
Through Computing Research Association	CIF-C-28	47.070	54,920
Biological Sciences		47.074	72,933
Social, Behavioral, and Economic Sciences		47.075	22,236
Education and Human Resources		47.076	1,560,013
Through Puget Sound Center for Teaching	PSCTLT-SCHEFF-08	47.076	3,694
Polar Programs		47.078	14,646
Through Clemson University	1573-206-2008707	47.081	713
ARRA-TRANS-NSF Recovery Act Research Support		47.082	136,465
National Science Foundation	BCS-0920370	47.BCS-0920370	1,207
SUBTOTAL NATIONAL SCIENCE FOUNDATION			2,156,193
SMALL BUSINESS ADMINISTRATION			
Congressionally Mandated Projects		59.000	43,010
Small Business Development Center		59.037	1,853,879
Small Business Administration Contract	SBAHQ-05-I-0061	59.SBAHQ-05-I-0061	(92)
SUBTOTAL SMALL BUSINESS ADMINISTRATION			1,896,797
ENVIRONMENTAL PROTECTION AGENCY			
Through Kentucky Cabinet for Health and Family Services	PON2 728 1000004109 1	66.032	(5)
Through Kentucky Cabinet for Health and Family Services	PON2 728 1000004130 1	66.032	(189)
Through Kentucky Cabinet for Health and Family Services	PON2 728 1100002143 1	66.032	53,749
Through Kentucky Cabinet for Health and Family Services	PON2 728 1100002175 1	66.032	24,742
Through Kentucky Energy and Environment Cabinet	PO2 129 0900025111 1	66.460	17,262
Through Kentucky Energy and Environment Cabinet	PON2 128 1100000652 1	66.460	27,265
Through Kentucky Energy and Environment Cabinet	PON2 128 1100000957 1	66.460	107,118
Through Kentucky Energy and Environment Cabinet	PON2 128 1200000603 1	66.460	26,704
Through Kentucky Energy and Environment Cabinet	PON2 128 1200000757 1	66.460	2,376
Through Kentucky Department of Agriculture	PON2 035 1000003657 5	66.605	30,154
Surveys, Studies, Investigations, Training Demonstrations		66.716	21,687
SUBTOTAL ENVIRONMENTAL PROTECTION AGENCY			310,863
DEPARTMENT OF ENERGY			

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

	SPONSOR ID	FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
Through Kentucky Energy and Environment Cabinet	PON2 127 100003776 1	81.041	(\$7)
Through Kentucky Energy and Environment Cabinet	PON2 127 1100002742 1	81.041	106,782
Through ARRA-Arizona Geological Survey	KY-EE0002850	81.086	193,617
Through Kentucky Energy and Environment Cabinet	PON2 129 1200001690 1	81.104	9,995
Energy Efficiency and Renewable Energy Information		81.117	116,430
ARRA-Electricity Delivery and Energy Reliability, Research		81.122	639,496
Advanced Research and Projects Agency		81.135	8,576
SUBTOTAL DEPARTMENT OF ENERGY			1,074,889
DEPARTMENT OF EDUCATION			
Through Kentucky Council on Postsecondary Education	PO2 415 1200000900 1	84.002	151,490
Special Education Grants to States		84.027	(1,441)
Through Iowa Department of Education	26305	84.027	5
Through Kentucky Department of Education	PO2 540 1100002740 1	84.027	103,406
Through Kentucky Department of Education	PON2 540 1000003653 2	84.027	143,414
Through Kentucky Department of Education	PON2 540 1100000598 1	84.027	10,830
Through Georgetown College	PON2 540 1100001687 1	84.027	11,395
Through Kentucky Department of Education	PON2 540 1100002645 2	84.027	358,865
Through Kentucky Department of Education	PON2 540 1100002755 1	84.027	239,180
Through Kentucky Department of Education	PON2 540 1200000270 1	84.027	52,635
Through Kentucky Department of Education	PON2 540 1200001558 1	84.027	9,262
TRIO Student Support Services		84.042	284,444
Through Kentucky Department of Education	PON2 531 1100000004 1	84.048	80
Through Kentucky Department of Education	PON2 531 1100002457 1	84.048	7,498
Through Kentucky Workforce Development Cabinet	PON2 531 1200001724 1	84.048	1,623
Fund for the Improvement of Postsecondary Education		84.116	52,479
Through Virginia Polytechnic Institute and State University	423017-19660	84.116	1,677
Through Education Cabinet Administrative Services	PON2 531 1000003595 3	84.126	152,174
Through Education Cabinet Administrative Services	PON2 531 1000003599 1	84.126	(308)
Through Education Cabinet Administrative Services	PON2 531 1000003599 3	84.126	452,381
Through Education Cabinet Administrative Services	PON2 531 1000003880 2	84.126	152,056
Through Education Cabinet Administrative Services	PON2 531 1100001124 1	84.126	32,106
Through Kentucky Office for the Blind	PON2 531 1100001979 1	84.126	16,562
Through Education Cabinet Administrative Services	PO2 531 0800016951 1	84.128	511
Through Education Cabinet Administrative Services	PON2 531 1000003589 1	84.128	(921)
Through Education Cabinet Administrative Services	PON2 531 1000003589 2	84.128	173,759
Rehabilitation Long-Term Training		84.129	330,741
Through Kentucky Department of Education	PON2 540 1000003592 1	84.173	4
Through Kentucky Department of Education	PON2 540 1100002017 1	84.173	175,888
Through Kentucky Department of Education	PON2 540 1000003586 1	84.180	20,588
Through Reach Out and Read Inc	U215U100003	84.215	(30)
Through University of Tennessee	14492-001-02	84.323	73,255
Through Kentucky Department of Education	M-05194797	84.323	1
Through Kentucky Department of Education	PO2 540 1000003947 1	84.323	(3,525)
Through Kentucky Department of Education	PON2 540 1100000598 1	84.323	60,878
Through Kentucky Department of Education	PON2 540 1100000908 3	84.323	13,326
Through Kentucky Department of Education	PON2 540 1200000270 1	84.323	240,527
Through Kentucky Department of Education	PON2 540 1200000278 1	84.323	129,866

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

	SPONSOR ID	FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
Research in Special Education		84.324	\$676,349
Special Education Personnel Preparation to Improve Services		84.325	493,083
Through Kent State University	441161-P8060935	84.325	4,149
Through University of North Carolina	5-54471 H325J070007	84.325	27,021
Special Education Technical Assistance and Dissemination		84.326	1,284,733
Through Kentucky Department of Education	PON2 540 1100000745 1	84.326	52,996
Through Kentucky Department of Education	PON2 540 1200000393 1	84.326	94,290
Through Kentucky Department of Education	PON2 540 1000003384 2	84.330	1,500
Through Western Kentucky University	WKURF 543604-05-32	84.336	1,111
Through Kentucky Department of Education	PO2 540 0900024291 1	84.366	(3)
Through Kentucky Department of Education	PON2 540 1000003555 1	84.366	68,847
Through Kentucky Department of Education	PON2 540 1100000592 1	84.366	55,962
Through Kentucky Department of Education	PON2 540 1100000606 1	84.366	65,794
Through Kentucky Department of Education	PON2 540 1100001147 1	84.366	96,352
Through Kentucky Department of Education	PON2 540 1100001148 1	84.366	93,418
Through Kentucky Department of Education	PON2 540 1200000347 1	84.366	69,061
Through Kentucky Department of Education	PON2 540 1200000348 1	84.366	77,130
Through Kentucky Department of Education	PON2 540 1200000381 1	84.366	117,819
Through Kentucky Department of Education	PON2 540 1200000382 1	84.366	157,082
Through Kentucky Department of Education	PON2 540 1200001716 1	84.366	38,090
Through Kentucky Council on Postsecondary Education	PO2 415 1000003520 1	84.367	45,540
Through Kentucky Council on Postsecondary Education	PO2 415 1000003523 1	84.367	757
Through Kentucky Council on Postsecondary Education	PO2 415 1100002499 1	84.367	93,689
Through Kentucky Council on Postsecondary Education	PO2 415 1100002516 1	84.367	98,328
Through Kentucky Council on Postsecondary Education	PO2 415 1200003084 1	84.367	21,204
Through Kentucky Council on Postsecondary Education	PO2 415 1200003087 1	84.367	5,607
Through Kentucky Council on Postsecondary Education	PO2 415 1200003090 1	84.367	35,671
Special Education Technical Assistance on State Data Collection		84.373	497,038
Through University of Guam	53K610472N53233	84.373	(1)
Through University of Minnesota	A001945803	84.373	1,615,935
Through Kentucky Department of Education	PON2 540 1100001057 1	84.377	51,303
Through ARRA-Kentucky Workforce Development Cabinet	PON2 531 1000003157 1	84.390	10,947
Through ARRA-Ohio State University	60029197 / PO RF01229388	84.396	311,215
Transition Programs for Students with Intellectual		84.407	480,596
SUBTOTAL DEPARTMENT OF EDUCATION			10,189,294

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Through Kentucky Energy and Environment Cabinet	PON2 129 1200000713 1	93.070	61,962
Through University of Louisville	AHEC 2010-2011	93.107	62,209
Through University of Louisville	AHEC 2011-2012	93.107	184,487
Maternal and Child Health Federal Consolidated Programs		93.110	16,274
Through University of North Carolina	5-52965	93.110	12,142
Through Kentucky Cabinet for Health and Family Services	PO2 728 1100004938 1	93.110	2,632
Through Kentucky Cabinet for Health and Family Services	PON2 728 1200000124 1	93.110	25,638
Through University of Arizona	Y553522	93.110	20,511
Through Ace Info Solutions Incorporated	1113-002-EMP	93.1113-002-EMP	9,672
Biological Response to Environmental Health Hazards		93.113	281,333
Through Kentucky Community & Technical College System	HRSA072010	93.127	36,798

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

	SPONSOR ID	FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
Through Lexington Fayette County Health Department	04-05-7000-51-R	93.130	(\$11)
Through Kentucky Cabinet for Health and Family Services	PON2 728 1000003968 1	93.130	(35)
Through Kentucky Cabinet for Health and Family Services	PON2 728 1100003454 1	93.130	9,815
Centers for Research and Demonstration for Health Promotion		93.135	710,413
Through University of South Florida	6416-1012-11-A	93.135	9,468
Through University of South Florida	6416-1013-02-A	93.135	38,175
Injury Prevention and Control Research and State		93.136	542,563
Through Kentucky Association of Sexual Assault Programs	KASAP 072010	93.136	1
Through Kentucky Association of Sexual Assault Programs	KASAP 072011	93.136	2
Through Kentucky Association of Sexual Assault Programs	KASAP 072012	93.136	50,819
Through American International Health	H-F4-ZAM-08-P-PTR-ZAMI	93.145	17,382
Coordinated Services and Access to Research for Women		93.153	333,310
Grants To States for Loan Repayment Program		93.165	132,200
Research Related to Deafness and Communication Disorders		93.173	50,810
Through University of North Carolina	5-44349	93.184	15,367
Through SciMetrika LLC	200-2009-31909-UKY RESEA	93.200-2009-31909-UKY RESEA	1,477
Through University of Illinois	2010-06621-21-00	93.2010-06621-21-00	4,880
Consolidated Health Centers (Community Health Centers)		93.224	1,424,052
Through Kentucky Cabinet for Health and Family Services	PO2 728 1000005001 1	93.236	(4,204)
Through Kentucky Cabinet for Health and Family Services	PO2 728 1100004938 1	93.236	192,522
State Rural Hospital Flexibility Program		93.241	291,666
Substance Abuse and Mental Health Services Projects		93.243	404,144
Through Mountain Comprehensive Care Center	1H79TI023188-01	93.243	14,234
Through Morehead State University	2010-11-072-001	93.243	2,337
Through Morehead State University	2010-11-073-001	93.243	2,336
Through Mountain Comprehensive Care Center	5H79TI023188-02	93.243	51,132
Through Pennyroyal Regional Mental Health Mental Retardation	999	93.243	104,890
Through Kentucky Cabinet for Health and Family Services	PO2 729 1000006422 1	93.243	63
Through Kentucky Administrative Office of the Courts	PON2 025 1000000797 3	93.243	52,601
Through Kentucky Administrative Office of the Courts	PON2 025 1200002134 1	93.243	29,539
Through Kentucky River Community Care Inc	SAMHSA032011	93.243	55,892
Through Volunteers of America of Los Angeles	VOALA032510	93.243	(4)
Occupational Safety and Health Program		93.262	306,412
Nurse Faculty Loan Program (NFLP)		93.264	176,214
Alcohol National Research Service Awards for Research		93.272	41,393
Drug Abuse National Research Service Awards for Research		93.278	(18,525)
Drug Abuse and Addiction Research Programs		93.279	690,151
Through American Academy of Child	AACAP020210	93.279	4,613
Centers for Disease Control and Prevention Investigations		93.283	1,537,908
Through University of North Carolina	5-54129	93.283	3,352
Through Kentucky Cabinet for Health and Family Services	M-04162178	93.283	(2)
Through Public Health Foundation	NO ID	93.283	8,842
Through Kentucky Cabinet for Health and Family Services	PO2 728 1000005387 1	93.283	12,717
Through Kentucky Cabinet for Health and Family Services	PON2 728 1000003552 3	93.283	(395)
Through Kentucky Cabinet for Health and Family Services	PON2 728 1000003841 1	93.283	(2,525)
Through Kentucky Cabinet for Health and Family Services	PON2 728 1100002047 2	93.283	35,083
Through Kentucky Cabinet for Health and Family Services	PON2 728 1100002149 1	93.283	5,036
Through Kentucky Cabinet for Health and Family Services	PON2 728 1100002149 3	93.283	20,955
Through Kentucky Cabinet for Health and Family Services	PON2 728 1100002274 1	93.283	17,547

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

	SPONSOR ID	FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
Through Kentucky Cabinet for Health and Family Services	PON2 728 1100002870 1	93.283	\$16,850
Through Kentucky Cabinet for Health and Family Services	PON2 728 1200000124 3	93.283	16,743
Small Rural Hospital Improvement Grant Program		93.301	350,331
Advanced Education Nursing Traineeships		93.358	30,400
Through Vanderbilt University	VUMC35255-R	93.359	51,211
Through Vanderbilt University	VUMC35826	93.359	80,458
National Center for Research Resources		93.389	127,667
Through University of Louisville	OGMB090109B1-UKY-A	93.389	77,661
Cancer Cause and Prevention Research		93.393	85,401
Cancer Treatment Research		93.395	14,333
Through The Gynecologic Oncology Group	CA27469-31 CORPUS COMMIT	93.395	2,227
Through The Gynecologic Oncology Group	U10CA27469	93.395	10,517
Cancer Centers Support Grants		93.397	1,262,216
Through Meharry Medical College Grants Management Office	091214MKH358 04 01	93.397	30,012
Cancer Research Manpower		93.398	324,581
Cancer Control		93.399	48,944
ARRA-State Loan Repayment Program		93.402	17,500
ARRA-Equipment to Enhance Training for Health Professional		93.411	587,901
Ruminant Feed Ban Support Project		93.449	39,651
Through Kentucky Cabinet for Health and Family Services	PON2 728 1200000124 3	93.505	23,954
Affordable Care Act (ACA) Public Health Training Centers		93.516	785,568
Through Kentucky Cabinet for Health and Family Services	PO2 728 1000004600 4	93.521	59,965
Through Kentucky Cabinet for Health and Family Services	PON2 728 1200000444 1	93.521	8,213
Through Kentucky Cabinet for Health and Family Services	PON2 728 1200000499 1	93.521	56,585
Through Kentucky Cabinet for Health and Family Services	PO2 724 1200003049 2	93.525	77,626
Through Kentucky Cabinet for Health and Family Services	PON2 728 1100002877 3	93.544	20,508
Through Eastern Kentucky University	451730-09-127	93.556	(60)
Through Eastern Kentucky University	452148-11-133	93.556	(2,998)
Through Eastern Kentucky University	452332-12-122	93.556	50,465
Through Eastern Kentucky University	452332-12-148	93.556	319,603
Through Kentucky Cabinet for Health and Family Services	PON2 736 1000003486 1	93.556	38
Through Kentucky Cabinet for Health and Family Services	PON2 736 1100002195 2	93.556	177,841
Through Eastern Kentucky University	452317-12-146	93.558	234
Through Kentucky Cabinet for Health and Family Services	PON2 736 1000003630 1	93.558	(560)
Through Kentucky Cabinet for Health and Family Services	PON2 736 1000003630 2	93.558	170,633
Through Kentucky Cabinet for Health and Family Services	PON2 736 1000003546 2	93.575	17,057
Through Kentucky Cabinet for Health and Family Services	PON2 736 1000003388 1	93.596	(11,361)
Through Kentucky Cabinet for Health and Family Services	PON2 736 1000003388 2	93.596	3,004,402
Through Kentucky Cabinet for Health and Family Services	PON2 736 1000003401 1	93.596	(1,296)
Through Kentucky Cabinet for Health and Family Services	PON2 736 1000003401 3	93.596	2,368,580
Through Kentucky Cabinet for Health and Family Services	M-06068863	93.630	375
Through Kentucky Council on Developmental Disabilities	PO2 729 1000004770 2	93.630	(124)
University Centers for Excellence in Developmental		93.632	527,685
Through ARRA-Macro International Co	635243-10S-1572	93.635243-10S-1572	286,131
Through Kentucky Cabinet for Health and Family Services	PO2 736 0800021104 1	93.652	1
Through Kentucky Cabinet for Health and Family Services	PON2 736 1000003636 2	93.652	(431)
Through Kentucky Cabinet for Health and Family Services	PON2 736 1000003636 3	93.652	91,073
Through Eastern Kentucky University	451706-09-128	93.658	(119)
Through Eastern Kentucky University	451910-10-156	93.658	(27)

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

	SPONSOR ID	FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
Through Eastern Kentucky University	452126-11-146	93.658	(\$362)
Through Eastern Kentucky University	452126-11-148	93.658	(3,211)
Through Eastern Kentucky University	452126-11-150	93.658	(300)
Through Eastern Kentucky University	452127-11-135	93.658	(36)
Through Eastern Kentucky University	452127-11-191	93.658	(2)
Through Eastern Kentucky University	452129-11-136	93.658	4,110
Through Eastern Kentucky University	452312-12-115	93.658	326,607
Through Eastern Kentucky University	452312-12-116	93.658	6,141
Through Eastern Kentucky University	452312-12-117	93.658	107,405
Through Eastern Kentucky University	452312-12-119	93.658	398,691
Through Eastern Kentucky University	452312-12-144	93.658	202,691
Through Eastern Kentucky University	452314-12-143	93.658	329,170
Through Eastern Kentucky University	452317-12-146	93.658	3,123
Through Eastern Kentucky University	452327-12-121	93.658	94,668
Through Eastern Kentucky University	452143-11-174	93.669	(16)
Through Eastern Kentucky University	452327-12-121	93.669	51,544
Through Kentucky Cabinet for Health and Family Services	PON2 736 1000003597 1	93.669	(19)
Through Kentucky Cabinet for Health and Family Services	PON2 736 1000003597 2	93.669	82,266
Child Abuse and Neglect Discretionary Activities		93.670	66,117
Through National Opinion Research Center	6928-01-UK	93.6928-01-UK	10,471
ARRA-ARRA-Health Center Integrated Services Development		93.703	(5,483)
Through ARRA-Kentucky Department of Education	PON2 070 1200000600 2	93.708	247,996
ARRA-Strengthening Communities Fund		93.711	52,396
Through ARRA-Kentucky Cabinet for Health and Family Services	PON2 736 1000003388 1	93.713	(2,255)
Through ARRA-Kentucky Cabinet for Health and Family Services	PO2 728 1000004600 1	93.717	54,028
Through ARRA-Kentucky Cabinet for Health and Family Services	PO2 728 1000004600 4	93.717	8,417
ARRA-Health Information Technology Regional Extension Centers		93.718	1,766,537
Through ARRA-HealthBridge	90RC0025/01-UK	93.718	257,709
Through ARRA-Kentucky Cabinet for Health and Family Services	PO2 746 1100003903 1	93.718	198,125
Through ARRA-Kentucky Cabinet for Health and Family Services	PON2 728 1000003704 1	93.723	(1,616)
Through ARRA-Kentucky Cabinet for Health and Family Services	PON2 728 1100002149 1	93.723	40,036
Through ARRA-Kentucky Cabinet for Health and Family Services	PON2 728 1100002149 3	93.723	8,848
Through Kentucky Cabinet for Health and Family Services	PO2 746 1000004229 2	93.767	69,310
Through Eastern Kentucky University	452317-12-146	93.778	554
Through Kentucky Cabinet for Health and Family Services	PO2 746 1000004148 1	93.778	216
Through Kentucky Cabinet for Health and Family Services	PON2 729 1000003584 1	93.778	(215)
Through Kentucky Cabinet for Health and Family Services	PON2 729 1000003584 2	93.778	2,977,281
Through Kentucky Cabinet for Health and Family Services	PO2 746 1000004157 1	93.791	(4,444)
Through Kentucky Cabinet for Health and Family Services	PO2 746 1000004157 3	93.791	1,362,491
Heart and Vascular Diseases Research		93.837	416,941
Lung Diseases Research		93.838	117,636
Diabetes, Endocrinology and Metabolism Research		93.847	180,942
Extramural Research Programs in the Neurosciences		93.853	93,494
Allergy, Immunology and Transplantation Research		93.855	21,940
Biomedical Research and Research Training		93.859	501,345
Aging Research		93.866	346,159
Through University of Illinois	2010-06621-12-00	93.879	18,155
Grants for Training in Primary Care Medicine and Dentistry		93.884	208,363
Health Care and Other Facilities		93.887	1,676,244

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

	SPONSOR ID	FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
Specially Selected Health Projects		93.888	\$2,272,638
Through Kentucky Cabinet for Health and Family Services	PON2 728 1000004025 3	93.889	190,293
Resource and Manpower Development in the Environmental		93.894	5,539
Through Morehead State University	2007-08-044-003	93.912	19,147
Grants to States for Operation of Offices of Rural Health		93.913	189,437
Through Kentucky Cabinet for Health and Family Services	PON2 728 1000004168 1	93.917	9,716
Through Kentucky Cabinet for Health and Family Services	PON2 728 1100002514 1	93.917	725,954
Through Emory University	S450426	93.917	16,950
Through Emory University	S450427	93.917	(10)
Through Emory University	S683877	93.917	141,529
Grants to Provide Outpatient Early Intervention Services		93.918	693,588
Ryan White HIV/AIDS Dental Reimbursements		93.924	79,506
Through Indiana University	416732	93.939	(1)
Through Kentucky Cabinet for Health and Family Services	PO2 728 1000005398 1	93.941	(269)
Through Kentucky Cabinet for Health and Family Services	PO2 728 1000005398 2	93.941	98,020
Through Cicatelli Associates Incorporated	PS09-9131	93.941	20,267
Through Eastern Kentucky University	410157-12-223, PO P00214	93.958	2,670
Through Kentucky Cabinet for Health and Family Services	PO2 729 1000006422 1	93.958	(64)
Through Kentucky Cabinet for Health and Family Services	PO2 729 1100005272 1	93.958	101,843
Through Kentucky Cabinet for Health and Family Services	PO2 729 1000006422 1	93.959	480
Through Kentucky Cabinet for Health and Family Services	PO2 729 1100005272 1	93.959	625,859
Geriatric Education Centers		93.969	397,686
Through Kentucky Cabinet for Health and Family Services	PON2 728 1000003594 1	93.994	1,607
Through Kentucky Cabinet for Health and Family Services	PON2 728 1000004107 1	93.994	4,107
Through Kentucky Cabinet for Health and Family Services	PON2 728 1000004120 1	93.994	9,356
Through Kentucky Cabinet for Health and Family Services	PON2 728 1000004134 1	93.994	(249)
Through Kentucky Cabinet for Health and Family Services	PON2 728 1100002180 1	93.994	108,385
Through Kentucky Cabinet for Health and Family Services	PON2 728 1100002230 1	93.994	40,040
Through Kentucky Cabinet for Health and Family Services	PON2 728 1100002287 1	93.994	353,769
Through Kentucky Cabinet for Health and Family Services	PON2 728 1100004908 1	93.994	222,724
Through University of Louisville	051304B 5T01HP0013970500	93.996	1
Through ARRA-Baylor University Medical Center	AHRQ022011	93.AHRQ022011	27,510
Through Association of State & Territorial Health Officials	CONTRACT # 130	93.CONTRACT # 130	23,960
Department of Health and Human Services Contract	HHSF223200840130C	93.HHSF223200840130C	(530)
Department of Health and Human Services Contract	HHSF223201110045C	93.HHSF223201110045C	34,817
Department of Health and Human Services Contract	HHSN261201000031C	93.HHSN261201000031C	1,373,383
Department of Health and Human Services Contract	N02-CO-01241	93.N02-CO-01241	(24,271)
Department of Health and Human Services Contract	N02-CO-51106	93.N02-CO-51106	16
SUBTOTAL DEPARTMENT OF HEALTH AND HUMAN SERVICES			39,350,046
DEPARTMENT OF HOMELAND SECURITY			
Through Kentucky Cabinet for Health and Family Services	PO2 728 1000004762 1	97.002	(294)
Through Kentucky Cabinet for Health and Family Services	PO2 728 1100004992 1	97.002	210,018
Through National Institute for Hometown Security	01-09-UK	97.01-09-UK	648,008
Through Kentucky Department of Military Affairs	PO2 095 1000000982 1	97.017	(2,552)
Through Kentucky Department of Military Affairs	PO2 095 1100002411 1	97.039	47,265
Through Kentucky Department of Military Affairs	PO2 095 1100002412 1	97.039	156,523
Through Kentucky Department of Military Affairs	PON2 095 1100001421 1	97.039	7,871

UNIVERSITY OF KENTUCKY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2012

		FEDERAL CFDA NUMBER	FEDERAL EXPENDITURES
Through Kentucky Department of Military Affairs	PON2 095 1100001569 1	97.039	\$6,111
Through Kentucky Department of Military Affairs	PON2 095 1100001569 2	97.039	36,495
Assistance to Firefighters Grant		97.044	108,785
Through Kentucky Office of Homeland Security	PO2 094 1100004892 1	97.078	240,568
SUBTOTAL DEPARTMENT OF HOMELAND SECURITY			1,458,798
US AGENCY FOR INTERNATIONAL DEVELOPMENT			
Through FHI Development 360 LLC	4273-UKY-01	98.4273-UKY-01	320,586
Through Chemonics International Inc.	AID-497-C-12-00001-UKY-0	98.AID-497-C-12-00001-UKY-0	240,168
SUBTOTAL US AGENCY FOR INTERNATIONAL DEVELOPMENT			560,754
TOTAL OTHER FEDERAL ASSISTANCE			85,931,692
GRAND TOTAL			\$ 477,199,917

University of Kentucky
A Component Unit of the Commonwealth of Kentucky
Notes to Schedule of Expenditures of Federal Awards
June 30, 2012

Note 1: Basis of Presentation

The accompanying Schedule of Expenditures of Federal Awards (Schedule) of the University of Kentucky (University) has been prepared in the format as set forth in the U.S. Office of Management and Budget (OMB) Circular A-133, *Audits of States, Local Governments and Non-Profit Organizations*. Therefore, some amounts presented in this Schedule may differ from amounts presented in, or used in the preparation of, the basic financial statements. The purpose of the Schedule is to present a consolidated summary of those expenditures of the University for the year ended June 30, 2012, which has been financed by the U.S. Government (Federal awards). For purposes of the Schedule, Federal awards include all Federal assistance and procurement relationships entered into directly and indirectly between the University and the Federal government and sub-awards from nonfederal organizations made under federally sponsored agreements.

The accounting principles followed by the University and used in preparing the Schedule are as follows:

- The Schedule includes amounts expended by the University and its affiliated corporation, the University of Kentucky Research Foundation, Inc.
- Deductions (expenditures) for direct costs are recognized as incurred using the accrual method of accounting and the cost accounting principles contained in OMB Circular A-21, *Cost Principles for Educational Institutions*. Under those cost principles, certain types of expenditures are not allowable or are limited as to reimbursement. Moreover, expenditures include a portion of costs associated with general University activities (facilities and administrative costs) which are allocated to awards under negotiated formulas commonly referred to as indirect cost rates.

University of Kentucky
A Component Unit of the Commonwealth of Kentucky
Notes to Schedule of Expenditures of Federal Awards
June 30, 2012

Note 2: Subrecipients

Of the federal expenditures presented in the schedule, the University provided federal awards to subrecipients as follows:

CFDA Description	CFDA Number	Fiscal 2012 Expenditures
Grants for Agricultural Research, Special Research Grants	10.200	\$ 164,259
Grants for Agricultural Research Competitive Research Grants	10.206	135,037
Biotechnology Risk Assessment Research	10.219	4,299
Agriculture and Food Research Initiative (AFR)	10.310	54,025
Beginning Farmer and Rancher Development Program	10.311	7,702
Biomass Research and Development Initiative	10.312	159,069
Cooperative Extension Service	10.500	415,686
Rural Business Enterprise Grants	10.769	(1,800)
US Department of Agriculture Contract	10.XXX	1,078,118
Basic Scientific Research	12.431	48,190
Language Grant Program	12.900	34,842
Department of Defense Contract	12.XXX	693,095
Sustainable Communities Regional Planning Grant Program	14.703	65,114
Assistance to State Water Resources Research Institutes	15.805	5,959
Environmental and Scientific Partnerships and Programs	19.017	4,473
Program for Study of Eastern Europe and the Independent States of the Former Soviet Union	19.300	10,471
Highway Planning and Construction	20.205	156,416
Motor Carrier Safety	20.217	(126)
State and Community Highway Safety	20.600	31,864
Department of Transportation Contract	20.XXX	140
Aerospace Education Services Program	43.001	44,963
Technology Transfer	43.002	171,543
National Aeronautics Space Commission Contract	43.XXX	318,770
Engineering Grants	47.041	10,752
Mathematical and Physical Sciences	47.049	263,217
Geosciences	47.050	3,703
Education and Human Resources	47.076	365,228
Office of Experimental Program to Stimulate Competitive Research	47.081	1,578,304
TRANS-NSF Recovery Act Research Support	47.082	565,075
Small Business Development Center	59.037	640,167
Science To Achieve Results (STAR) Research Program	66.509	114,387
Office of Science Financial Assistance Program	81.049	513,897
Fossil Energy Research and Development	81.089	1,146,809
Environmental Restoration	81.092	28,532
Energy Efficiency and Renewable Energy Information Dissemination	81.117	2,814
Career and Technical Education - Basic Grants to States	84.048	5,325
Fund for the Improvement of Postsecondary Education	84.116	21,171
Special Education - State Personnel Development	84.323	39,497
Research in Special Education	84.324	233,604
Special Education Personnel Preparation to Improve Services	84.325	53,862
Special Education Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	84.326	408,550
Special Education Technology and Media Services for Individuals	84.327	79,084

University of Kentucky
A Component Unit of the Commonwealth of Kentucky
Notes to Schedule of Expenditures of Federal Awards
June 30, 2012

CFDA Description	CFDA Number	Fiscal 2012 Expenditures
Mathematics and Science Partnerships	84.366	\$ 13,100
Improving Teacher Quality State Grants	84.367	69,073
Special Education Technical Assistance on State Data Collection	84.373	490,804
Transition Programs for Students with Intellectual Disabilities	84.407	39,507
Model State-Supported Area Health Education Centers	93.107	169,123
Centers for Research and Demonstration for Health Promotion	93.135	78,581
Injury Prevention and Control Research and State and Community Based Programs	93.136	148,756
NIEHS Superfund Hazardous Substances Basic Research and Education	93.143	428,275
Grants To States for Loan Repayment Program	93.165	132,200
Policy Research and Evaluation Grants	93.239	13,122
State Rural Hospital Flexibility Program	93.241	40,849
Mental Health Research Grants	93.242	134,514
Substance Abuse and Mental Health Services Projects of Regional and National Significance	93.243	46,688
Occupational Safety and Health Program	93.262	78,103
Alcohol Research Programs	93.273	10,691
Drug Abuse and Addiction Research Programs	93.279	708,914
Centers for Disease Control and Prevention Investigations and Technical Assistance	93.283	53,117
Small Rural Hospital Improvement Grant Program	93.301	304,636
National Center for Research Resources	93.389	296,518
Cancer Cause and Prevention Research	93.393	89,036
Cancer Detection and Diagnosis Research	93.394	6,209
Cancer Treatment Research	93.395	222,773
Cancer Centers Support Grants	93.397	1,091,508
Cancer Control	93.399	31,198
ARRA - State Loan Repayment Program	93.402	17,500
Affordable Care Act (ACA) Public Health Training Centers Program, Resources Development and Academic Support to the Public Health Training Centers Program and Public Health Infrastructure and Systems Support	93.516	50,847
Temporary Assistance for Needy Families	93.558	649,035
Child Care Mandatory and Matching Funds of the Child Care and Development Fund	93.596	2,047,068
Child Abuse and Neglect Discretionary Activities	93.670	37,764
ARRA-Non-Construction Grants	93.701	2,428,949
ARRA - Health Information Technology Regional Extension Centers Program	93.718	40,327
Heart and Vascular Diseases Research	93.837	39,310
Arthritis, Musculoskeletal and Skin Diseases Research	93.846	22,654
Diabetes, Endocrinology and Metabolism Research	93.847	144,091
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	136,167
Allergy, Immunology and Transplantation Research	93.855	40,290
Biomedical Research and Research Training	93.859	10,892
Child Health and Human Development Extramural Research	93.865	46,270
Aging Research	93.866	1,435,065
Grants for Training in Primary Care Medicine and Dentistry	93.884	30,330
Specially Selected Health Projects	93.888	595,767
National Bioterrorism Hospital Preparedness Program	93.889	91,803
Grants to States for Operation of Offices of Rural Health	93.913	7,926
Geriatric Education Centers	93.969	272,766
Department of Health and Human Services Contract	93.XXX	3,500
Pre-Disaster Mitigation (PDM) Competitive Grants	97.017	(2,552)
Department of Homeland Security Contract	97.XXX	1,008,846
TOTAL FEDERAL FUNDS PROVIDED TO SUBRECIPIENTS		<u>\$ 23,527,997</u>

University of Kentucky
A Component Unit of the Commonwealth of Kentucky
Notes to Schedule of Expenditures of Federal Awards
June 30, 2012

Note 3: Noncash Financial Assistance

The following loans received by students and outstanding loan balances are included in the Schedule in accordance with OMB Circular A-133, *Audits of States, Local Government and Non-Profit Organizations*.

Loans received by students for the year ended June 30, 2012, were as follows:

	CFDA #	Total
Federal Perkins Loan Program	84.038	\$ 3,329,503
Health Professions Primary Care	93.342	<u>1,783,983</u>
Total noncash financial assistance		<u>\$ 5,113,486</u>

Outstanding loan balances at June 30, 2012, were as follows:

	CFDA #	Total
Federal Perkins Loan Program	84.038	\$ 18,807,625
Health Professions Primary Care	93.342	<u>4,860,131</u>
Total student loans outstanding		<u>\$ 23,667,756</u>

**Independent Accountants' Report on Internal Control Over Financial Reporting and
on Compliance and Other Matters Based on an Audit of the Financial Statements
Performed in Accordance With *Government Auditing Standards***

Board of Trustees
University of Kentucky
Lexington, Kentucky

We have audited the financial statements of the University of Kentucky (University), a component unit of the Commonwealth of Kentucky, as of and for the year ended June 30, 2012, and have issued our report thereon dated September 28, 2012, which contained a reference to the report of other accountants. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. The financial statements of the Kentucky Medical Services Foundation, Inc. (KMSF), a component unit included in the financial statements of the University, were not audited in accordance with *Governmental Auditing Standards*. Other accountants audited the financial statements of KMSF, as described in our report on the University's financial statements. This report does not include the results of the other accountants' testing of internal control over financial reporting or compliance and other matters that are reported on separately by those accountants.

Internal Control over Financial Reporting

Management of the University is responsible for establishing and maintaining effective internal control over financial reporting. In planning and performing our audit, we considered the University's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over financial reporting.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect and correct misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the University's financial statements will not be prevented or detected and corrected on a timely basis.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses as defined above.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

This report is intended solely for the information and use of the board of trustees, management and others within the University and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

BKD, LLP

September 28, 2012

**Independent Accountants' Report on Compliance With Requirements
That Could Have a Direct and Material Effect on Each
Major Program and on Internal Control Over Compliance in Accordance With
OMB Circular A-133 and Schedule of Expenditures of Federal Awards**

Board of Trustees
University of Kentucky
Lexington, Kentucky

Compliance

We have audited the compliance of the University of Kentucky (University) with the types of compliance requirements described in the U.S. Office of Management and Budget (OMB) Circular A-133 *Compliance Supplement* that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2012. The University's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts and grants applicable to each of its major federal programs is the responsibility of the University's management. Our responsibility is to express an opinion on the compliance of the University based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America, the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States and OMB Circular A-133, *Audits of States, Local Governments and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on the University's compliance with those requirements.

As described in items 12-01 and 12-02 in the accompanying schedule of findings and questioned costs, the University did not comply with requirements regarding the verification and return of Title IV compliance requirements that are applicable to its Student Financial Aid program. Compliance with such requirements is necessary, in our opinion, for the University to comply with requirements applicable to that program.

In our opinion, except for the noncompliance described in the preceding paragraph, the University complied, in all material respects, with the compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 20, 2012.

Internal Control Over Compliance

The management of the University is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts and grants applicable to federal programs. In planning and performing our audit, we considered the University's internal control over compliance with the requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

The University's responses to the findings identified in our audit are described in the accompanying schedule of findings and questioned costs. We did not audit the University's responses and, accordingly, we express no opinion on the responses.

Schedule of Expenditures of Federal Awards

We have audited the basic financial statements of the University as of and for the year ended June 30, 2012, and have issued our report thereon dated September 28, 2012, which contained an unqualified opinion on those financial statements. Our audit was performed for the purpose of forming an opinion on the financial statements as a whole and a reference to the report of other auditors. The accompanying schedule of expenditures of federal awards required by OMB Circular A-133, *Audits of States, Local Governments and Non-Profit Organizations*, is presented for purposes of additional analysis and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

Board of Trustees
University of Kentucky
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This report is intended solely for the information and use of the governing body, management, others within the University, federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

BKD, LLP

September 28, 2012

University of Kentucky
A Component Unit of the Commonwealth of Kentucky
Schedule of Findings and Questioned Costs
Year Ended June 30, 2012

Summary of Auditor's Results

1. The opinion(s) expressed in the independent accountants' report was (were):
 Unqualified Qualified Adverse Disclaimed

2. The independent accountants' report on internal control over financial reporting disclosed:

Significant deficiency(ies)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> None reported
Material weakness(es)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> None reported

3. Noncompliance considered material to the financial statements was disclosed by the audit?
 Yes No

4. The independent accountants' report on internal control over compliance with requirements that could have a direct and material effect on major federal awards programs disclosed:

Significant deficiency(ies)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> None reported
Material weakness(es)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> None reported

5. The opinion(s) expressed in the independent accountants' report on compliance with requirements that could have a direct and material effect on major federal awards was (were):
 Unqualified Qualified Adverse Disclaimed

Major Programs

Federal Student Aid Cluster – Qualified
ARRA–NIST Construction Grant Program – Unqualified
Cooperative Extension Service Program – Unqualified

6. The audit disclosed findings required to be reported by OMB Circular A-133?
 Yes No

University of Kentucky
A Component Unit of the Commonwealth of Kentucky
Schedule of Findings and Questioned Costs (Continued)
Year Ended June 30, 2012

7. The Organization's major programs were:

Cluster/Program	CFDA Number
Federal Student Aid Cluster	Various
ARRA – NIST Construction Grant Program	11.618
Cooperative Extension Service Program	10.500

8. The threshold used to distinguish between Type A and Type B programs as those terms are defined in OMB Circular A-133 was \$3,000,000.

9. The Organization qualified as a low-risk auditee as that term is defined in OMB Circular A-133?

Yes No

University of Kentucky
A Component Unit of the Commonwealth of Kentucky
Schedule of Findings and Questioned Costs (Continued)
Year Ended June 30, 2012

Findings Required to be Reported by *Government Auditing Standards*

Reference Number	Finding	Questioned Costs
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No matters are reportable.

University of Kentucky
A Component Unit of the Commonwealth of Kentucky
Schedule of Findings and Questioned Costs (Continued)
Year Ended June 30, 2012

Findings Required to be Reported by OMB Circular A-133

Reference Number	Finding	Questioned Costs
12-01	<p>Federal Program - Student Financial Aid Cluster, U.S. Department of Education, Program Year 2012</p> <p>Criteria or Specific Requirement: Verification</p> <p>Condition: Federal regulations provide that the University is responsible for verifying certain information on the student's application for financial aid. The University did not update certain student's award information for exceptions noted during the verification program.</p> <p>Context: Out of a population of 3,523 students selected by the Central Processing System for verification, a sample of 25 students was selected for testing. For two students tested, the University correctly updated previously inaccurate information reported on the ISIR, but did not adjust the Pell Grant awards based on the updated Expected Family Contribution (EFC). For two other students, the University did not update the household size correctly. The Pell Grant award for one of these two students was impacted and the Pell Grant was awarded based on an incorrect EFC. These errors resulted in the University over-awarding Pell Grant awards for these three students by \$1,350 in total.</p> <p>Effect: The incorrect amount of Pell Grant funds was awarded to three students.</p> <p>Cause: The Financial Aid Office obtained the appropriate information to verify the information on the students' applications, but did not update the amounts awarded or application based on this information.</p> <p>Recommendation: The University should ensure all information obtained during the verification process is used to update the student applications/financial aid awards. This could include adding a secondary review of the verification changes worksheet and information technology system.</p>	\$1,350

University of Kentucky
A Component Unit of the Commonwealth of Kentucky
Schedule of Findings and Questioned Costs (Continued)
Year Ended June 30, 2012

Reference Number	Finding	Questioned Costs
<p>Views of Responsible Officials and Planned Corrective Action Plan:</p>	<p>Management is in agreement that at the time of the audit testing, the Pell Grant awards for three students had not been adjusted for recalculated EFCs resulting from corrections to the students' ISIR records. The incorrect Pell Grant awards and payments were corrected as soon as they were identified and prior to the close-out of the 2011–2012 Pell Grant award year.</p> <p>Management staff and appropriate supervisors will meet with verification processing staff to thoroughly review ISIR data items to be verified, verification processing procedures and Federal student aid award adjustments required as a result of changes in a student's EFC.</p> <p>The verification changes worksheet used as part of the verification process will be revised to include a list of Federal student aid funds requiring adjustment due to a change in the EFC with a signature sign off and date when the Pell Grant and/or other federal student aid award changes are entered into the Financial Aid Management System.</p> <p>A report will be developed to compare enrolled student Pell Grant awards with the scheduled Pell award by enrollment status and Pell index. Mismatches will be identified. The report will be run on a weekly basis and corrective action taken.</p>	

University of Kentucky
A Component Unit of the Commonwealth of Kentucky
Schedule of Findings and Questioned Costs (Continued)
Year Ended June 30, 2012

Reference Number	Finding	Questioned Costs
12-02	<p>Federal Program - Student Financial Aid Cluster, U.S. Department of Education, Program Year 2012</p> <p>Criteria or Specific Requirement: Return of Title IV Funds</p> <p>Condition: Federal regulations provide that the University is responsible for calculating the amount of Title IV funds used by students that withdraw during the semester. The dates used to calculate the number of days students attended class during the semester were not accurate for the 2011-2012 academic year.</p> <p>Context: Out of a population of 586 students withdrawing during the academic year, a sample of 43 students was selected for testing. For 14 students tested, incorrect dates for the class schedule were entered relative to the calculation of the Thanksgiving break.</p> <p>Effect: The University returned more Title IV funds to the Department of Education than was required.</p> <p>Cause: The Financial Aid Office used the Department of Education's online tool to calculate return of Title IV Funds. A template was set up with incorrect dates for the 2011-2012 class schedules, which was then used to calculate return of funds for multiple students.</p> <p>Recommendation: The University should have an individual, separate from the person that prepares the return of funds calculation, review each calculation and any template that is used multiple times for errors.</p>	None

University of Kentucky
A Component Unit of the Commonwealth of Kentucky
Schedule of Findings and Questioned Costs (Continued)
Year Ended June 30, 2012

Reference Number	Finding	Questioned Costs
Views of Responsible Officials and Planned Corrective Action Plan:	<p>Management agrees that financial aid office staff set up 2011–2012 class schedule dates incorrectly in the Federal Return of Title IV Funds template. Staff did not consider the 2011 Thanksgiving break to be a break of five consecutive days or more and therefore did not exclude these days from the Return of Title IV Funds calculations for the fall 2011 semester. The official UK Academic Calendar does not include Sunday in the break; however, since no classes are held on Sundays, this day should have been counted as part of the break for Return of Title IV Funds calculation purposes. Not excluding the five day period caused UK to return more funds than necessary for each student receiving aid and withdrawing in the fall 2011 semester as UK determined the students had earned less aid than if the five day break had been excluded. For students who were not disbursed aid that was earned, their post withdrawal disbursement was less than if the days in the Thanksgiving break had been excluded.</p> <p>The class schedule dates in the fall 2012 semester Return to Title IV Funds template have been set up correctly, excluding the five day 2012 Thanksgiving break that begins on Wednesday, November 21, 2012, and ends on Sunday, November 25, 2012. The class schedule dates in the spring 2013 semester Return to Title IV Funds template will be set up correctly, excluding the nine day 2013 spring break that begins on Saturday, March 9, 2013, and ends on Sunday, March 17, 2013.</p> <p>Management staff will ensure that setup of the class schedule dates in the Return of Title IV Funds template for all years going forward will also exclude any break of five or more consecutive calendar days.</p>	

University of Kentucky
A Component Unit of the Commonwealth of Kentucky
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2012

Reference Number	Summary of Finding	Status
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No matters are reportable.