

***THEME I: WHAT IS AN
EDUCATIONAL UNIT?***

Current GR VII Policy

- * Any existing or proposed unit with mission of educational activities in instruction, research, and service, if at least one full-time (tenured or tenurable) faculty appt. or equivalent is assigned to perform instruction, research, and service in that unit.
- * Institutes and centers cannot offer courses, certificates or degree programs

Proposed Change

- * An “educational unit” engages in all three areas of educational activity -- instruction, research or other creative activities, and service -- as its mission and has a faculty employee as its chief administrative officer
- * No minimum total faculty FTE required
- * Institutes/centers can offer courses & certificates; degrees must be housed in college, school or dept.

Implications/Issues

- * Only “educational units” do all 3 areas of educational activity as the unit’s mission; faculty are the core of an educational activity and drive the educational activity of the unit; a faculty employee serves as the unit administrator
- * Total minimum budgeted FTE not specified
- * Is the UK role of centers/institutes ‘dept in gestation’ or some other different concept?

***THEME II: FACULTY
EDUCATIONAL POLICY MAKING***

Current GR VII Policy

- * Core and extended faculty membership of colleges and units
- * College/unit faculties makes educational policy; Chair/Dean 'leads/presides' as college/unit faculty make educational policy
- * College Faculty automatically includes all of dean's asst/assoc deans
- * No clear definition of faculty body of institutes and centers or interdisciplinary instructional programs
- * Full range of what constitutes contemporary 'faculty educational activity' not well defined

Proposed Change

- * No change to composition dept/school Faculty body, or of leadership role of Chair/Dean
- * College Faculty body circumscribed by core faculty in tenure-eligible series; core faculty may extend privileges to faculty employees in tenure-ineligible series
- * Draft: Faculty employees w/ primary appointment in institutes/centers (who can only be in tenure-ineligible series) comprise the 'core' Faculty body in centers/institutes

Implications/Issues

- * Major issue is question of which Faculty in institutes, centers & interdisciplinary instructional programs ought comprise 'core' Faculty who make educational policy?
- * Draft: secondary appointment faculty w/ tenure in home dept are offered privileges of voting in center/institutes by non-tenured faculty w/ primary appt in center/institute – new concept

***THEME III: ADMINISTRATIVE
MANAGEMENT POLICY MAKING***

Current GR VII Policy

- * Faculty appointment cannot be housed in institute, centers or interdisciplinary instructional programs; only allotted DOE time

- * Primary appointment of faculty employees in tenure-eligible series only in dept/schools/graduate centers/colleges without subunits

Proposed Change

- * Faculty employees in the tenure-ineligible series can have primary or secondary appointment in centers and institutes; none in interdisciplinary instructional programs

- * Primary appointment of faculty employees in tenure-eligible series only in dept/schools/graduate centers/colleges without subunits

- * Faculty employees in the tenure-eligible series may have secondary appointment in centers, institutes or interdisciplinary instructional programs

Implications/Issues

- * Director of center/institute is primarily managing a body of tenure-ineligibles: from managerial perspective is this tractable?

- * The “tenure home” (where primary appt resides) continues to be dept/schools/graduate centers/colleges without subunits

THEME IV: REPORTING

Current GR VII Policy

Role of unit administrator in institutes and centers does not include faculty appointment and advancement matters (e.g., promotion)

* Center Director is consulted by Unit Administrator of faculty with >20% budgeted DOE time in center or institute

* Some institute or center directors report to Provost instead of a Dean (for managerial issues) or to a college Faculty (for educational policy issues)

Proposed Change

* Faculty appointment in institutes and centers requires faculty employee serving as unit administrator to conduct personnel evaluation, including appointment, reappointment, promotion and faculty performance review for faculty employees in tenure-ineligible series

* No change

* Unaddressed

Implications/Issues

* If institute or center reports to Provost, then the personnel actions for the primary appointment faculty in the unit occur outside of purview of college faculties and deans

* What faculty employees w/in institute or center are the cohort of mandatory faculty with whom the unit administrator must report as having been consulted on managerial decisions or faculty personnel actions?

* Consequences of lack of College Faculty quality control on educational policy-making if center reports to Provost instead of College?