

Brothers, Sheila C

From: Hippisley, Andrew R
Sent: Thursday, March 24, 2016 11:42 AM
To: Brothers, Sheila C
Subject: FW: Rule interpretation

From: "Wood, Connie" <cwood@uky.edu>
Date: Tuesday, March 8, 2016 at 5:48 PM
To: Andrew Hippisley <andrew.hippisley@uky.edu>
Subject: RE: Rule interpretation

Andrew, The short answer is yes, the Senate endorses/does not endorse changes in name to the BOT, but does not approve. See below for how this can be expedited. Connie

function of the Senate (GR IV.C.5) is:

"Recommend to the President and Provost on the establishment, **alteration**, abolition, and reporting relationships of **educational units** in the University."

which is incorporated in current Senate Rules at SR 1.2.1E:

"to recommend to the President and Provost on the establishment, **alteration**, abolition, and reporting relationships of **educational units** in the University; (SR 3.4)"

The name of an educational unit is part of the educational unit, its academic structure, for which it is required to obtain Board approval, and the Senate's prior recommendation. Even a "simple" matter of change in the spelling of a department name by a single letter is routed to the Senate before Board action:

<http://www.uky.edu/Faculty/Senate/files/Orthopedics%20Dept%20Name%20Change.pdf>

If the University considers that the donor's name is an *official part* of the name of the unit, then adding the donor's name is an "*alteration*" of the unit, and requires routing through the Senate for the Senate's endorsement/nonendorsement (not Senate "approval"). The GRs do not create any exception that 'when it is *this* part of the name of the unit that is altered but not *that* part of the name.' **Senate Rule 3.4.2.B.1 (first para.1) gives the Senate Council discretion to decide whether or not the matter rises to a level that requires a Senate body other than the Senate Council to recommend on the matter before Senate action.**

From: Hippisley, Andrew R
Sent: Tuesday, March 08, 2016 12:17 PM
To: Wood, Connie
Subject: Rule interpretation

Dear Connie,

Senate approves name changes of academic units, but here's my question: if the change simply involves the addition of a donor's name, is that in the purview of Senate? For example, Department of Linguistics -> The Richard Branson Department of Linguistics.

Best,

Andrew

Snippet from Senate Rules [pertinent section highlighted in yellow]....

3.4.2 Procedures Governing Creation, Consolidation, Transfer, Closure, or Significant Reduction of an Academic Program or Educational Unit

A. University Senate Review Submission Procedure

1. Proposals Initiated by Program/Unit Faculty. Proposals initiated by the faculty of the academic program or educational unit shall follow the procedures established in the University Senate Rules and/or those established by that unit.

2. Proposals Initiated by the Department Chair/School Director. Proposals initiated by the Department Chair/School Director will follow the existing procedures established by the respective unit for program or educational unit change and then be referred via the Dean (in keeping with College level procedures) to the Senate Council. The Department Chair/School Director will use the Senate-approved routing form to include evidence of compliance with existing unit procedures for (a) faculty approval of proposals for significant reduction to an academic program, or for (b) faculty advisement on proposed changes to academic organization.

3. Proposals Initiated by the Dean. Proposals initiated by, or forwarded to, the Dean will follow the existing procedures established by that college for academic program or educational unit change, and then be referred via the Dean to the Senate Council. The Dean will use the Senate-approved routing form to include evidence of compliance with existing college procedures for (a) faculty approval of proposals for significant reduction of an academic program, or for (b) faculty advisement on proposed changes to academic organization.

4. Proposals Initiated by the Provost, Vice President for Research, or President. Proposals for academic program change administratively initiated by or through the Provost, Vice President for Research, or President will follow the existing procedures established by the affected college, or by the program faculty, for academic program change, using the Senate-approved form. Proposals administratively initiated by or through the Provost, Vice President for Research or President but concerning the creation of or change to an educational unit shall be processed to the Senate Council, and are expected to include the considerations in SR 3.3.2.1.A.5. The proposal must be submitted to the Senate within 12 months from when the unit faculty was last consulted.

5. Administrative Consultation with Faculty on Infrastructural Issues. A submitted proposal is expected to include a full accounting by the respective dean(s) and Provost of the disposition of faculty, staff and resources (financial and physical), including willingness of donating units to release faculty lines for transfer to a different educational unit, in addition to consultation with the faculty of the unit to which the faculty lines are proposed to be transferred. The department chair and dean ought to address (and the proposal ought to document) the viewpoints and votes of unit faculty and department/college committees. The proposal is expected to include letters of support (or opposition) from senior faculty or administrators, and where helpful supporting letters from outside the University.

6. Definition of Significant Reduction of an Academic Program or Educational Unit. For the purposes of SR 3.3.2.1, the academic decision to temporarily suspend admissions to a Senate-approved academic program for longer than a single year is a 'significant reduction,' which must be forwarded to the Senate for approval. An academic decision to suspend admissions to a Senate-approved academic program for not longer than one year may be made by the final decision of the college faculty, pursuant to the established college faculty rules document. Proposals to create a new academic program, or to make changes to an academic program that are not a "significant reduction" of the program, are processed pursuant to SR 3.2.0. A college faculty may opt to have an issue concerning temporary suspension of admissions to an academic program forwarded to the Senate Council for Senate consideration.

All proposals for changes to academic organization must be processed through the University Senate, pursuant to the procedures in this rule (GR IV.C; GR VII). For the purposes of initiating the procedures here under SR 3.3.2, the initiator of the proposal concerning changes in infrastructural support for an educational unit or for an academic program has the responsibility for determining that the proposed infrastructural change that impinges on the attainment of educational objectives is a "significant reduction." In making that determination, the initiator of the proposal should consult with the members of the affected units.

7. Definition of an Educational Unit. Senate Rule 3.3.2.1 complies with the definition of the Board of Trustees that the educational units of the University are colleges, schools, departments, graduate centers, interdisciplinary instructional programs, and multidisciplinary research centers/institutes (GR VII.A.1).

B. University Senate Review Procedures.

1. The Senate Council will refer the proposal to an appropriate University Senate committee (e.g., the Undergraduate Council, the Graduate Council, the Health Care Colleges Council, the Academic Organization and Structure Committee, the Academic Programs Committee, or an ad hoc committee convened by the University Senate Council). The committee will review the proposal and its effect on faculty, students, and staff. The review shall involve primarily academic considerations such as the following, (not in any order of priority or preference). Other factors may in addition be considered as the respective committee/council(s) deem appropriate.

* The reference below to "academic programs" in the title to (a) and to "academic infrastructure" in the title to (b) means that the considerations in (a) are to be applied by the Senate Academic Programs Committee to proposals concerning academic content or academic status of a program, while considerations in (b) are to be applied by the Senate Academic Organization and Structure Committee to proposals concerning the educational unit structure or academic reporting. [SREC: 12/17/13]

* The lists in (a) and (b) are neither exhaustive of all potentially relevant considerations, nor required to be considered when not pertinent [SREC: 12/17/13].

(a) Academic Program Considerations for Proposals to Consolidate, Transfer, Close, or Significantly Reduce an Academic Program

Senate Council
March 28, 2016

- i.** The centrality of each program or course of study to the mission of this institution or to the mission of the college, school, or department within which it is located;
- ii.** The academic strength, productivity and quality of the academic program or unit, and of its faculty;
- iii.** The importance of the academic program to the state or region in terms of its cultural, historic, political, economic, or other social resources;
- iv.** The importance of the program to the state or region in terms of its geologic, geographic, environmental, or other natural resources;
- v.** The relationship of the academic program and the work done therein to some essential program or function performed at this institution;
- vi.** The current student demand and projected enrollment in the subject matter taught in the program or unit;
- vii.** The current and predicted comparative cost analysis/effectiveness of the program;
- viii.** The duplication of work performed in the academic program by work done in other programs or departments at other public institutions of higher education elsewhere within the Commonwealth of Kentucky; and
- ix.** The academic policies of the program faculty that are made necessary by, or in response, to requirements of governmental or accrediting bodies (GR IV.C.1).