

**BOARD OF TRUSTEES
GOVERNING REGULATIONS**
(defining; delegating authority)

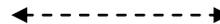


WHAT IS AN EDUCATIONAL UNIT?
(vs administrative unit) [GR VII.A, B]



**GR VII.C - FACULTY BODY
DECIDING EDUCATIONAL UNIT'S
EDUCATION POLICIES**
(w/ input, chairship of CAO)

Shared Governance



**GR VII.D - CHIEF ADMINISTRATIVE
OFFICER DECIDING UNIT'S
MANAGERIAL POLICIES**
(w/input from faculty)

**BoT: FACULTY, ADMINISTRATION PLEASE ADVISE US
ON THESE POLICY ISSUES NEEDING UPDATING**

- What amount/nature of educational activity needs its own educational unit?
- What kinds of educational activity occur in Institutes?
In Multidisciplinary Research Centers? E.g.:
 - Postdoc/Residency training?
 - Credit bearing courses?
 - Certificates?
 - Degree programs?

**BoT: FACULTY, CAOs PLEASE ADVISE US ON
THESE POLICY ISSUES NEEDING UPDATING**

- What constitutes those noncourse/nondegree educ activities over which unit Faculty decides educ policy? E.g. Postdocs
- Who comprise the 'faculty body' of Interdisc. Instr. Prog.?
- Which Faculty vote the educ policies of Mult Res Ctrs?

**BoT: CAOs, FACULTY PLEASE ADVISE US ON
THESE POLICY ISSUES NEEDING UPDATING**

- What responsibilities of Multi Res Ctr CAO?
- What, if any, Faculty Titles have Multi Res Ctr as Home Unit?
- Which faculty appointed into Multi Res Ctrs must be consulted on appt/promotion of faculty hired into Multi Res Ctrs?

GR VII (University Organization)

Preface

Over the past year, a workgroup comprised of faculty and senior administrative staff has labored to revise the University's *Governing Regulation* on the organization of the University (GR VII). Professors David Randall and Kaveh Tagavi served as the faculty representatives on the workgroup. In addition, Professor Davy Jones generously offered his expert assistance as Chair of the University Senate Rules and Elections Committee and former member of the workgroup. The effort at revising GR VII should be viewed in the wider context of the workgroup's ongoing charge to update and render more readable the compendium of the University's *Governing Regulations* and *Administrative Regulations*.

The *Governing Regulations* (GRs) are the defining regulatory documents of the University of Kentucky. The GRs codify the mission, vision and values of the University; establish the authority of the Board of Trustees, the President and the executive officers of the University; define the functions of the University Senate and Staff Senate; articulate the organizational structure for the conduct of educational activity; specify the types and conditions of faculty and faculty administrator appointments; codify student affairs functions and policies; and enumerate the major policies that affect University employment. Final approval on the adoption, revision or elimination of a governing regulation resides with the Board of Trustees.

The overarching theme of GR VII is that of shared governance. The regulation articulates the kinds of educational activities and policy-making that unit faculties are or will be performing, and the administrative organization and leadership necessary for success in those educational activities. To keep the theme of shared governance foremost in their minds, the workgroup members began their work on a revision of GR VII by establishing a set of consensus principles, which framed the subsequent discussions and editorial activity of the workgroup. The principles may be summarized as follows:

- tenured and tenure-eligible faculty employees comprise the core faculty of the University;
- faculty employees in the tenure-ineligible title series (e.g., Clinical and Research Title Series and Lecturer Series) perform mission-critical activities within the University;
- an "educational unit" engages in all three areas of educational activity -- instruction, research or other creative activities, and service -- as its mission;
- only four types of educational units -- departments, schools, graduate centers and colleges without either departments or schools -- shall be permitted to serve as the *primary* appointment unit of faculty employees in any of the tenure-eligible series;
- institutes and multidisciplinary research centers should have an expanded role in the performance of educational activities;
- only a faculty employee shall serve as the chief administrative officer of an educational unit.

Summary of Major Revisions

Definition of educational unit

In the proposed revision, the definition of an “educational unit” has been modified and states:

An “educational unit” engages in all three areas of educational activity - - instruction, research or other creative activities, and service - - as its mission and has a faculty employee as its chief administrative officer.

Faculty activity in educational units spans all three of the broad areas of educational endeavor stated above, albeit varying from one unit to the next in levels of intensity across the three areas of educational activity.

Faculty Appointment

The current language in GR VII prohibits institutes and multidisciplinary research centers from serving as an educational unit of faculty appointment. The proposed revision relaxes those restrictions and permits, with restrictions, institutes and multidisciplinary research centers to serve as units of faculty appointment under the following conditions:

The proposed revision continues to *limit* the locus of *primary* appointment in the tenure-eligible faculty series to a set of four educational units: departments, schools, graduate centers, and colleges without either departments or schools. Faculty employees in the tenure-eligible series may hold only secondary appointments in institutes and multidisciplinary research centers. In addition, faculty employees in the tenure-ineligible series may hold either primary or secondary faculty appointments in institutes and multidisciplinary research centers.

Instruction

The current version of GR VII allows institutes and multidisciplinary research centers to house educational programs and activities that do not include the offering of credit-bearing courses, certificates or degree programs. The proposed revision continues the prohibition against the hosting of a degree program, but newly permits institutes and multidisciplinary research centers to offer credit-bearing courses and certificates.

Language in the proposed revision also states that interdisciplinary instructional programs are required to have a sufficient number of budgeted faculty employees with secondary appointments in the interdisciplinary instructional program to provide on a regular basis the required courses of the degree program offered by the unit.

In addition, the proposed revision stipulates that, for interdisciplinary instructional programs which draw faculty from two or more colleges, the Provost shall designate the appropriate educational unit to which the given program reports.