

University Senate Committee on Academic Organization and Structure

Meeting: Email discussion initiated February 9th, 2006 with a two week response requested.

Topic: Proposal to change the rules on composition of the Graduate Council and proposal to change the method for appointment to the Graduate Council.

Committee Members: Ernie Bailey (chair), Tom Garrity, JW Yates, Melissa Newman, Bob Houtz, James Hertog, Chris Frost, Monica Hobson, Brian Jackson and Sam Jasper.

Jeannine Blackwell, Dean of the Graduate School, submitted two proposed changes. The first is to alter the membership of the Graduate Council. Changes are necessary because of the creation of the College of Public Health and the movement of the College of Human Environmental Science into the College of Agriculture and the movement of the Graduate Center for Toxicology into the College of Medicine. The number of members remains the same. There are minor changes in the alignment of colleges. The recommendation was unanimously approved by the members of the Graduate Council.

The second proposal concerned the method for appointment of university faculty to the Graduate faculty. The purposes of the changes are to streamline the appointment of tenured faculty to the graduate faculty (reduce paperwork) and to enhance collaborative activities with nearby universities.

There are two main issues. First, faculty will automatically be appointed to the Graduate Faculty in their program upon award of tenure. With respect to joint appointments in other program, this policy will apply unless those programs establish rules to the contrary. In addition, the proposal also allows associate membership on the graduate faculty by faculty from nearby universities if they hold the terminal degree and are active in research. This proposal also enjoyed full support of the Graduate Council.

The AOS committee members recommend acceptance of both proposals.

TO: Senate Council

FROM: Jeannine Blackwell, Dean
The Graduate School

DATE: January 26, 2006

RE: Proposed Change in Method of Appointment to Graduate Faculty

On October 20, 2005 Graduate Council approved a proposal to change the method by which the Dean of the Graduate School appoints Graduate Faculty. In the following, you will find the current method as it is described on pages 9-10 of the UK Graduate Bulletin of Spring 2006. The official Bulletin is now on line, and the text is located here:

<http://www.research.uky.edu/gs/bulletin/current/TheGraduateSchool.shtml>

Following that is the proposed new wording for Graduate Faculty appointments. The changes involve:

- The appointment of tenured and tenure-track faculty members from nearby universities as Associate Members for 3-year renewable terms, if they hold the terminal degree and are research-active.
- The appointment to Full Graduate Faculty status on the award of tenure, if the member is already an Associate member of Graduate faculty in the primary program of the tenuring department and has the terminal degree.
- A secondary appointment to a Full status in another program, when a faculty member becomes tenured, if the faculty member has been appointed at the Associate level in that program and if the program does not have stipulations that state otherwise.
- Jointly appointed faculty members and adjunct faculty members move to Full Graduate Faculty status in the primary graduate program associated with the joint/adjunct department when granted tenure in the primary department or promoted to Associate Professor, unless the program in question has stipulations regulating this action.

The rationale behind these changes is:

- to streamline process and to reduce paperwork
- to encourage collaboration with nearby universities
- to acknowledge that the award of tenure here and at our benchmarks is a measure of ability to serve as graduate faculty
- to facilitate interdisciplinary work for graduate faculty and students

Thank you for considering this proposal.

The Graduate Faculty

The Graduate Faculty consists of the Dean of the Graduate School , Associate Deans of the Graduate School , and Full and Associate Graduate Faculty Members. As the chief University agency for the promotion of the ideals of graduate study, it determines the policies of the Graduate School and makes recommendations to the University Senate and to the President, or to other administrative officials as appropriate. All rules affecting graduate work and the inauguration of new graduate programs must be approved by the Graduate Faculty. Any proposed change in the rules of the Graduate Faculty must be included in the agenda of its meeting and circulated to the Graduate Faculty at least 10 days prior to the meeting at which it is to be considered.

[Changes will begin here, see below in red]

Any faculty member, regardless of specific title series of appointment, is eligible for consideration for membership on the Graduate Faculty. New Graduate Faculty members may be proposed to the Dean of the Graduate School at any time by the college deans and department chairs concerned, or in the case of persons not attached to a college faculty, by the Provost of the University. Eligibility qualifications are as follows:

- The doctor's degree or its equivalent in scholarly reputation.
- The rank of assistant professor (or equivalent) or higher.
- Scholarly maturity and professional productivity as demonstrated by publications, editorial services, research surveys, creative work or patents, and research in progress at the time of appointment.
- Demonstrated participation in graduate teaching and research in the program.

The Dean of the Graduate School is responsible for appointing and monitoring the progress of Associate Members of the Graduate Faculty. Associate members are authorized to teach graduate courses, direct master's theses, and serve on and co-chair doctoral committees. Associate membership is limited to a term of six years with reappointment possible after departmental review. There is no category for at-large graduate faculty membership.

Appointment to Full Graduate Faculty membership is made by the Provost and/or the Dean of the Graduate School after consultation with the Graduate Council when appropriate. Full members of the Graduate Faculty are particularly responsible for:

- Guidance of graduate student research and study to its completion. The finished work should meet or exceed accepted standards for publication, dissemination or performance within the particular discipline.
- Participation in the formulation of graduate curricula and policy.

In unique instances, an advanced assistant professor may be nominated by his or her chairperson for full membership in the Graduate Faculty. To be considered for this status by Graduate Council , a nominee must meet the following recently-adopted criteria:

- An associate member of Graduate Faculty who is an assistant professor may be put forward for full membership by the individual's department chairperson or Director of Graduate Studies (as appropriate) after a minimum of 2 years of employment at UK .
- The individual must show the appropriate level of scholarly research and productivity and important contributions to the department's graduate program, including graduate teaching.

After review by the Dean of the Graduate School , appropriate cases will be placed on the Consent Agenda of the Graduate Council for approval. Atypical cases may either be turned down by the Dean or brought to the Graduate Council for full discussion.

Once Full Graduate Faculty status is attained, membership is continuous unless a change in status is recommended by a graduate program to the Dean of the Graduate School , who will present the recommendation to the Graduate Council.

On recommendation of the Director of Graduate Studies and with the approval of the Dean of the Graduate School , persons who normally do not hold academic appointment in the University, but who have demonstrated an interest in collaborative participation in its graduate programs, may be appointed as Auxiliary Graduate Faculty Members. They should hold the terminal academic degree in the field and possess a record of research or creative experience that would warrant their inclusion on advisory committees to assist graduate students in conducting research. Auxiliary Graduate Faculty may serve only as nonvoting members of the advisory committee.

Administrative officers assigning teaching and other duties to members of the Graduate Faculty who are taking an active part in the graduate program (i.e., are heavily engaged in directing theses and dissertations, carrying on productive research, etc.) should make appropriate reduction in the duties required of such faculty members.

Proposal to Change Method of Appointment to Graduate Faculty

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- The doctor's degree or its equivalent in scholarly reputation.
- The rank of assistant professor (or equivalent) or higher.
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The Dean of the Graduate School is responsible for appointing and monitoring the progress of Associate Members of the Graduate Faculty. Associate members are authorized to teach graduate courses, direct master's theses, and serve on and co-chair doctoral committees. Associate membership is limited to a term of six years with reappointment possible after departmental review. There is no category for at-large graduate faculty membership.

On the recommendation of the Director of Graduate Studies, the chair of a department, a dean, or the majority vote of the graduate faculty in a program, the Dean of the Graduate School may appoint to Associate membership faculty members from other universities for a renewable 3 year term. This is limited to faculty members in tenurable faculty positions who hold the terminal degree in the field and are engaged in research activities.

Appointment to Full Graduate Faculty membership is made is one of four ways:

1. Full membership is automatically granted at the time a faculty member receives tenure, for faculty whose primary graduate program is in the tenuring department, and who holds a terminal degree in the field or a related field. If the graduate faculty of this program votes to enforce further stipulations beyond these requirements for full membership, those stipulations must be reported to both the Dean of the Graduate School and the Graduate Council,

2. Full membership may be granted to tenured faculty members (with terminal degrees) in other graduate programs in the university and to those faculty members in departments and centers which do not grant graduate degrees. The offer of full membership is enacted through a majority vote of the

full members of graduate faculty in that program, and forwarded to the Dean of the Graduate School.

3. Jointly appointed faculty or Adjunct Title Series faculty in a program or in a multidisciplinary center may hold graduate faculty appointments in this program. When joint or adjunct title faculty become tenured or move to Associate Professorships, they will automatically be promoted to full graduate faculty membership, unless the multidisciplinary program creates stipulations preventing automatic appointment. These stipulations are to be reported to the Dean of the Graduate School.

4. In unique instances, an advanced assistant professor may be nominated by his or her chairperson for full membership in the Graduate Faculty. To be considered for this status by Graduate Council, a nominee must meet the following recently-adopted criteria:

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Administrative officers assigning teaching and other duties to members of the Graduate Faculty who are taking an active part in the graduate program (i.e., are heavily engaged in directing theses and dissertations, carrying on productive

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Auxiliary Graduate Faculty status

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