

1 **1.3. COUNCILS OF THE SENATE**

2  
3 The University Senate delegates to its councils and/or its committees the largest degree of  
4 authority in handling recurring and routine matters in order that Senate meetings may be  
5 devoted largely to communications and consideration of major matters of educational policy.  
6

7 **1.3.1 UNIVERSITY SENATE COUNCIL**

8  
9 **1.3.1.1 Purposes and Functions of the Senate Council**

10  
11 The University Senate Council is charged with providing leadership to enable the Senate to  
12 perform effectively its primary role of formulating and implementing educational policies of the  
13 University as described in the Governing Regulations. The Board of Trustees in its Governing  
14 Regulations has also delegated responsibility to the Senate Council to act on behalf of the  
15 University Senate in particular advisory roles (GR 2.A.(2).(c); GR VIII.B).  
16

17 The Senate Council is specifically charged with continuously reviewing the University's  
18 academic policies and may call to the attention of the appropriate administrative officer any  
19 need for compliance with the University Senate Rules, Governing Regulations, or Administrative  
20 Regulations that relate to University Senate function.  
21

22 In accordance herewith, the Senate Council shall serve as the executive committee for the  
23 University Senate in fulfilling the following functions (see SR [1.2.1](#)):  
24

- 25 1. Study and report or recommend to the Senate on any matter of concern to the Senate.
- 26  
27 2. Receive, consider and recommend action on Senate Committee reports and on reports  
28 and recommendations from educational units. The Senate Council may recommend  
29 approval or disapproval or may present the matter to the Senate without  
30 recommendation.
- 31  
32 3. Appoint the Standing Committees of the Senate, Special Committees that it finds to be  
33 essential for the performance of Senate or Senate Council functions, and other  
34 committees as designated by the Senate.
- 35  
36 4. Advise the President on the appointment of the Senate Advisory Committees and other  
37 on academic committees that the President may appoint in the capacity as Chair of the  
38 Senate.
- 39  
40 5. Advise the Provost on the appointment of search committees for the appointment of  
41 deans of colleges, the Graduate School and the Libraries.
- 42  
43 6. Plan the agenda of the Senate. Distribute notices of regular Senate meetings at least  
44 six (6) days prior to meetings with agenda and recommendations for Senate action to  
45 members of the University Senate and to administrative offices that are concerned with  
46 academic affairs, and of special meetings as directed.
- 47  
48 7. Act for the Senate on all matters involving changes to academic programs, degrees,  
49 and curricula, subject to the requirements of reporting and the power of the Senate to  
50 reverse the Senate Council on these matters.
- 51  
52 8. Act on other matters referred to it by the Senate with the responsibility and authority  
53 delegated to it by the Senate.

- 54  
55 9. Act for the Senate in emergency situations, reporting such actions, with justification, to  
56 the Senate at its next regular meeting.  
57  
58 10. Advise the President on all matters relative to the welfare of the University which the  
59 President brings to it or which it proposes for consideration. In addition, the Senate  
60 Council shall invite the President annually to inform the Senate concerning general  
61 academic policies and budgetary priorities.  
62  
63 11. The Senate Council shall include solicitation to the elected college faculty councils (GR  
64 VII.E.2, 3), or similar elected college faculty bodies, to participate in nominating  
65 potential members of Area Committees, and other academic advisory committees, for  
66 submission to the President (GR IV.B).  
67  
68 12. To maintain a record of additions to or modifications of the *Rules* between periodic  
69 revisions and update the web-posted Senate *Rules* at least annually.  
70

### 71 1.3.1.2 Composition

72  
73 The Senate Council shall be composed of elected voting representatives (GR IV.A) and *ex*  
74 *officio* members as follows: The voting elected membership shall be nine (9) members elected  
75 by and from the 94 elected faculty representatives in the University Senate, and two (2)  
76 members elected by and from the newly elected student representatives in the University  
77 Senate.

78  
79 \* When one of the 9 voting faculty elected to a three-year term in the Senate Council  
80 becomes elected as Faculty Trustee, the person retains the status as one of the 9  
81 voting faculty members of the Senate Council until the three-year term on the Senate  
82 Council ends. Upon the end of the term, the person then acquires the status of *ex*  
83 *officio* nonvoting Faculty Trustee member of the Senate Council. [SREC: 5/13/2016]  
84

85 The *ex officio* voting member shall be the President of the Student Government Association,  
86 and the *ex officio* nonvoting members shall be the faculty members of the Board of Trustees, if  
87 they are not elected members of the Senate Council, and the immediate past chair of the  
88 Senate Council, if his or her term on the Senate Council has expired. For purposes of this rule,  
89 the phrase "immediate past chair of the Senate Council" means the person who served as chair  
90 of the Senate Council during the preceding year.

91  
92 \* When the term of a Senate Council Chair has ended, the person acquires the status of  
93 nonvoting 'past chair' member of the Senate Council, regardless of whether the person  
94 has been appointed to an administrative position or administrative assignment that  
95 makes the person ineligible for election to the University Senate. [SREC: 5/13/2016]  
96

97 Six elected members shall constitute a quorum for the transaction of business. [US: 10/10/77;  
98 US: 10/12/98] If the elected faculty representatives to the Senate Council are to perform a  
99 statutory action (KRS 164.240) on behalf of the elected faculty representatives of the Senate  
100 (GR IV.A,B), then five of those nine members shall constitute a quorum (KRS 446.050).

101  
102 \* "Elected members" means any members who are not *ex officio* members. [SREC:  
103 11/20/2006]  
104

### 105 1.3.1.2.1 Elected Faculty Membership

#### 106 1.3.1.2.1.1 Eligibility for Membership

107  
108

109 Any elected faculty member of the Senate (or person appointed to replace an elected member)  
 110 whose term of office has not expired at the time of the election shall be eligible for election to  
 111 the Senate Council, except that no more than three (3) of the nine elected faculty members of  
 112 the Senate Council shall be from any one college. If an elected faculty representative in the  
 113 Senate has become ineligible and a replacement has been made, only the person who has  
 114 replaced the ineligible representative shall be eligible for election to the Senate Council. Senate  
 115 Council members are not eligible to succeed themselves until a lapse of one year from the  
 116 expiration of their Senate Council membership (including service as Chair or Vice Chair), except  
 117 that members who are filling a vacancy and who have served no more than one year, if  
 118 otherwise eligible, may be eligible for election. The duration of the term of membership on the  
 119 Senate Council shall not be affected by the member's term on the University Senate. [US:  
 120 10/14/85]  
 121

#### 122 **1.3.1.2.1.2 Terms**

123  
 124 Elected faculty representatives in the Senate Council shall serve for three (3) years  
 125 commencing on January 1 following their election and continuing until expiration of their terms  
 126 or until their successors are elected and certified.  
 127

#### 128 **1.3.1.2.1.3 Election**

129  
 130 Voting shall be conducted by electronic secret ballot or paper secret ballot if electronic election  
 131 is not feasible. Three (3) elected faculty representatives in the University Senate shall be  
 132 elected annually during the fall semester to serve on the Senate Council. The Chair of the  
 133 Senate Rules and Elections Committee or that person's designee shall preside over the  
 134 election as the election official and shall remind the voters that election to the Senate Council  
 135 confers eligibility for election to Senate Council Chair the following year. [US: 1/18/88;  
 136 10/8/2012; 2/12/2018]  
 137

#### 138 **1.3.1.2.1.3.1 Nominating Round**

139  
 140 In the nominating round, elected Faculty Senators may nominate up to three (3) eligible  
 141 Senators from the roster of the eligible persons as certified by the election official. The name of  
 142 the eligible Faculty Senators who have been nominated and have indicated to the election  
 143 official their willingness to serve will appear on the ballot. [US: 2/12/2018]  
 144

145 If ten (10) or fewer total nominees are willing to serve, and there are no more than twice the  
 146 number of names from any one college as could potentially be elected from that college,  
 147 elections shall proceed directly to the Final Voting Round described in SR [1.3.1.2.1.3.3](#) below.  
 148 Otherwise, elections shall include a Preliminary Voting Round described in SR [1.3.1.2.1.3.2](#)  
 149 below. [US: 2/12/2018]  
 150

151 If three (3) or fewer total nominees are willing to serve, additional solicitations shall be made in  
 152 an effort to increase the number of nominees. [US: 2/12/2018]  
 153

#### 154 **1.3.1.2.1.3.2 Preliminary Voting Round**

155 [US: 2/12/2018]  
 156  
 157

158 On this first ballot, elected Faculty Senators may rank order up to three (3) candidates from the  
 159 list of eligible persons as certified by the election official. Rank orders will be used to resolve  
 160 ties. The six (6) candidates with the largest number of total votes, including those ranking the  
 161 candidate first, second, or third shall become candidates in the Final Voting Round, except that  
 162 there must not be more than twice the number of names from any one college as could  
 163 potentially be elected from that college. Ties will be resolved as follows:

- 164  
165 1. The higher ranking goes to the candidate with the larger number of first-choice votes;  
166  
167 2. If there are still ties, the higher ranking goes to the candidate with the larger number of  
168 second-choice votes;  
169  
170 3. If there are still ties, the higher ranking goes to the candidate with the larger number of  
171 third-choice votes;  
172  
173 4. If there are still ties, the ranking will be determined by random draw.  
174

175 **1.3.1.2.1.3.3 Final Voting Round.**

176  
177 On this final ballot, elected Faculty Senators may rank order up to three (3) candidates from the  
178 list of the eligible persons as certified by the election official. The candidates will then be ranked  
179 according to the procedure listed in subsection (b) above. The three (3) candidates with the  
180 highest rank shall be elected except that no more than three (3) of the nine elected faculty  
181 members of the Senate Council shall be from any one college. [US: 2/12/2018]  
182

183 **1.3.1.2.1.3.4 Election Results.**

184  
185 The three highest-ranked candidates under [1.3.1.2.1.3.3](#) above are elected.  
186

187 **1.3.1.2.1.3.5 In case of future vacancies**

188  
189 The remaining candidates will be considered in the order so ranked in case of future vacancies  
190 on the Senate Council. Ties will be resolved in a fashion similar to the procedure in SR  
191 [1.3.1.2.1.3.2](#) above. [US: 2/12/2018]  
192

193 **1.3.1.2.1.4 Vacancies.**

194  
195 Upon resignation of any elected faculty representative in the Senate Council or when such a  
196 member is no longer eligible to be a Senator, a vacancy shall be declared by the Chair of the  
197 Senate Council. A vacancy on the Senate Council shall be filled by the eligible candidate who at  
198 the most recent Senate Council election ranked the highest without being elected and who is  
199 eligible and willing to serve. [US: 1/18/88]  
200

201 If the above procedure still does not fill the vacancy, the vacancy shall be filled with an eligible  
202 and willing elected Faculty Senator nominated by the Senate Council Chair and approved by  
203 the majority of the voting faculty representatives of the Senate Council.  
204

205 The term of such appointments shall be for the remainder of the unexpired term of the vacating  
206 Senate Council member.  
207

208 **1.3.1.2.2 Elected Student Membership**

209  
210 **1.3.1.2.2.1 Terms.**

211  
212 The two elected student members of the Senate Council and the President of the Student  
213 Government Association shall serve terms of one (1) year commencing July 1 following their  
214 election and continuing until their successors are elected and certified. [US: 10/12/98]  
215

216 **1.3.1.2.2.2 Election.**

217

218 The two (2) elected student members of the Senate Council shall be chosen annually in the  
 219 second semester of the academic year. As soon as possible after the election of the student  
 220 members of the University Senate during the second semester, the President of the Student  
 221 Government Association shall assemble these persons to elect the student members of the  
 222 Senate Council by majority vote. [US: 10/12/98]  
 223

224 **1.3.1.2.2.3 Vacancies.**

225  
 226 If at any time during the term of a student member of the Senate Council they should become  
 227 ineligible for membership in the Senate, his or her position on the Council shall be declared  
 228 vacant. The President of the Student Government Association shall then assemble the student  
 229 members of the University Senate to elect his or her successor.  
 230

231 **1.3.1.2.3 Attendance**

232  
 233 If any member misses three (3) regular or special meetings of the Council per year, without an  
 234 explanation acceptable to the majority of the other voting members, a vacancy is declared, to  
 235 be filled according to the above procedures concerning vacancies. [US: 10/12/81]  
 236

237 **1.3.1.3 Officers of the Senate Council**

238  
 239 [US: 9/8/97]  
 240

241 **1.3.1.3.1 Senate Council Chair**

242  
 243 The Senate Council shall elect its Chair in December preceding the academic year during  
 244 which the Chair shall serve. All nine of the elected faculty representatives then serving on the  
 245 Senate Council shall be eligible for election to the position. The incumbent Chair, if in his or her  
 246 first year as Chair, shall also be eligible for reelection.  
 247

248 The Chair of the Senate's Rules and Elections Committee (SREC) or that person's designee  
 249 shall preside over the election. In the November preceding the academic year during which the  
 250 Senate Council Chair shall serve, the elected faculty senators will be asked to nominate current  
 251 elected faculty members of the Senate Council to serve as Senate Council Chair. The SREC  
 252 Chair or that person's designee will ascertain willingness of these nominees to serve. [US:  
 253 2/12/2018]  
 254

255 If there is only one nominee who agrees to serve, then the election shall be declared completed  
 256 and this person shall be the Senate Council Chair-elect, and the University Senate so notified.  
 257 [US: 2/12/2018]  
 258

259 Should more than one nominee be willing to serve if elected, the nominees will be offered an  
 260 opportunity to provide an up to 200-word election statement, and that statement will be  
 261 distributed to the elected faculty senators at least two weeks before the election. The elected  
 262 faculty senators will be solicited by email to express their opinions of the nominees to the  
 263 Senate Council. The Senate Council will consider this feedback in electing the next Senate  
 264 Council Chair in the December preceding the June 1 start date.  
 265

266 The Senate Council must elect the Chair by a simple majority. If the Senate Council is not able  
 267 to elect the Chair by a simple majority by the end of December, then the elected faculty  
 268 senators shall elect the Chair by plurality from those nominated through the above process at  
 269 the next regularly scheduled Senate meeting. If there is still a tie, the Chair shall be determined  
 270 by random draw. [US: 2/12/2018]  
 271

- 272 When the person chosen is not the incumbent Chair, they shall be known as the Chair-elect.  
 273 The Senate Council Chair is always a voting member of the Senate Council, within the  
 274 provisions of the *Robert's Rules of Order, Newly Revised*. The Senate Council Chair:  
 275
- 276 1. Will exercise preparatory administrative functions as Chair that are attendant to the  
 277 effective conduct Senate and Senate Council meetings, including the authority to call  
 278 special meetings of the Senate Council (KRS 61.823.2);  
 279
  - 280 2. As the 'department head' of the Senate Council Office, has certain general supervisory  
 281 responsibilities for managing the budget, inventory, and personnel functions of the  
 282 Senate Council Office (UK Business Procedures Manual; EVPFA Inventory Policies;  
 283 Human Resources Policy and Procedure Administrative Regulation 61.2; KRS 61.030);  
 284
  - 285 3. Performs as the Presiding Officer of the Senate Council meetings except as they may  
 286 delegate that function to the Vice Chair of the Senate Council; as Presiding Officer  
 287 possesses attendant responsibilities and authority pursuant to Open Meetings Law  
 288 (KRS 61.846(1));  
 289
  - 290 4. Has general supervisory responsibility for: the preparation of minutes of Senate Council  
 291 meetings pursuant to KRS 61.835; the distribution of these minutes to Senate Council  
 292 members; the maintenance of official minutes of the Senate Council meetings pursuant  
 293 to statutory UK Records schedule (KRS 171.420; President's memo 1/25/95); public  
 294 accession to these minutes pursuant to the Open Meetings law (KRS 61.846(1)); and  
 295 for the safe transfer of the records of the Senate Council Office to their successor (KRS  
 296 57.360);  
 297
  - 298 5. Has general supervisory responsibility for the maintenance of copies of procedures for  
 299 election of Faculty Senators, as approved and submitted by college faculties (as per SR  
 300 [Error! Reference source not found.](#));  
 301
  - 302 6. Has general supervisory responsibility for maintenance an official file of the educational  
 303 unit "Rules" (including rules for unit-level membership/voting privileges) and committee  
 304 structure for educational policy-making, as established by the respective faculties of  
 305 each educational unit, being consistent with the *University Senate Rules*. As each is  
 306 received upon approval by the Provost ([GR.VII.E.1-6](#)), the Rules documents in this file  
 307 will be maintained in a format accessible as needed by members of the Senate Council  
 308 and Senate Committees;  
 309
  - 310 7. Has general supervisory responsibility for the maintenance a roster of those faculty  
 311 employees in each college who are  
 312
    - 313 a. full-time faculty employees at each rank in each respective title series (used for  
 314 apportionment of seats of elected Faculty Senators (SR [Error! Reference  
 315 source not found.](#))),  
 316
    - 317 b. eligible to be elected as Faculty Trustees, and those eligible to vote in Faculty  
 318 Trustee elections (i.e., those members of the college faculty bodies who are  
 319 full-time faculty employees with academic rank at or above Assistant Professor  
 320 (or Librarian III) (SR [1.5.2](#)); and  
 321
    - 322 c. eligible to be elected as Faculty Senators (i.e., full-time faculty employees in  
 323 the Regular, Special, Extension or Librarian Title Series, at or above the rank of  
 324 Assistant Professor (or Librarian III), and those eligible to vote in college  
 325 election of Faculty Senators (i.e., those eligible to serve plus those other full-

- 326 time faculty who have been conferred with privileges to vote in the college  
 327 senator elections (GR VII.E.2, 3) [SR [Error! Reference source not found.](#)],  
 328  
 329 d. these rosters being obtained from the deans of the respective colleges (GR  
 330 II.B.2.b assigns responsibility for these elections to the University Senate);  
 331  
 332 8. Annually reports to the Chair of the Senate the attendance records of *ex officio* voting  
 333 members;  
 334  
 335 9. Is responsible for the assembly of the Ombud search committee with the composition  
 336 specified in the *University Senate Rules* (SR [Error! Reference source not found.](#));  
 337  
 338 10. Will make the final decision for the Senate on matters of minor course changes, as  
 339 these changes are defined in the *University Senate Rules* (SR [Error! Reference source](#)  
 340 [not found.](#));  
 341  
 342 11. Advises the chairs of Senate standing committees on the appointment of ad hoc  
 343 advisory committees to the standing committees (SR [1.4.1](#));  
 344  
 345 12. Utilizes regular communication and information sharing with elected college faculty  
 346 councils, or similar elected college faculty bodies, to increase the effectiveness of  
 347 educational policy-making by the University Senate and the College Faculties;  
 348  
 349 13. May be directed by the Senate Council to represent that body on a particular matter to  
 350 the President, or to another administrative officer, to the Board of Trustees, or to  
 351 another University (or external) entity;  
 352  
 353 14. Serves in a variety of ad hoc circumstances as a voice for the University Faculty, in  
 354 different fora before organizations and groups on campus;  
 355  
 356 15. Is periodically invited by the President or other University administrators to serve on  
 357 University-level committees of diverse composition, so as to advocate for the Senate or  
 358 Senate Council in the formulation of administrative policy on issues;  
 359

#### 360 **1.3.1.3.2 Senate Council Vice-Chair**

361  
 362 The Senate Council shall also elect a Vice-Chair at a December meeting from among the six  
 363 faculty representatives elected to the Senate Council whose terms do not expire at the end of  
 364 that month. The Vice-Chair shall take office the following June 1<sup>st</sup> and serve through May 31<sup>st</sup> of  
 365 the next year. The Vice-Chair shall:

- 366  
 367 1. Preside at any meeting of the Senate or the Senate Council at which the respective  
 368 Chair so delegates or at which the Chair is not present, and may be delegated by the  
 369 Presiding Officer to introduce the Senate Council motions and resolutions at Senate  
 370 meetings. To facilitate the Vice-Chair's readiness to assume these responsibilities, the  
 371 Senate Council Chair will keep the Vice-Chair generally apprised of important events  
 372 that relate to Senate/Senate Council function.  
 373  
 374 2. Become chair of the Senate Council for the remainder of the chair's term if for any  
 375 reason the chair is no longer able to serve in that capacity.  
 376  
 377 3. Serve as Secretary of the Senate including review of the Senate minutes prior to their  
 378 distribution to senators. [US: 4/10/2000; 2/12/2018]  
 379

- 380 4. Serve on the Senate Reinstatement Committee if a subset of Senate Council is so  
 381 constituted per SR [1.4.2.9](#). [US: 2/12/2018]  
 382  
 383 5. Serve on the Committee on Committees. [US: 2/12/2018]  
 384  
 385 6. Oversee the Senate Council's survey of faculty in regard to the President's annual  
 386 performance evaluation. [US: 2/12/2018]  
 387  
 388 7. Oversee the Outstanding Senator Award process. [US: 2/12/2018]  
 389

### 390 **1.3.1.3.3 Chair-elect**

391  
 392 The Chair-elect or a Chair reelected to a second term shall take office as Chair on the following  
 393 June 1st and serve through May 31st of the next year. A member of the Senate Council is not  
 394 eligible while on sabbatical for election as Chair-elect but a person who has replaced the  
 395 member on sabbatical on the Council is eligible to be elected as Chair-elect. The Senate  
 396 Council Chair-elect will be given the opportunity to shadow the current Senate Council Chair  
 397 during the six months preceding the Senate Council Chair-elect assuming the office. [US:  
 398 10/8/2012]  
 399

### 400 **1.3.1.3.4 Conditions of Senate Council Officership**

401

#### 402 **1.3.1.3.4.1 Vice-Chair's Senate Council term ending December 31st**

403

404 An incumbent Vice-Chair whose term as an elected member of the Senate Council expires on  
 405 December 31st shall continue in office and serve as a nonvoting member of the Senate Council  
 406 until the following May 31st. However, if at a meeting of the Senate or Senate Council the Vice-  
 407 Chair is acting as Presiding Officer in place of a nonattending Chair, then at that meeting the  
 408 Vice-Chair possesses the same voting status as the Chair. An incumbent Vice-Chair whose  
 409 elected term on the Senate Council expires on December 31st shall be eligible to be named  
 410 Chair-elect at the meeting that December to choose the next Chair.  
 411

#### 412 **1.3.1.3.4.2 Vacancy**

413

414 If for any reason the office of the Vice-Chair should become vacant, the Senate Council shall  
 415 act as soon as possible to elect a replacement. [US: 10/12/81; US: 9/8/97]  
 416

#### 417 **1.3.1.3.4.3 Senate Council members whose Senate terms have expired**

418

419 Officers of the Senate Council will remain members of the Senate Council for the duration of  
 420 their terms of office even if their terms as Senators may have expired. In this eventuality, they  
 421 will not be counted as part of their educational units in the election of representatives to the  
 422 Senate or to the Senate Council, thereby expanding the normal size of both those bodies. [US:  
 423 10/12/81; US: 9/8/97; [GR IV.B](#)]  
 424

- 425 \* Under this rule, because the Senate Council Chair-elect is an officer of the Senate  
 426 Council (SR 1.3.1.3.3), if the individual's regular three-year term on the Senate Council  
 427 has ended on December 31, the Senate Council Chair-elect shall be a nonvoting  
 428 member of the Senate Council for the portion of their term of office as Senate Council  
 429 Chair-elect that runs from January 1 – May 31. [SREC: 12/17/2013]  
 430

### 431 **1.3.1.3.5 Senate Council Office Staff**

432

433 An Administrative Assistant, employed by and responsible to the Senate Council, shall carry out  
 434 the routine and continuing activities which are essential to the functioning of the Senate  
 435 Council. [US: 10/12/81; US: 9/8/97]

436  
 437 An assistant, employed by and responsible to the Senate Council, shall carry out the routine  
 438 and continuing activities essential to the functioning of the University Senate such as  
 439 scheduling meeting rooms; taking minutes, word processing and distributing Senate agenda,  
 440 memos and other correspondence; assisting the Chair of the Rules and Elections Committee in  
 441 conducting nominations and elections; and other activities. [US: 4/10/2000]

442

### 443 **1.3.2 UNIVERSITY SENATE'S ACADEMIC COUNCILS**

#### 444 **1.3.2.1 Academic Councils' Membership, Terms, Vacancies, and Meetings**

445 The compositions and specific election processes of the academic councils are described in  
 446 their respective sections (SR XXX for Graduate Council<insert reference>, SR YYY for  
 447 Undergraduate Council<insert reference>, and SR ZZZ for Health Care Colleges Council<insert  
 448 reference>).

449

450 Elections for academic council membership shall take place during the spring semester prior to  
 451 the beginning of the member's term. Voting shall be conducted by electronic secret ballot or  
 452 paper secret ballot if electronic election is not feasible.

453

#### 454 **1.3.2.2 Elected Faculty Membership**

455 Pursuant to *Administrative Regulations* on tenure-ineligible title series and on faculty members  
 456 with certain administrative appointments, the following terms apply.

457 (1) Tenured faculty employees who have been appointed to an administrative position at or  
 458 above the level of department chair are not eligible to participate in the election of faculty  
 459 representatives, or serve as the elected faculty representative, to faculty governance  
 460 bodies above the college level (e.g., Board of Trustees, University Senate, Graduate  
 461 Council, Undergraduate Council, and Health Care Colleges Council) (see HRPP 4.0;  
 462 GR.II.B.2.b.(2)); and

463 (2) Regular, full-time faculty employees in a tenure-ineligible series are not eligible for  
 464 regularly assigned university-level service activities (including the Senate), except that  
 465 the tenured/tenure-track faculty of a college may allow a tenure-ineligible series to be  
 466 eligible to vote for representation, and stand for election, specifically to the University  
 467 Senate, by extending College Faculty membership to that faculty series (to be  
 468 documented in the College Rules; see AR 2.5, AR 2.6, AR 2.9 for more details). [SREC:  
 469 4/15/2011]

470

#### 471 **1.3.2.3 Terms**

472 All terms begin on August 16 and expire on August 15. Members shall serve until the expiration  
 473 of their terms, until they have become ineligible, or until their successors have been named.

474

475 The term of office of elected members shall be three (3) years, with elections being conducted  
 476 so that the terms will be staggered. Each elected faculty member shall be eligible for reelection  
 477 for a second consecutive term, but ineligible for further membership until one year has lapsed.

478

479 The term of office of appointed members and student members shall be one (1) year. Appointed  
 480 members may serve two consecutive terms but are ineligible for reappointment for a third  
 481 appointed term until one year has lapsed.

482

#### 483 **1.3.2.4 Vacancies**

484 Upon resignation of any elected faculty member or when such a member is no longer eligible to  
 485 be a Senator (i.e., resignation, leave of absence, assumption of administrative title, loss of status  
 486 as a faculty employee), a vacancy shall be declared by the chair of the academic council.

487  
488 The chair of the academic council shall fill the vacancy, if at all possible, by the elected alternate  
489 or the eligible candidate who at the most recent election ranked the highest without being  
490 elected and who is eligible and willing to serve. If there is no such individual, the chair of the  
491 academic council shall, after consultation with the Senate Council Chair, appoint an eligible  
492 member who fulfills the requirements of the vacant seat. The term of appointment shall be for  
493 the remainder of the unexpired term and the partial term does not count toward the new  
494 member's limit of two consecutive terms

#### 495 496 **1.3.2.5 Ex Officio Membership**

497 Ex officio members may be voting or nonvoting, however the position is presumed to be  
498 nonvoting unless indicated otherwise.

#### 499 500 **1.3.2.6 Meetings**

501 Academic councils are expected to meet at least monthly and shall record minutes of actions  
502 taken at all meetings. These minutes shall be available not later than one week after the end of  
503 the next meeting. A majority of the elected faculty membership constitutes a quorum for the  
504 transaction of business.

### 505 506 **1.3.2.1.3.3 GRADUATE COUNCIL (GC)**

#### 507 508 **1.3.2.1.3.3.1 Relationship of Graduate Faculty to the University Senate**

##### 509 510 **1.3.2.1.1.3.3.1.1 Role of the Graduate Faculty**

511 The Graduate Faculty shall make recommendations to the University Senate on

- 512  
513
- 514 1. academic matters that require University Senate approval, including graduate courses
  - 515 and programs and graduate program reviews (GR VII.C.4; GR VII.E.1) and
  - 516
  - 517 2. conditions of merit and circumstance for Honorary Degrees.
  - 518

519 The Graduate Faculty may perform the above functions directly, through the Graduate Council, or  
520 through committees that it or the University Senate may establish. Councils of the Graduate  
521 School may be appointed by the Dean of the Graduate School or elected, as prescribed by the  
522 *Rules of the Graduate Faculty* and approved by the University Senate. The Graduate Council  
523 shall have the authority and responsibilities delegated to it by the Dean of the Graduate School,  
524 by the Graduate Faculty in the *Rules of the Graduate Faculty*, and by the *University Senate*  
525 *Rules*. (GR VII.E).

#### 526 527 **1.3.2.1.2.1.3.3.1.2 Graduate Faculty Membership**

528  
529 Upon the recommendation of the members of the graduate faculty of a program, and  
530 transmitted through the Director of Graduate Studies, the Graduate Council will review, and the  
531 Graduate Dean will then render final action on, nominees for Graduate Faculty membership  
532 (GR VII.A.3.a). Associate and other classes of members in the Graduate Faculty (including but  
533 not limited to Directors of Graduate Studies [US: 4/21/52], may be appointed by the Dean of the  
534 Graduate School, with appropriate duties and privileges, as provided by the *Rules of the Graduate*  
535 *Faculty* and as approved by the University Senate (GR VII.E.1.B).

536  
537 On recommendation of the Director of Graduate Studies and with the approval of the Dean of the  
538 Graduate School, persons who normally do not hold academic appointment in the University, but  
539 who have demonstrated an interest in collaborative participation in its graduate programs, may be

540 appointed as Auxiliary Graduate Faculty Members. They should hold the terminal academic  
 541 degree in the field and possess a record of research or creative experience that would warrant  
 542 their inclusion on advisory committees to assist graduate students in conducting research. [US:  
 543 12/13/54; US: 09/08/86]

544  
 545 The Director of Graduate Studies serves as program advisor to each student until the  
 546 student has a thesis or dissertation director. [US: 04/21/52]

547  
 548 Graduate courses should be taught by Graduate Faculty members. If a program needs to  
 549 assign a person without a terminal degree to teach a graduate level course, they must  
 550 first petition the Dean of the Graduate School, explaining the unique circumstances and  
 551 qualifications supporting this assignment. [US: 12/13/54]

552  
 553 The President, the Vice President for Research, the Provost, and the Dean of the Graduate  
 554 School shall be *ex officio* nonvoting members of all committees of the Graduate School.  
 555 [US: 04/21/52]

556  
 557 **1.3.2.21.3.3.2 Functions**

558  
 559 The Graduate Council shall meet on the call of the Graduate Dean, or upon written request of  
 560 five (5) members of the Graduate Council. A majority of the voting membership shall constitute  
 561 a quorum for the transaction of business. Its responsibilities relative to courses and programs  
 562 shall be as follows, and shall proceed in accordance with SR 3.2.2.3.3.1.6 <insert reference>  
 563 (courses) and SR 3.1.3.3.2 <insert reference> (programs):

564  
 565 **1.3.2.2.11.3.3.2.1 Course Procedures**

566  
 567 It shall consider all proposed new courses and changes in courses which may be used for  
 568 credit toward a graduate degree and forward a recommendation to approve or not approve.

569  
 570 It shall forward its recommendations on courses numbered 400G-499G to the Undergraduate  
 571 Council ~~recommendations on the courses numbered 400G-499G~~. [US: 10/11/99]

572  
 573 **1.3.2.2.21.3.3.2.2 Degree and Certificate Program Procedures**

574  
 575 It shall consider all proposed new graduate programs and changes in graduate programs, and  
 576 degree titles (for both graduate program degrees and Honorary Degrees), and forward a  
 577 recommendation to approve or not approve forwarding its transmittal to the Senate Council.  
 578 (See SR **3.1.3**). ~~In addition, it shall review all graduate programs.~~ (These procedures are not  
 579 intended to prevent a faculty member from presenting a recommendation or request directly to  
 580 the Graduate Faculty.)

581  
 582 **1.3.2.2.3 Review of Programs**

583  
 584 ~~\*————The procedures described below are superseded by more recent policy adopted by the~~  
 585 ~~University Senate in SR 3.3 [SREC: 8/9/2013]~~

586  
 587 ~~The Graduate Council shall review all graduate programs and suggest measures designed to~~  
 588 ~~maintain acceptable levels of academic quality. In pursuit of this charge, the Graduate Council~~  
 589 ~~may recommend appropriate actions to the Graduate Dean. For the purposes of this section,~~  
 590 ~~such recommendations may include (1) suspension of programs for a maximum of five years,~~  
 591 ~~(2) lifting of suspensions, and (3) termination of programs in accordance with the procedures~~  
 592 ~~specified below.~~

593

594 All recommendations by the Graduate Council and decisions by the Graduate Dean relative to  
 595 suspension of programs, or lifting of suspensions, shall be communicated to the Chair of the  
 596 Senate Council for incorporation in the Senate Council Course/Program Actions. No later than  
 597 the fifth year of any program suspension, the Graduate Council shall review the suspension and  
 598 recommend to the Graduate Dean the reinstatement or termination of the programs.

599  
 600 ~~A decision to suspend a program on academic grounds may be appealed by the Director of~~  
 601 ~~Graduate Studies in the program. The appeal in all such cases shall be made, including~~  
 602 ~~justification, to the Provost for review. The Provost shall appoint a committee of Graduate~~  
 603 ~~Faculty members including a member of the Senate Council to function as an Appeals~~  
 604 ~~Committee to review the case. It shall limit its review to the materials submitted and to the~~  
 605 ~~procedures followed by the Graduate Council.~~

606  
 607 ~~The Provost shall discuss the recommendation of this Appeals Committee with the Graduate~~  
 608 ~~Dean and chair of the Senate Council and recommend final action to the President.~~

609  
 610 ~~If the Graduate Dean approves a recommendation by the Graduate Council to reinstate a~~  
 611 ~~program that has been suspended, the Graduate Dean shall submit this recommendation to the~~  
 612 ~~Graduate Faculty for review. If the Graduate Faculty concurs, it shall forward its~~  
 613 ~~recommendation through the Senate Council to the Senate for approval. [US: 11/14/83]~~

614  
 615 ~~Any recommendation by the Graduate Council to the Graduate Dean to terminate a program,~~  
 616 ~~whether or not previously suspended, shall also be communicated to the Chair of the Senate~~  
 617 ~~Council. In accordance with SR 3.3.2, the Graduate Council (or the Graduate Dean if so~~  
 618 ~~determined by the Senate Council) shall be responsible for the exercise of the procedures~~  
 619 ~~prescribed in SR 3.3.2. The Senate, which has the final authority for program termination (GR~~  
 620 ~~IV.C), if deciding to terminate the program, will report that decision to the President. [US:~~  
 621 ~~11/8/76]~~

#### 622 623 1.3.2.2.41.3.3.2.3 **Distance Learning Off-campus Courses and Programs**

624  
 625 The Graduate Council shall review distance learning activities for quality and effectiveness, in  
 626 keeping with Southern Association of Colleges and Schools – Commission on Colleges  
 627 (SACSCOC) substantive changes criteria [US: 4/12/99]

#### 628 629 1.3.2.2.51.3.3.2.4 **Review of Graduate Programmatic Activities Other Than Degree or** 630 **Certificate Programs**

631  
 632 The Graduate Council shall periodically review and report to the Graduate Faculty and Senate  
 633 on the effectiveness of the educational policies of the Graduate School ~~(a) concerning~~  
 634 ~~certificates awarded in relation to graduate courses or training, (b) in relation to the education~~  
 635 ~~and training of postdoctoral scholars/fellows, and (c) in relation to postbaccalaureate students.~~

#### 636 637 1.3.2.2.61.3.3.2.5 **Honorary Degrees**

638  
 639 The responsibilities of the Graduate Council relative to Honorary Degrees include periodically  
 640 make recommendations to the elected faculty representatives in University Senate on the titles  
 641 used for honorary degrees, and on the conditions of merit and circumstance applied to the  
 642 award of honorary degrees (GR IV.A, C)

#### 643 644 1.3.2.31.3.3.3 **Composition**

645 The composition of the Graduate Council will be examined by the Graduate Council annually at  
 646 its last meeting of the academic year. [US: 4/12/2004]

647

648 **1.3.3.3.1 Chair**

649

650 The dean of the Graduate School serves as the chair.

651

652 **1.3.3.3.2 Voting faculty members**653 The Graduate Council ~~is shall consist~~<sup>composed</sup> of eighteen ~~(18)~~<sup>(14)</sup> voting -faculty members654 appointed from the Graduate Faculty by the Dean.

655

656 ~~and the Dean of the Graduate School, who serves as the chair. There are fourteen (14) faculty~~  
657 ~~representatives elected from the Graduate Faculty, two (2) members appointed from the~~  
658 ~~Graduate Faculty by the Dean, and two (2) graduate student members. Graduate School~~  
659 ~~associate deans, and representatives chosen by either the Senate Council and the Health Care~~  
660 ~~Colleges Council serve in a nonvoting, ex officio capacity.~~

661

662 The composition of the elected membership of the Graduate Council is as follows:

663

- 664 • Two members from the College of Agriculture, Food and Environment;
- 665
- 666 • Three members from the College of Arts and Sciences;
- 667
- 668 • One member from the Gatton College of Business and Economics;
- 669
- 670 • One member from: the College of Communication and Information, the College of
- 671 Social Work, the Patterson School of Diplomacy and International Commerce and the
- 672 Martin School of Public Policy and Administration;
- 673
- 674 • One member from the College of Education;
- 675
- 676 • One member from the College of Engineering;
- 677
- 678 • One member from the College of Design and the College of Fine Arts;
- 679
- 680 • Two members from the College of Medicine;
- 681
- 682 • One member from the College of Pharmacy and the College of Dentistry; ~~and~~
- 683
- 684 • ~~One member from the College of Health Sciences, the College of Nursing, and the~~
- 685 ~~College of Public Health; and-~~
- 686
- 687 • Two members appointed from the Graduate Faculty by the Dean.

688

689 Members representing a college or a combination of colleges are elected by the Graduate  
690 Faculty whose primary appointment to the Graduate Faculty is in the respective college(s). [US:  
691 3/21/83]

692

693 ~~The composition of the Graduate Council will be examined by the Graduate Council annually at~~  
694 ~~its last meeting of the academic year. [US: 4/12/2004]~~

695

696 **1.3.3.3.3 Voting Student Members**697 There shall be two voting graduate student members.

698

**1.3.3.3.4 Ex Officio Voting Membership**

The GC shall also have liaisons from the other two academic councils and the Senate Council, who will serve as ex officio voting members.

- The GC chair shall contact the Undergraduate Council and request the UC identify a member of that council to serve as an ex officio voting member of the GC.
- The GC chair shall contact the Health Care Colleges Council and request the HCCC identify a member of that council to serve as an ex officio voting member of the GC.
- The GC chair shall contact the Senate Council and request the SC identify an individual to serve as the liaison from the Senate Council, an ex officio voting member. The liaison from the Senate Council is not necessarily from within the Senate Council's membership.

**1.3.3.3.5 Ex Officio Nonvoting Membership**

Graduate School associate deans are ex officio nonvoting members of the Graduate Council.

**1.3.2.41.3.3.4 Election**

Only regular ("full") members of the Graduate Faculty shall be eligible to serve on the Graduate Council and to vote in the Graduate Council election. Graduate Faculty members with administrative titles above that of department chair or who do not possess an employment status of a full-time faculty member are not eligible. (see SR 1.3.2.2) In addition, members of the Graduate Faculty from departments which have representatives with unexpired terms on the Graduate Council shall not be eligible. [US: 11/11/85]

The office of the Graduate Dean will be responsible for administering the election procedure ~~and but the Senate Rules and Elections Committee (SREC) will for certifying all aspects of the election, including voting procedures, number of open seats, and eligible membership. to the Senate Council the newly elected members.~~ The Graduate Dean's Office will prepare the lists of faculty members eligible to vote and those eligible to serve. For each college or collection of colleges where there is an election to be held, the Graduate Dean's office will send a list of those eligible to be elected to each person eligible to vote, who will be invited to nominate an eligible person for the Graduate Council by a letter. In addition, in each department (or college) that has a graduate program, the chair (or dean) and the director of graduate studies will each be urged to submit a nomination by letter. The Graduate Dean's office shall check on the willingness of persons to serve and will get a very brief biographical statement from each person nominated. If fewer than three persons are nominated and are willing to serve from any college or collection of colleges, the Graduate Dean's office shall call a brief meeting of the directors of graduate study from the unit(s) for the purpose of nominating additional persons to make a total of three. (In the event that more than one person was to be elected from the unit, this group would meet if necessary to pick nominees equal to three times the number to be elected.) [US: 1/18/88]

Once the nomination process has been completed, ballots will be sent out containing the names of all those nominated. Each person must vote for as many candidates as there are vacancies to be filled or their ballot will be disqualified. The person or persons receiving the largest number of votes will be elected. [US: 1/18/88]

- \* ~~Pursuant to Administrative Regulations on tenure-ineligible title series and on faculty members with certain administrative appointments, the following terms apply: (1) Tenured faculty employees who have been appointed to an administrative position at or above the level of department chair are not eligible to participate in the election of faculty representatives, or serve as the elected faculty representative, to faculty~~

754 governance bodies above the college level (e.g., Board of Trustees, University Senate,  
 755 Graduate Council, Undergraduate Council, and Health Care Colleges Council) (see  
 756 HRPP 4.0; GR V); (2) Regular, full time faculty employees in a tenure ineligible series  
 757 are not eligible for regularly assigned university-level service activities, except that the  
 758 tenured/tenure-track faculty of a college may allow a tenure ineligible series to be  
 759 eligible to vote for representation, and stand for election, specifically to the University  
 760 Senate, by extending College Faculty membership to that faculty series (to be  
 761 documented in the College Rules; see AR 2.5, AR 2.6, AR 2.9 for more details).  
 762 [SREC: 4/15/2011]  
 763

#### 764 ~~1.3.2.5 Terms and Vacancies~~

765  
 766 The term of office of elected members shall be three (3) years, with elections being conducted  
 767 so that the terms will be staggered. The term of office of appointed members shall be one (1)  
 768 year. All terms expire on August 15 [US: 4/23/2001]. Members shall serve until the expiration of  
 769 their terms, until they have become ineligible, or until their successors have been named.  
 770

771 A vacancy in the first year of a term on the elected membership of the Graduate Council shall be  
 772 filled by appointment by the Council Chair of the eligible nominee who received the highest  
 773 number of votes without being elected. If that person declines, the next eligible nominee shall be  
 774 appointed, and so on. If the electoral unit in which the vacancy occurs elects a Council member  
 775 annually, this method shall be used to fill all vacancies from that unit, with the immediately  
 776 preceding election being used as the source of nominees. If the electoral unit does not elect a  
 777 member annually, a vacancy following the first year of a term (or in the first year if the list of  
 778 nominees is exhausted) shall be filled by the chair appointing an eligible member of the unit's  
 779 faculty. The term of appointment shall be for the remainder of the unexpired term or for the  
 780 duration of the ineligibility of the elected member. [US: 4/10/89]  
 781

782 Any member of the Council who has served a term as an elected member or who has served  
 783 more than one year as a replacement for an elected member shall be ineligible for membership  
 784 on the Council until three years have elapsed since completion of their last term. An appointed  
 785 member of the Council may serve three successive one-year terms. However, the appointed  
 786 member whose term of service has been for more than a single one-year term, shall thereafter  
 787 be ineligible for membership on the Council until three years have elapsed.  
 788

#### 789 ~~1.3.3~~1.3.4 **UNDERGRADUATE COUNCIL (UC)**

790  
 791 A tenured faculty member well-versed in curricular matters shall be appointed by the Senate  
 792 Council to chair the Undergraduate Council and report its recommendations to the Senate  
 793 Council. [US: 11/13/2017]  
 794

#### 795 ~~1.3.3.11~~1.3.4.1 **Functions**

796  
 797 The ~~Undergraduate Council's~~UC's responsibilities relative to courses and programs shall be as  
 798 follows:  
 799

#### 800 ~~1.3.3.1.1~~1.3.4.1.1 **Course Procedures**

801  
 802 It shall consider all proposed new courses and changes in courses which may be used for  
 803 credit toward an undergraduate degree and forward a recommendation to approve or not  
 804 approve. It shall forward to the Graduate Council recommendations on all courses numbered  
 805 500-599. [US: 10/11/99]  
 806

807 1.3.3.1.2 1.3.4.1.2 **Degree and Certificate Program Procedures**

808  
809 It shall consider all proposed new undergraduate programs, changes in undergraduate  
810 programs, including degree titles, from all colleges offering an undergraduate degree. Further, it  
811 shall consider all changes in the University requirements. The Undergraduate Council shall  
812 forward a recommendation to approve or not approve on all of the above to the Senate Council.  
813 (See SR 3.1.3.3.2.2; 3.2.2.3.3.1.6) ~~In addition, it shall review all undergraduate programs.~~

814  
815 **1.3.3.1.3—Review of Programs**

816  
817 ~~It shall review the summary reports of undergraduate program reviews prepared by the~~  
818 ~~educational unit review committees. It shall participate directly in the review of undergraduate~~  
819 ~~programs upon the request of the Provost. Following either such review, it may recommend~~  
820 ~~appropriate action to maintain acceptable levels of academic quality to the Provost. Such a~~  
821 ~~recommendation may include the suspension of a program to a maximum of five years and the~~  
822 ~~lifting of such a suspension. All recommendations relating to imposing or lifting suspensions of~~  
823 ~~programs are subject to approval by the Senate Council.~~

824  
825 ~~An undergraduate program, through its chair or other appropriate administrative officers, may~~  
826 ~~appeal a decision to suspend the undergraduate program to the Provost, who shall then appoint~~  
827 ~~a committee of faculty members, including a member of the Senate Council, to function as an~~  
828 ~~Appeal Committee to review the case. The Committee shall limit its review to the materials~~  
829 ~~submitted by the academic unit review committees, by the Undergraduate Council, and by the~~  
830 ~~program making the appeal. The Provost shall discuss the recommendation of the Committee~~  
831 ~~with the chair of the Senate Council and shall then recommend final action to the President.~~  
832 ~~[US: 4/9/84]~~

833  
834 ~~Proposals to terminate undergraduate programs shall be initiated and processed as prescribed~~  
835 ~~in SR 3.3.~~

836  
837 1.3.3.1.4 1.3.4.1.3 **Off-campus Courses and Programs** Distance Learning

838  
839 The Undergraduate Council shall review distance learning activities for quality and  
840 effectiveness, in keeping with Southern Association of Colleges and Schools ~~– Commission on~~  
841 Colleges (SACSCOC) “substantive changes” criteria [US: 4/12/99]

842  
843 **1.3.4.1.4 Extent of Authority**

844 UC has final decision-making authority regarding the addition of new high school sites to an in-  
845 effect, Senate-approved dual credit arrangement.

846  
847 1.3.3.2 1.3.4.2 **Composition**

848 **1.3.4.2.1 Chair**

849 A tenured faculty member well-versed in curricular matters shall be appointed by the Senate  
850 Council to chair the UC. [US: 11/13/2017]

851  
852 **1.3.4.2.2 Voting Faculty Members**

853 The Undergraduate Council shall consist of fourteen ~~(14) members. Nine of the members shall~~  
854 ~~be~~ regular full-time faculty members elected by and from the faculties of colleges ~~or, groups of~~  
855 ~~colleges or~~ parts of colleges as ~~follows~~ described below. (see SR 1.3.4.2.1).: [US: 10/12/81;  
856 US:4/9/84; US: 4/14/86; US: 10/12/87]

- 857  
858
  - One member from the College of Agriculture, Food and Environment;

859

- 860 • ~~One member from the combined areas of Humanities area and Social Sciences in the~~  
861 ~~College of Arts and Sciences, the College of Fine Arts, and the Honors College;~~
- 862
- 863 • ~~One member from the areas of Biological and Physical Sciences area in the College of~~  
864 ~~Arts and Sciences;~~
- 865
- 866 • ~~One member from the combined areas of Biological and Physical Sciences in the~~  
867 ~~College of Arts and Sciences;~~
- 868
- 869 • ~~One member from the Gatton College of Business and Economics;~~
- 870
- 871 • ~~One member from the combined areas of the Social Sciences in the College of Arts and~~  
872 ~~Sciences and the College of Communication and Information;~~
- 873
- 874 • ~~One member from the College of Agriculture, Food and Environment;~~
- 875
- 876 • ~~One member from the College of Design;~~
- 877
- 878 • ~~One member from the College of Education;~~
- 879
- 880 • ~~One member from the College of Engineering;~~
- 881
- 882 • ~~One member from the College of Fine Arts;~~
- 883
- 884 • ~~One member from the College of Health Sciences;~~
- 885 • ~~One member from the Gatton College of Business and Economics;~~
- 886
- 887 ~~One member from the College of Design~~
- 888 • ~~One member from the Lews Honors College;~~
- 889
- 890 • ~~One member from the College of Nursing;~~
- 891
- 892 • ~~One member from the College of Public Health; and~~
- 893
- 894 • ~~One member from and the College of Social Work; and~~  
895 ~~One member from the College of Health Sciences~~
- 896
- 897 ~~the College of Nursing, and the College of Pharmacy.~~
- 898
- 899

900 **1.3.4.2.2.1 Voting Student Membership**

901 There shall be two voting students members, who are from one of the colleges from which  
902 elected faculty members are chosen

904 **1.3.4.2.3 Ex Officio Voting Membership**

905 The UC shall also have liaisons from the other two academic councils and the Senate Council,  
906 who will serve as ex officio voting members.

- 908 • The UC chair shall contact the Graduate Council and request the GC identify a member  
909 of that council to serve as an ex officio voting member of the UC.
- 910
- 911 • The UC chair shall contact the Health Care Colleges Council and request the HCCC  
912 identify a member of that council to serve as an ex officio voting member of the UC.
- 913

- The UC chair shall contact the Senate Council and request the SC identify an individual to serve as the liaison from the Senate Council, an ex officio voting member. The liaison from the Senate Council is not necessarily from within the Senate Council's membership.

~~Of the five remaining members, one shall be a member of the University Faculty appointed by the Senate Council. Four members shall be appointed by the Chair of the Undergraduate Council with the advice and consent of the elected Undergraduate Council members. Of these four, two shall be members of the faculties of colleges eligible to have representation on the Undergraduate Council, and the remaining two shall be undergraduate students from eligible colleges.~~ [US: 10/12/81; US: 4/9/84; US: 4/14/86; US: 10/12/87]

#### **1.3.4.2.4 Ex Officio Nonvoting Membership**

~~The chair of the Undergraduate Council shall appoint an~~ An ex officio, nonvoting nonvoting member from the Registrar's Office selected by the Assistant Provost for Enrollment Management ~~may attend.~~ [US: 4/14/97]

#### ~~1.3.3.3~~ **1.3.4.3 Election**

Members of faculties of those colleges listed ~~above~~ in SR 1.3.4.2 who are eligible to vote for and be elected to the University Senate shall be eligible to serve in and vote for all elected members of the Undergraduate Council. [US: 10/8/2001]

\* ~~Pursuant to Administrative Regulations on tenure-ineligible title series and on faculty members with certain administrative appointments, the following terms apply: (1) Tenured faculty employees who have been appointed to an administrative position at or above the level of department chair are not eligible to participate in the election of faculty representatives, or serve as the elected faculty representative, to faculty governance bodies above the college level (e.g., Board of Trustees, University Senate, Graduate Council, Undergraduate Council, and Health Care Colleges Council) (see HRPP 4.0; GR11.B.2.b.(2)); (2) Regular, full-time faculty employees in a tenure-ineligible series are not eligible for regularly assigned university-level service activities, except that the tenured/tenure-track faculty of a college may allow a tenure-ineligible series to be eligible to vote for representation, and stand for election, specifically to the University Senate, by extending College Faculty membership to that faculty series (to be documented in the College Rules; see AR 2.5, AR 2.6, AR 2.9 for more details). [SREC: 4/15/2011]~~

~~Colleges shall hold a~~ A-secret ballot election for membership on the Undergraduate Council ~~shall take place during the spring semester and the newly elected members shall assume their seats on August 16 of the same year.~~ [US: 10/12/87; US: 1/18/88; US: 4/23/2001]

~~Individual colleges~~ The Undergraduate Council chair shall be responsible for administering their own elections. ~~That~~ The Senate Rules and Elections Committee (SREC) person will certify all aspects of the election, including voting procedures, number of open seats, and eligible membership. ~~prepare lists of members of college faculties eligible to vote and serve. Each college or group of colleges in which there is an election to be held will be notified and have nominations solicited from the members of the college faculties by a process designed to give adequate notice of the election.~~

Colleges shall ~~it will~~ particularly solicit nominations from department Chairs and Directors of Undergraduate Studies and ensure those on the ballot are willing to serve. ~~The Undergraduate Council chair will ascertain the willingness of the nominees to serve and will conduct the election by a process designed to inform voters of the qualifications of those nominated.~~ The person receiving the highest number of votes for a given vacancy will be elected. Ties will be decided by lot. The SREC will certify all election results. [US: 10/8/2001; US: 11/13/2017]

969  
970 **1.3.3.4 Terms and Vacancies**

971 ~~Elected faculty Undergraduate Council members will serve three-year staggered terms expiring~~  
972 ~~on August 15 [US: 4/23/2001] and shall be ineligible to succeed themselves until a lapse of one~~  
973 ~~year occurs, except that where they have served one year or less as a replacement, they shall~~  
974 ~~be eligible to be elected. Student members shall serve one year and are eligible for~~  
975 ~~reappointment.~~

976  
977  
978 ~~When a member or an alternate becomes unable or ineligible to serve (i.e., resignation, leave of~~  
979 ~~absence, assumption of administrative title, loss of status as a faculty employee) a vacancy is~~  
980 ~~declared. A vacancy in the first year of a term on the elected membership of the Undergraduate~~  
981 ~~Council shall be filled by appointment by the Undergraduate Council Chair of the eligible~~  
982 ~~nominee who received the highest number of votes without being elected in the election in~~  
983 ~~which the vacating member was elected. If that person declines, the next eligible nominee shall~~  
984 ~~be appointed, and so on. A vacancy following the first year of a term (or in the first year if the list~~  
985 ~~of nominees is exhausted) shall be filled by the Undergraduate Council Chair appointing an~~  
986 ~~eligible member of the unit's faculty. The term of appointment shall be for the remainder of the~~  
987 ~~unexpired term or for the duration of the ineligibility of the elected member. [US: 4/10/89]~~  
988

989 **1.3.3.5.1.3.4.4 Advisory Committee for Graduation Composition and Communication**  
990 **Requirement (CGCCR)**

991  
992 The ~~CGCCRCGCCR Advisory Committee~~ is charged with oversight of the Graduation  
993 Composition and Communication Requirement (SR ~~Error! Reference source not found.~~3). A  
994 permanent subcommittee reporting to the Undergraduate Council, this committee is charged  
995 with establishing, publishing, and implementing the campus-wide policies and procedures for  
996 evaluating the academic components of the GCCR and their assessment. [US: 5/6/2013]  
997

998 **1.3.3.5.1 — Composition**

999  
1000 ~~The committee shall be composed of at least eight (8) voting members from the University~~  
1001 ~~faculty broadly representative of the undergraduate colleges (SR 9.20). Ex officio members shall~~  
1002 ~~include the Associate Provost for Student and Academic Life (or designated representative) and~~  
1003 ~~one staff person each from the Office of Assessment and the University Registrar.~~

1004  
1005 ~~The members of the committee shall be appointed by the Senate Council. Members should have~~  
1006 ~~appropriate expertise in communication and assessment and should collectively represent the~~  
1007 ~~three modes of communication (written, oral, and visual) comprising the GCCR. Faculty~~  
1008 ~~members shall serve for staggered three-year terms and may serve consecutively for no longer~~  
1009 ~~than six years. [US: 11/13/2017]~~  
1010

1011 **1.3.3.5.2.1.3.4.4.1 Charge**

1012  
1013 The ~~GCCRCGCCR Advisory Committee~~CGCCR shall exercise the following functions [US: 11/13/2017]:

- 1014  
1015 1. It shall ~~establish-recommend~~ procedures and guidelines for undergraduate degree  
1016 programs to submit proposals for implementing the GCCR.  
1017  
1018 2. It shall evaluate proposals by programs for implementing the GCCR. ~~Approved~~  
1019 ~~Proposals recommended for approval~~proposals shall be forwarded to the  
1020 Undergraduate Council for further action.  
1021  
1022 3. It shall ~~establish-develop~~ and ~~implement-recommend~~ a campus assessment plan for the  
1023 GCCR and monitor the results of program-level assessments, in accordance with the

1024 SACSCOC reaffirmation of accreditation. The committee shall review and report on this  
 1025 data either periodically or as requested by the Senate Council.

- 1026
- 1027 4. It shall ~~set~~ recommend policies for transfer equivalencies and for granting exceptions to  
 1028 the GCCR, and, if called upon by the Senate to do so, it shall broadly communicate  
 1029 these policies to all undergraduate colleges (SR 9.19), the Registrar, and other  
 1030 appropriate parties.
- 1031
- 1032 5. Upon the recommendation of the Senate Council, or upon its own initiative, it shall  
 1033 consider and ~~propose~~ recommend necessary changes in the educational structure of the  
 1034 program or in its academic requirements. The GCCR committee may recommend  
 1035 changes for the GCCR to the Undergraduate Council or other appropriate University  
 1036 Senate channels for approval.

#### 1037 **1.3.4.4.2 Extent of Authority**

1038 The CGCCR does not have any final decision-making authority.

#### 1039 **1.3.4.4.3 Composition**

1040 The CGCCR is not required to be chaired by an elected faculty senator or composed of more  
 1041 than one-half of members who are elected faculty senators.

##### 1042 **1.3.4.4.3.1 Chair**

1043 The Senate Council shall identify a chair from eligible faculty members who have appropriate  
 1044 expertise in communication and assessment

##### 1045 **1.3.4.4.3.2 Voting Faculty Members**

1046 It shall be composed of at least eight voting members from the University faculty broadly  
 1047 representative of the undergraduate colleges (SR 9.20). Members should have appropriate  
 1048 expertise in communication and assessment and should collectively represent the three modes  
 1049 of communication (written, oral, and visual) comprising the GCCR. [US: 11/13/2017]

##### 1050 **1.3.4.4.3.3 Ex Officio Nonvoting Membership**

1051 The ex officio nonvoting members shall be as follows.

- 1052 • The Associate Provost for Student and Academic Life (or designated representative);
- 1053 • One representative from the Office of Assessment; and
- 1054 • One representative from the University Registrar.

#### 1055 **1.3.4.1.3.5 HEALTH CARE COLLEGES COUNCIL (HCCC)**

1056 [US: 5/9/2005]

##### 1057 **1.3.4.1.3.5.1 Functions**

1058 The Health Care Colleges Council (HCCC) (~~hereafter: "HCC Council"~~) shall be responsible to  
 1059 the University Senate concerning academic matters related to the six health-care-related  
 1060 colleges: Dentistry, Health Sciences, Medicine, Nursing, Pharmacy, and Public Health. Its  
 1061 principal functions and responsibilities are as follows:

##### 1062 **1.3.4.1.1.3.5.1.1 Course Procedures**

1077 Pursuant to SR ~~Error! Reference source not found.~~, it shall consider, (i) all proposed new  
 1078 courses and changes in courses offered in a professional health care program (SR ~~Error!~~  
 1079 ~~Reference source not found.~~), or (ii) undergraduate or graduate courses that involve students in  
 1080 health care practices that originate from a college represented on the HCC Council (SR ~~Error!~~  
 1081 ~~Reference source not found.~~). ~~On behalf of the University Senate, the HCC Council shall have~~  
 1082 ~~final approval authority for these proposals so long as these courses would not be subject to~~  
 1083 ~~review by Undergraduate and/or Graduate Councils. These courses are those at the 800- and~~  
 1084 ~~900-levels that address requirements toward a professional degree. The Health Care Colleges~~  
 1085 ~~Council shall forward a recommendation to approve or not approve on all of the above to the~~  
 1086 ~~Senate Council.~~

#### 1088 1.3.4.1.21.3.5.1.2 **Program Procedures**

1089 Pursuant to SR **3.1.3.3.2.1.1**, it shall consider all proposals for new academic professional  
 1090 programs, changes in academic professional programs, changes in professional degrees or  
 1091 degree titles, changes in the admission or graduation requirements, and other academic issues  
 1092 concerning professional health care programs that originate from a college represented on the  
 1093 HCCC. During review, it shall ensure that the development of new programs is accompanied by  
 1094 appropriate modification or discontinuation of old programs or courses in accordance with the  
 1095 *University Senate Rules*. The Health Care Colleges Council shall forward a recommendation to  
 1096 approve or not approve on all of the above to the Senate Council. ~~On behalf of the University~~  
 1097 ~~Senate, the HCC Council shall have final approval authority for proposals related to~~  
 1098 ~~professional health care programs (SR 3.1.3.3.2.1.1) that would not require review by another~~  
 1099 ~~Council of the Senate and/or would not require final approval by the Board of Trustees and/or~~  
 1100 ~~the Kentucky Council on Postsecondary Education.~~

#### 1103 1.3.4.1.31.3.5.1.3 **Limitation-Extent of Authority**

1104 The HCCC does not have any final decision-making authority.

1105 ~~Whenever a proposal submitted to the HCC Council originated from or is subject to review by~~  
 1106 ~~another Council of the Senate OR requires final approval by the Board of Trustees or the~~  
 1107 ~~Kentucky Council on Postsecondary Education, the HCCC shall not have final approval~~  
 1108 ~~authority and, instead, shall recommend on that proposal to the Senate Council. If a situation~~  
 1109 ~~arises where it is unclear if the HCC Council has final approval authority; the HCC Council will~~  
 1110 ~~send a reviewed proposal forward with the HCC Council recommendation to the Senate Council~~  
 1111 ~~for its consideration.~~

1112 ~~The Senate Council and University Senate shall always retain the prerogative and authority to~~  
 1113 ~~review any proposal or decision of the HCC Council.~~

#### 1117 **1.3.4.1.4 — Review of Programs**

1118 ~~It shall evaluate educational program objectives and course content to ensure appropriate~~  
 1119 ~~breadth and depth and the availability of needed faculty;~~

#### 1122 1.3.4.1.51.3.5.1.4 **Off-campus Courses and Programs** Distance Learning

1123 The HCCC ~~Council~~ shall review distance learning activities for quality and effectiveness, in  
 1124 keeping with Southern Association Colleges and Schools ~~– Commission on Colleges~~  
 1125 ~~(SACSCOC)~~ “substantive changes” criteria. [US: 4/12/99]

#### 1128 1.3.4.21.3.5.2 **Composition**

**1.3.5.2.1 Chair**

On a rotating three-year basis, an associate dean from one of the health care colleges shall be appointed by the Senate Council to serve as chair of the HCCC. The order of rotation is as follows: Dentistry, Health Sciences, Medicine, Nursing, Pharmacy, and Public Health.

**1.3.5.2.2 Voting Faculty Members**

The ~~Health Care Colleges Council~~To be eligible for election, HCCC shall consist of the voting official members and alternate members described below. The elected faculty representatives shall be elected by the faculty of each college.

- Two official members and two alternate members from the College of Dentistry;
- Two official members and two alternate members from the College of Health Sciences;
- Two official members and two alternate members from the College of Medicine;
- Two official members and two alternate members from the College of Nursing;
- Two official members and two alternate members from the College of Pharmacy; and
- Two official members and two alternate members from the College of Public Health.

~~is composed of two representatives and two alternate representatives from the Colleges of Dentistry, Health Sciences, Medicine, Nursing, Pharmacy and Public Health elected by the faculty of each college. An individual from the Provost's office in the position of Associate Provost or equivalent shall serve as Chair of the HCC Council. In addition, there~~

To be eligible for election, faculty shall be a regular, full-time member of one of the six specified college faculties and shall be limited to those college faculty members who are eligible to be elected to the University Senate (see SR1.3.2.2). In addition, they must have been members of a faculty of one of the represented colleges in a full-time academic rank for a period of at least two years.

**1.3.5.2.3 Ex Officio Voting Membership**

The HCCC shall also have liaisons from the other two academic councils and the Senate Council, who will serve as ex officio voting members.

- The UC chair shall contact the Graduate Council and request the GC identify a member of that council to serve as an ex officio voting member of the UC.
- The UC chair shall contact the Health Care Colleges Council and request the HCCC identify a member of that council to serve as an ex officio voting member of the UC.
- The UC chair shall contact the Senate Council and request the SC identify an individual to serve as the liaison from the Senate Council, an ex officio voting member. The liaison from the Senate Council is not necessarily from within the Senate Council's membership.

~~shall be three (3) representatives outside of these colleges, one each appointed by the Graduate, Senate and Undergraduate Councils, not necessarily from these Councils' memberships, to serve terms commensurate with the other members of the HCC Council.~~

**1.3.4.31.3.5.3 Election**

~~One HCC Council member (“official representative”) and one alternate from each college shall be elected each year for a two-year term, which shall begin September 1. Colleges must elect both an official member and an alternate. The Senate Rules and Elections Committee (SREC) will certify all aspects of the election, including voting procedures, number of open seats, and eligible membership.~~

~~To be eligible for election, candidates shall be a regular, full-time member of one of the six specified college faculties and shall be limited to those college faculty members who are eligible to be elected to the University Senate.~~

\* ~~Pursuant to Administrative Regulations on tenure-ineligible title series and on faculty members with certain administrative appointments, the following terms apply: (1) Tenured faculty employees who have been appointed to an administrative position at or above the level of department chair are not eligible to participate in the election of faculty representatives, or serve as the elected faculty representative, to faculty governance bodies above the college level (e.g., Board of Trustees, University Senate, Graduate Council, Undergraduate Council, and Health Care Colleges Council) (see HRPP 4.0; GR V); (2) Regular, full-time faculty employees in a tenure-ineligible series are not eligible for regularly assigned university-level service activities, except that the tenured/tenure-track faculty of a college may allow a tenure-ineligible series to be eligible to vote for representation, and stand for election, specifically to the University Senate, by extending College Faculty membership to that faculty series (to be documented in the College Rules; see AR 2.5, AR 2.6, AR 2.9 for more details). [SREC: 4/15/2011]~~

~~In addition, they shall have been members of a faculty of one of these colleges in a full-time academic rank for a period of at least two years. When a HCC Council member or an alternate becomes unable or ineligible to serve (i.e., resignation, leave of absence, assumption of administrative title, loss of status as a faculty employee) a vacancy shall be declared and that eligible faculty member who at the last election received the highest number of votes without being elected shall be appointed to serve for the period of ineligibility of the elected or alternate member.~~

Alternate members shall be notified of all meetings and shall have the privilege of attending all meetings; they shall not vote, however, unless they are serving in place of official ~~representatives~~members. The alternates shall receive copies of minutes and other materials distributed to the HCCC ~~Council~~. When an official ~~representative member~~ must be absent from a meeting, ~~either either~~ alternate from ~~his or her~~their college can be designated by the HCCC ~~Council~~ Chair to serve as the voting member during that particular meeting.

~~The HCC Council shall meet monthly and upon call of the HCC Council Chair. Six (6) elected members or their alternates with a voting status for the purposes of the meeting shall constitute a quorum for the transaction of business.~~

#### 1.4. UNIVERSITY FACULTY SENATORS

The body that is the elected University Faculty Senators (GR II B.5.b;GR IV.A), has through its election by and from the University Faculty the representative authority to perform particular functions that, by law (KRS 164.240), are reserved to the University Faculty (GR II.B.5.b; GR IV.B). These functions are as specified in **SR Error! Reference source not found.** items 8–11 and **SR 5.5**. Recommendations from this body to the Board of Trustees will be submitted through the Chair of the Senate (the University President) (GR IV), who is also member of the University Faculty (GR III.A.2). The body that is comprised of University Faculty Senators is also

1237 the body authorized to vote for and elect nine (9) persons of its membership as representatives  
1238 to the Senate Council (SR 1.3.1.2.1).

1239  
1240 In situations where the Board of Trustees or University President seeks the opinion of a directly  
1241 elected University-level faculty body on a matter, as is sought from the elected Student  
1242 Government Association concerning student opinion, or as is sought from the elected Staff  
1243 Senate concerning staff opinion, the Senate Council Chair will solicit and transmit the opinion of  
1244 the elected University Faculty Senators. If conditions preclude obtaining the opinion of the  
1245 elected University Faculty Senators on the matter, the Senate Council Chair shall solicit and  
1246 transmit the opinion of the Faculty Senators elected to the Senate Council. The body that is  
1247 comprised of the University Faculty Senate Council Members is also the body authorized in  
1248 certain situations to fill vacancies that arise in its membership (SR 1.3.1.2.1.4).  
1249

#### 1250 **1.4.1 STRUCTURE OF UNIVERSITY SENATE COMMITTEES**

1251  
1252 [US: 2/13/89, US: 2/3/2003; 5/2/2022]  
1253

##### 1254 1.4.1.1 Types of Senate Committees

1255  
1256 The University Senate may perform its functions directly, through the Senate Council, ~~or~~  
1257 through ~~either the Standing C~~committees, ~~or through or special ad hoc~~ committees of  
1258 the Senate. The Senate may also establish ~~Senate A~~advisory ~~C~~committees and may  
1259 delegate any of its authority or responsibility to the Graduate School or the faculties of  
1260 colleges, schools, departments, centers, and institutes. (GR IV.B).

1261  
1262 For courses homed outside of a college, the Senate ~~may~~ designates the different faculty  
1263 committees ~~to be~~ responsible for each of those courses in a manner similar to educational unit  
1264 faculty. Those committees shall annually report to the Senate Council on the academic status  
1265 and operation of their courses.

1266  
1267 The University Senate shall have three types of committees.:

- 1268 1. ~~(1) s~~Standing committees of the Senate responsible only to that body;
- 1269 2. ~~(3) S~~pecial committees (hereafter referred to as ad hoc committees); and
- 1270 3. ~~(2) a~~Advisory committees responsible in an advisory capacity to the President and/or  
1271 other administrative officers and to the Senate. ~~and~~  
1272 ~~(3) special committees (hereafter referred to as ad hoc committees).~~ (GR IV.B)

1273  
1274  
1275 Committees are comprised of elected faculty senators and other members of the University  
1276 Senate, as well as students, faculty who are not senators, and administrative staff. All student  
1277 members are assumed to be recommended by the Student Government Association (SGA)  
1278 unless indicated otherwise.

##### 1280 1.4.1.1.1 Standing Committees

1281 The Senate Council shall determine the chair and number of members for each standing  
1282 committee, within the specifications of the Senate for a particular committee.  
1283 The terms of office for elected faculty senators on standing committees shall be for three years.  
1284 Terms of office for student members and nonsenators shall be for one year. Terms begin on  
1285 August 16 of each year. The Senate Council shall compose standing committees so that terms  
1286 are staggered to provide a one-third change in membership each year.  
1287

~~\* Emeritus faculty are eligible for appointment as voting members of University Senate committees, as long as other committee-specific requirements for committee composition are also met. [SREC: 2/5/2020]~~

#### **1.4.1.1.2 Membership Requirements**

~~Unless described otherwise in a committee's description, the committee chair and at least one-half of the members of the committee shall be elected faculty senators. The terms of office for faculty members of the standing shall be three years. Student appointments shall be for one year. All appointments shall be made by the Senate Council for terms beginning on August 16 and staggered to provide a one-third change in membership each year.~~

~~Pursuant to *Administrative Regulations* on tenure-ineligible title series and on faculty members with certain administrative appointments, the following terms apply.~~

- ~~(1) Tenured faculty employees who have been appointed to an administrative position at or above the level of department chair are not eligible to participate in the election of faculty representatives, or serve as the elected faculty representative, to faculty governance bodies above the college level (e.g., Board of Trustees, University Senate, Graduate Council, Undergraduate Council, and Health Care Colleges Council) (see HRPP 4.0; GR11.B.2.b.(2)); and~~
- ~~(2) Regular, full-time faculty employees in a tenure-ineligible series are not eligible for regularly assigned university-level service activities (including the Senate), except that the tenured/tenure-track faculty of a college may allow a tenure-ineligible series to be eligible to vote for representation, and stand for election, specifically to the University Senate, by extending College Faculty membership to that faculty series (to be documented in the College Rules; see AR 2.5, AR 2.6, AR 2.9 for more details). [SREC: 4/15/2011]~~

~~Emeritus faculty are eligible for appointment to Senate as long as other committee-specific requirements for committee composition are also met. [SREC: 2/5/2020]~~

~~The Senate Council Chair is an ex officio voting member of all Senate standing committees.~~

~~**Ex officio members are always nonvoting except where indicated otherwise, and are selected by Senate Council to represent a particular office or area to enhance the effectiveness of the committee's deliberations.**~~

~~The chairs of Senate standing committees may appoint ad hoc committees and may select their members in consultation with the Chair of the Senate Council. Such a subcommittee must be chaired by a member of the parent committee.~~

~~\* Emeritus faculty are eligible for appointment as voting members of University Senate committees, as long as other committee-specific requirements for committee composition are also met. [SREC: 2/5/2020]~~

~~The number of members on each standing committee shall be determined by the Senate Council. Chairs shall be appointed by the Senate Council. The chair and at least one-half the members of the committees shall be elected Faculty Senators, except as otherwise specified.~~

#### **1.4.1.1.3 Advisory Committees**

Appointment to advisory committees shall be made by the Chair of the Senate (the President) after consultation with the Senate Council.

After consultation with the Student Government Association and other appropriate student groups, the Senate Council may recommend to the President that students be appointed to an advisory committee of the Senate. Similarly, the Senate Council may consult with the elected

1343 Faculty Senators, or the *ex officio* Senators, concerning the membership of faculty employees or  
 1344 administrative staff employees to such advisory committees.

#### 1346 **1.4.1.1.4 Special (“Ad Hoc”) Committees**

1347 Prior to its own action on an issue, the Senate Council normally shall refer all issues to  
 1348 appropriate standing committees unless an issue arises that is **not** clearly ~~not~~ within the  
 1349 jurisdiction of one of the committees, or unless an issue demands such immediate attention that  
 1350 the appropriate committee, in the view of its chair, would be unable to report on it in due time. If  
 1351 either of these two situations arise, the Senate Council may appoint an ad hoc committee to act  
 1352 and report on the issue.

1353 ~~Standing committees of the Senate shall have the privilege of presenting reports to the Senate,~~  
 1354 ~~subsequent to review by the Senate Council. If the Senate Council fails to present a committee~~  
 1355 ~~report for action during three regular Senate meetings after it has been submitted to the Senate~~  
 1356 ~~Council, the committee may bring its report directly to the floor for action by the Senate at any~~  
 1357 ~~subsequent meeting, provided that the report has been appropriately circulated in advance.~~

1360 Other than their temporary nature *ad hoc* committees have the same status and responsibilities  
 1361 as all other committees of the Senate. They shall be appointed by the Senate Council to address  
 1362 academic problems and issues facing the University. For example, such committees could deal  
 1363 with problems or issues as they arise in the areas of teaching and advising, student affairs,  
 1364 computer resources, continuing education, special teaching technologies and so forth. These  
 1365 committees expire not later than one year after their appointment unless the Senate Council acts  
 1366 to renew the committee’s existence for another year. [US: 10/12/81] [4/14/86]

#### 1369 **1.4.1.2 Vacancies**

1370 Appointments to fill committee vacancies shall be made in the same manner as appointments for  
 1371 regular terms. Membership on Senate committees shall continue until terms expire or  
 1372 successors are appointed. ~~The chairs of Senate standing committees may appoint *ad hoc*~~  
 1373 ~~committees and may select their members in consultation with the Chair of the Senate Council.~~  
 1374 ~~Such a subcommittee must be chaired by a member of the parent committee.~~

1376 ~~Acting within their charges, Senate committees shall act and report to the Senate Council upon~~  
 1377 ~~issues that bear on the functions of the Senate prior to their submission to the Senate. Unless~~  
 1378 ~~otherwise specified, items for potential consideration by University Senate committees, which~~  
 1379 ~~are suggested by other than the Senate or Senate Council, reach the respective committees~~  
 1380 ~~through the office of the Senate Council. Prior to its own action on an issue, the Senate Council~~  
 1381 ~~normally shall refer all issues to appropriate standing committees unless an issue arises that is~~  
 1382 ~~clearly not within the jurisdiction of one of the committees, or unless an issue demands such~~  
 1383 ~~immediate attention that the appropriate committee, in the view of its chair, would be unable to~~  
 1384 ~~report on it in due time. If either of these two situations arise, the Senate Council may appoint an~~  
 1385 ~~*ad hoc* committee to act and report on the issue. Standing committees of the Senate shall have~~  
 1386 ~~the privilege of presenting reports to the Senate, subsequent to review by the Senate Council. If~~  
 1387 ~~the Senate Council fails to present a committee report for action during three regular Senate~~  
 1388 ~~meetings after it has been submitted to the Senate Council, the committee may bring its report~~  
 1389 ~~directly to the floor for action by the Senate at any subsequent meeting, provided that the report~~  
 1390 ~~has been appropriately circulated in advance.~~

#### 1392 **1.4.1.3 Procedures**

##### 1393 **1.4.1.3.1 Activities**

1394 Acting within their charges, Senate committees shall review and report to the Senate Council  
 1395 upon issues that bear on the functions of the Senate prior to their submission to the Senate.  
 1396 Unless otherwise specified, items for potential consideration by University Senate committees,

1397 which are suggested by other than the Senate or Senate Council, reach the respective  
 1398 committees through the office of the Senate Council.

#### 1400 **1.4.1.3.2 Subcommittees**

1401 The chairs of Senate standing committees may appoint ad hoc committees and must select their  
 1402 members in consultation with the Senate Council Chair. Such a subcommittee must be chaired  
 1403 by a member of the parent committee.

1404  
 1405 Acting within their charges, Senate committees shall act and report to the Senate Council upon  
 1406 issues that bear on the functions of the Senate prior to their submission to the Senate. Unless  
 1407 otherwise specified, items for potential consideration by University Senate committees, which  
 1408 are suggested by other than the Senate or Senate Council, reach the respective committees  
 1409 through the office of the Senate Council.

#### 1411 **1.4.1.3.3 Reporting to the Senate**

1412 Standing committees of the Senate shall have the privilege of presenting reports to the Senate,  
 1413 subsequent to review by the Senate Council. If the Senate Council fails to present a committee  
 1414 report for action during three regular Senate meetings after it has been submitted to the Senate  
 1415 Council, the committee may bring its report directly to the floor for action by the Senate at any  
 1416 subsequent meeting, provided that the report has been appropriately circulated in advance. In  
 1417 such circumstance, the committee chair must submit a formal request to the Senate Council  
 1418 office at least two weeks prior to the Senate meeting to request that the item be placed on that  
 1419 Senate meeting's agenda.

#### 1421 **1.4.1.3.4 Recordkeeping**

1422 In accordance with the Kentucky Open Meetings Law, Senate Sstanding, ad hoc and advisory  
 1423 committees shall record minutes of actions taken at all meetings. These minutes shall be  
 1424 available not later than one week after the end of the next meeting ~~(KRS 64.835)~~. The  
 1425 committee chair shall be responsible for forwarding copies of the minutes to the Senate Council  
 1426 at the end of each academic year. These minutes may be in addition to or may substitute for the  
 1427 committee's annual report, at the discretion of the Senate Council. Committees that did not meet  
 1428 or conduct any business during the academic year shall forward to the Senate Council a report  
 1429 to that effect. [US: 2/3/2003]

### 1431 **1.4.2 STANDING COMMITTEES, GROUP 1**

1432  
 1433 ~~(Chaired by a member of the Senate with a majority of members who are elected Faculty~~  
 1434 ~~Senators)~~

#### 1436 **1.4.2.1 Senate Rules and Elections Committee (SREC)**

##### 1437 **1.4.2.1.1 Charge**

1438 The SREC is charged with codifying, making editorial changes in, and interpreting, the *Rules* of  
 1439 the Senate, at the direction or with the approval of either the Senate Council or the Senate. It  
 1440 shall be responsible for initiating any changes in the *Rules* concerning the organization of the  
 1441 Senate (SR 1). It shall also evaluate and revise any section of the *Rules* where necessary to  
 1442 eliminate inconsistencies, clarify confusing statements, and note omissions, and may initiate and  
 1443 suggest to the Senate Council any necessary modification in the *Rules*.

1444  
 1445 The SREC shall certify faculty member eligibility in elections of Faculty Trustees, and in  
 1446 elections of University Faculty representatives to the Senate, to the Senate Council, to the  
 1447 academic councils, and to a Presidential Search Committee. In addition, the SREC shall  
 1448 recommend to the University Senate election policies and procedures.

**1.4.2.1.2 Extent of Authority**

The SREC has final decision-making authority regarding: codifying, making editorial changes in, and interpreting the Senate Rules, at the direction of or with the approval of either the Senate Council or the Senate; evaluating and revising any section of the *Rules* where necessary; and certifying faculty eligibility in elections.

**1.4.2.1.3 Composition**

The SREC shall be shall be comprised of a sufficient number of elected faculty senators to conduct business. (see SR 1.4.1.1.2)

**1.4.2.2 Senate Admissions and Academic Standards Committee (SAASC)****1.4.2.2.1 Charge**

The SAASC is charged to examine and recommend to the University Senate changes: in the admission requirements and grading rules; standards for granting academic credit; probation and suspension procedures; and degree and graduation requirements. ~~Basically~~Generally, the SAASC shall review Sections IV and V of the *Senate Rules* but may consider other related areas; it shall make recommendations regarding significant changes to programs <insert SR reference to significant changes>. Recommendations by the SAASC on conditions of merit and circumstance for (1) graduation requirements, (2) honors with degrees that are conferred to graduating students (SR [Error! Reference source not found.](#)) and (3) Honorary Degrees conferred to others (SR [Error! Reference source not found.](#)), shall be acted upon by the elected University Faculty Senators, as per KRS 164.240.

**1.4.2.2.2 Extent of Authority**

The SAASC does not have any final decision-making authority.

**1.4.2.2.3 Composition**

The SAASC shall be shall be comprised of a sufficient number of elected faculty senators to conduct business. (see SR 1.4.1.1.2)

**1.4.2.3 Senate Academic Facilities Committee (SAFC)****1.4.2.3.1 Charge**

The SAFC is charged with the responsibility of providing information and recommendations to the Senate about the alteration, construction, and allocation of all property and physical facilities that may affect the educational objectives of the University. In this regard, it shall be concerned about such matters as classrooms, buildings and grounds, shops and other such real property, audio-visual and television equipment, computers, duplication and printing facilities, vehicle pools, and scientific and musical instruments. The SAFC shall act in these ways:

1. Serve the administration as a source of faculty information and opinion about the need, design, and priority and construction or renovation projects.
2. Inform the Senate at least annually about problems relating to the alteration, construction, or allocation of academic facilities and about future plans and priorities for them. Whenever necessary, the SAFC may initiate action by preparing a recommendation to the administration, which should be routed through the Senate Council for Senate ~~approval~~review.
3. Maintain communication with the appropriate administrators about the current status and utilization of academic facilities. [US: 10/12/81]

- 1504  
1505 4. Study the use, renovation, and need for space (including classrooms) and equipment  
1506 relevant to academic programs and functions. [US: 3/12/84]  
1507

1508 **1.4.2.3.2 Extent of Authority**

1509 The SAFC does not have any final decision-making authority.  
1510

1511 **1.4.2.3.3 Composition**

1512 The SAASC shall be shall be comprised of a sufficient number of elected faculty senators to  
1513 conduct business. (see SR 1.4.1.1.2)  
1514  
1515

1516 **1.4.2.4 Senate Library Committee (SLC)**

1517 **1.4.2.4.1 Charge**

1518 The SLC is charged with the responsibility for recommending to the University Senate policies to  
1519 promote the educational interests of the University as a whole with respect to the Libraries, the  
1520 faculty body of which is equivalent to the faculty of a college (GR VII.C.2). The SLC is  
1521 responsible for consultation and advising with faculty of the Libraries or the Dean of Libraries, on  
1522 such matters as are referred to it by the by the Libraries faculty, by the Dean, or by other  
1523 University personnel, which pertain to improving the effectiveness of the Libraries as a part of  
1524 the broad academic program of the University of Kentucky.  
1525

1526 **1.4.2.4.2 Extent of Authority**

1527 The SLC does not have any final decision-making authority.  
1528

1529 **1.4.2.4.3 Composition**

1530 The SLC shall be shall be comprised of a sufficient number of elected faculty senators to  
1531 conduct business. (see SR 1.4.1.1.2)  
1532

1533 **1.4.2.5 Senate Research and Graduate Education Committee (SRGEC)**

1534 **1.4.2.5.1 Charge**

1535 The SRGEC shall be responsible for reviewing University research policies and their  
1536 implementation. It shall also be responsible for reviewing graduate education policies and their  
1537 implementation. In addition, it shall make recommendations to the University Senate regarding  
1538 those policies and the priorities for them. [US: 9/9/2013]  
1539

1540 \* Graduate education includes postdoctoral scholars and postdoctoral fellows [SREC:  
1541 12/17/2013].  
1542

1543 **1.4.2.5.2 Extent of Authority**

1544 The SLC does not have any final decision-making authority.  
1545

1546 **1.4.2.5.3 Composition**

1547 The SRGEC shall be shall be comprised of a sufficient number of elected faculty senators to  
1548 conduct business. (see SR 1.4.1.1.2)  
1549

1550 **1.4.2.6 Senate Academic Programs (SAPC)**

1551 **1.4.2.6.1 Charge**

1552 The SAPC is charged with recommending action to the Senate on all new academic programs  
1553 and significant program changes ~~approved by prescribed lower levels of review~~ (SR [Error!](#)  
1554 [Reference source not found.](#)). Specifically, the SAPC shall review the academic excellence,  
1555 need, impact, and desirability of the academic program. In ~~approving recommending approval of~~

1556 a program, the Committee shall may recommend a priority to indicate its importance and the  
 1557 immediacy with which it should be implemented. [US: 2/12/2018]

1558  
 1559 ~~The Committee shall function mainly through three permanent subcommittees: Graduate Degree~~  
 1560 ~~Programs, First Degree Programs (including undergraduate degree programs), and Professional~~  
 1561 ~~and Pre-professional Degree programs. The appropriate subcommittee shall investigate the~~  
 1562 ~~proposed new program or significant program change and present its evaluation to the full~~  
 1563 ~~committee, which shall decide on its recommendation to the Senate. In ascertaining the~~  
 1564 ~~appropriate subcommittee, and in acting upon the recommendations of the SAPC, it is the~~  
 1565 policy of the University Senate to adopt and utilize the definitions of the Council on  
 1566 Postsecondary Education that distinguish ~~these~~ different types of degree programs. [US:  
 1567 2/12/2018]

#### 1569 **1.4.2.6.2 Extent of Authority**

1570 The SAPC does not have any final decision-making authority.

#### 1572 **1.4.2.6.3 Composition**

1573 The SAPC shall be shall be comprised of a sufficient number of elected faculty senators to  
 1574 conduct business. (see SR 1.4.1.1.2)

### 1576 **1.4.2.7 Senate Academic Planning and Priorities Committee (SAPPC)**

#### 1577 **1.4.2.7.1 Charge**

1578 The SAPPC is charged with concern over major, broad, long-range plans and priorities. It shall:

- 1580 1. identify major academic problems likely to be faced by the University in the foreseeable  
 1581 future;
- 1582 2. formulate and recommend to the Senate plausible academic goals for the institution;
- 1583 3. develop procedures and criteria for recommending academic priorities;
- 1584 4. recommend to the Senate institutional policies that recognize academic priorities and  
 1585 goals, as well as assess the progress of the institution toward its goals and report  
 1586 periodically to the Senate; ~~and,~~
- 1587 5. recommend to the Senate a means for increasing the University's effectiveness in  
 1588 establishing and implementing its academic policies; and -
- 1589 6. serve the Senate and the administration as a source of faculty information and opinion  
 1590 concerning academic planning and priorities. [US: 4/10/89]

#### 1601 **1.4.2.7.2 Extent of Authority**

1602 The SAPPC does not have any final decision-making authority.

#### 1604 **1.4.2.7.3 Composition**

1605 The SAPPC shall be shall be comprised of a sufficient number of elected faculty senators to  
 1606 conduct business. (see SR 1.4.1.1.2)

### 1608 **1.4.2.8 Senate Academic Organization and Structure Committee (SAOSC)**

**1.4.2.8.1 Charge**

The SAOSC is charged to:

1. review and recommend to the University Senate priorities on all proposals for new educational units (colleges, schools, departments, graduate centers, multidisciplinary research centers and institutes; interdisciplinary instructional programs)
2. review and recommend Senate action on all proposals for abolishment or merger of existing educational units;
3. review and recommend Senate action on all proposals for major changes in organization and structure of educational units, including suspension of admissions and closure of a degree or certificate;
4. make appropriate recommendations to the University Senate (and through the Senate to the President and/or Provost) regarding creation, abolition or alterations in organization or structure, or reporting relationships, of educational units throughout the University.
5. Study and report to the Senate on matters pertaining to faculty size and strength, and student enrollment. [US: 3/12/84]

**1.4.2.8.2 Extent of Authority**

The SAOSC does not have any final decision-making authority.

**1.4.2.8.3 Composition**

The SAOSC shall be shall be comprised of a sufficient number of elected faculty senators to conduct business. (see SR 1.4.1.1.2)

**1.4.2.9 Senate Reinstatement Committee (SRIC)****1.4.2.9.1 Charge**

The SRIC is a permanent subcommittee of the Senate Council. It makes final decisions on cases of students who have been academically suspended from the University a second time, whose readmission is dependent on the recommendation of the dean of the college in which the student plans to enroll and who have petitioned the Senate Council for readmission as per SR [Error! Reference source not found.](#)

**1.4.2.9.2 Extent of Authority**

The SRIC has final decision-making authority regarding whether or not to readmit a student who has been academically suspended twice from the University.

**1.4.2.9.3 Composition**

The SRIC is not required to be chaired by an elected faculty senator or composed of more than one-half of members who are elected faculty senators.

**1.4.2.9.3.1 Chair**

The SRIC is chaired by the Senate Council Chair.

**1.4.2.9.3.2 Voting Membership**

The voting faculty members are The SRIC is comprised of the SC Chair, SC Vice Chair, and Student Government Association President, unless the voting members of the Senate Council except if the Senate Council annually votes to name a different specific subset of not less than

1663 three of its members to constitute the committee. In the latter case, if the Senate Council seeks  
 1664 to designate not more than one student member of the Senate Council as an SRIC member, but  
 1665 no student Senate Council member is available, then a student member shall be nominated to  
 1666 the Senate Council by the Student Government Association.

#### 1668 **1.4.2.10 Senate Committee on Committees (SCC)**

##### 1669 **1.4.2.10.1 Charge**

1670 The SCC provides current Senate committee chairs with information and guidance regarding  
 1671 best practices for committee chairs. ~~The SCC is comprised of the chairs of Senate's standing~~  
 1672 ~~committees, the chairs of Senate's advisory committees, and the Senate Council Vice Chair,~~  
 1673 ~~who are all voting members. The immediate past chairs of all these committees and the chairs of~~  
 1674 ~~Senate's ad hoc committees shall serve as *ex officio*, nonvoting members. (For purposes of this~~  
 1675 ~~rule, the phrase "immediate past chair" means the person who served as chair of the committee~~  
 1676 ~~during the preceding year.) The SCC shall be chaired by the Chair of the Senate Council.~~ The  
 1677 SCC shall meet in the fall semester to help orient and acclimate Senate's committee chairs. The  
 1678 SCC shall meet in the spring semester to review committee activities for the past year and shall  
 1679 report on these activities to the SC prior to the end of the academic year. [US: 10/9/2017]

##### 1681 **1.4.2.10.2 Extent of Authority**

1682 The SCC does not have any final decision-making authority.

##### 1684 **1.4.2.10.3 Composition**

###### 1685 **1.4.2.10.3.1 Chair**

1686 The Senate Council Vice Chair shall chair the SCC.

###### 1688 **1.4.2.10.3.2 Voting Faculty Membership**

1689 The voting members of the SCC are the chairs of Senate's standing committees, the chairs of  
 1690 Senate's advisory committees, and the Senate Council Chair

###### 1692 **1.4.2.10.3.3 Ex Officio Nonvoting Membership**

1693 The immediate past chairs of Senate standing, advisory, and ad hoc committees shall serve as  
 1694 *ex officio* nonvoting members. (For purposes of this rule, the phrase "immediate past chair"  
 1695 means the person who served as chair of the committee during the preceding year.)

#### 1697 **1.4.2.11 Senate Advisory Committee on Appointment, Promotion and Tenure (SACAPT)**

##### 1698 **1.4.2.11.1 Charge**

1699 ~~Membership: chairs of Academic Area Advisory Committees.~~ The SACAPT is responsible for  
 1700 advising ~~Purpose is to advise~~ the Senate Council on policy matters pertaining to appointment,  
 1701 promotion, and tenure. [SREC: 1/31/2017]

##### 1703 **1.4.2.11.2 Extent of Authority**

1704 The SACAPT does not have any final decision-making authority.

##### 1706 **1.4.2.11.3 Composition**

1707 The SACAPT is not required to be chaired by an elected faculty senator or composed of more  
 1708 than one-half of members who are elected faculty senators.

###### 1710 **1.4.2.11.3.1 Voting Faculty Members**

1711 The SACAPT is comprised of the chairs of Academic Area Advisory Committees.

#### 1712 **1.4.3 — STANDING COMMITTEES, GROUP 2**

~~(Not necessarily chaired by a member of the Senate or composed of a majority of members who are elected Faculty Senators)~~

#### ~~1.4.3.1~~ **1.4.2.12 Senate Committee on Distance Learning and eLearning (SCDLeL)**

[US: 12/9/2013; US: 12/12/2016]

##### **1.4.2.12.1 Charge**

The SCDLeL shall identify, assess and ~~address recommend policies issues~~ related to distance learning and eLearning ~~and make recommendations to the University Senate for policies.~~

Specifically, activities of the SCDLeL shall include the following areas:

1. Identify issues related to distance learning and eLearning and recommend policies.
2. Monitor and respond to the University Senate concerning SACS, state and federal regulations related to distance learning and eLearning.
3. Recommend strategies for dissemination of distance learning and eLearning issues and policies.
4. Recommend strategies for effective implementation of distance learning and eLearning.
5. Collaborate with other UK groups/committees on issues related to distance learning and eLearning as appropriate.

##### **1.4.2.12.2 Extent of Authority**

~~The SCDLeL does not have any final decision-making authority. (see SR 1.4.1.1.2)~~

##### **1.4.2.12.3 Composition**

~~The SCDLeL is not required to be chaired by an elected faculty senator or composed of more than one-half of members who are elected faculty senators.~~

##### **1.4.2.12.3.1 Voting Faculty Members**

~~The voting faculty membership of the committee shall be generally representative of the committee shall make recommendations to the Senate Council for committee membership from the following categories:~~

- ~~1. At least one member from~~ colleges with active distance learning or eLearning programs.

##### **1.4.2.12.3.2 Voting Student Members**

~~There shall be one undergraduate student and one graduate student, both nominated appointed by the SGA (1 year term).~~

~~One graduate student (1 year term — selected from list of students nominated by colleges with active distance learning/e-learning programs).~~

##### **1.4.2.12.3.3 Ex Officio Nonvoting Membership**

~~There shall be four ex officio nonvoting members, described below.~~

- ~~• One member nominated by the department or office responsible for enhancing learning and teaching (if applicable).~~

- 1767 • One member nominated by the department or office responsible for distance learning
- 1768 programs.
- 1769
- 1770 • One member nominated by the department or office responsible for information
- 1771 technology.
- 1772
- 1773 • One member nominated by the department or office responsible for addressing
- 1774 accessibility issues.
- 1775

1776 2. ~~At least one member from each academic support unit involved in distance learning~~  
 1777 ~~including but not limited to CELT, Distance Learning Programs, Information Technology and a~~  
 1778 ~~representative for accessibility issues.~~

1779

1780 ~~3.1. One undergraduate student appointed by SGA (1 year term).~~

1781

1782 ~~4.1. One graduate student (1 year term) selected from list of students nominated by~~  
 1783 ~~colleges with active distance learning/e-learning programs).~~

1784

1785 ~~The Senate Council may appoint voting or nonvoting members to the committee at its discretion.~~

1786

1787 NOTE: For the purposes of this rule, the following two definitions shall apply:

1788

1789 Distance Learning: —A formal educational process in which the majority of the instruction  
 1790 (interaction between students and instructors and among students) in a course occurs when  
 1791 students and instructors are not in the same physical location. Instruction may be synchronous  
 1792 or asynchronous.

1793

1794 eLearning: —A broad combination of processes, content, and infrastructure to use computers  
 1795 and networks to scale or improve one or more significant parts of a learning value chain,  
 1796 including management and delivery.

1797

1798

1799 **1.4.3.21.4.2.13 Senate Institutional Finances and Resources Allocation Committee**  
 1800 **(SIFRAC)**

1801

1802 [US: 2/8/2010]

1803 The SIFRAC is a joint endeavor of the Staff Senate and University Senate.

1804

1805 **1.4.3.21.4.2.13.1 Charge**

1806

1807 The purpose of the Senate’s Institutional Finances and Resource Allocation Committee  
 1808 (SIFRAC) shall be to function in an advisory capacity ~~to the Office of Planning, Budget, and~~  
 1809 ~~Policy Analysis~~ and inform the University Senate Council and the Staff and University Senates  
 1810 on the present status of the prospective changes in the finances and other resources available  
 1811 to the University.

1812

1813 The SIFRAC shall analyze public budget documents, published reports about financial and other  
 1814 trends; it shall routinely solicit an informational session by a University financial officer on  
 1815 annual budget proposals and prospective intraacademic year budget cuts or modifications prior  
 1816 to their submission on the Board of Trustees.

1817

1818 SIFRAC may receive specified directions from the University and Staff Senate chairs jointly on  
 1819 items relative to the current fiscal situation.

1820

1821 SIFRAC shall meet at least once a semester with the Vice President of Planning, Budget and  
1822 Policy, and it shall meet as many times as deemed necessary by SIFRAC.

1823  
1824 SIFRAC shall submit an annual report. Reports of the minority are also acceptable.

1825  
1826 **1.4.2.13.2 Extent of Authority**

1827 The SIFRAC does not have any final decision-making authority.

1828  
1829 **1.4.3.2-21.4.2.13.3 Composition**

1830 The SIFRAC is not required to be chaired by an elected faculty senator or composed of more  
1831 than one-half of members who are elected faculty senators. SIFRAC members optimally shall  
1832 have financial and budgetary expertise relevant to University finances.

1833  
1834 **1.4.2.13.3.1 Chair**

1835 A Staff Senate member will preside as chair.

1836  
1837 **1.4.2.13.3.2 Voting Members**

1838 The committee is comprised of three voting faculty members identified by the Senate Council  
1839 and three voting staff members identified by the Staff Senate.

1840  
1841 ~~SIFRAC shall be composed equally of senators appointed by the Executive Committee of the~~  
1842 ~~Staff Senate and approved by a majority vote of the Staff Senate, together with members~~  
1843 ~~chosen by the University Senate at a maximum committee size of six (6), plus the chair. SIFRAC~~  
1844 ~~members optimally shall have financial and budgetary expertise relevant to University finances.~~

1845  
1846 ~~A Staff Senate member will preside as chair in even-numbered years, and a University Senate~~  
1847 ~~member will preside as chair in odd-numbered years.~~

1848  
1849 **1.4.3.2.3 Report**

1850  
1851 ~~SIFRAC shall submit an annual report. Reports of the minority are also acceptable.~~

1852  
1853 ~~Neither the chair nor a majority of the SIFRAC members have to be elected members of the~~  
1854 ~~Senate.~~

1855  
1856  
1857 **1.4.3.31.4.2.14 Senate UK Core Education Committee (SUKCEC)**

1858  
1859 [US: 2/3/86; 4/14/86; 10/12/87; 4/23/2001; 5/6/2013]

1860 "Program" refers to the UK Core (general education) program.

1861 **1.4.3.3.1 Composition**

1862  
1863 [US: 11/13/2017; 2/10/2020; 5/2/2022]

1864  
1865 ~~The UK Core Education Committee of the University Senate shall be composed of twelve (12)~~  
1866 ~~voting members. The Chair shall be a tenured faculty member selected and appointed by the~~  
1867 ~~Senate Council. The Chair shall not have a vote except in cases of ties. "Program" refers to the~~  
1868 ~~UK Core (general education) program.~~

1869  
1870 ~~The University Faculty members on the UKCEC shall be appointed by the Senate Council who~~  
1871 ~~shall solicit nominations from the University Faculty prior to making appointments. Faculty~~  
1872 ~~members shall serve for staggered three-year. Each faculty member shall be eligible for~~  
1873 ~~reappointment for a second consecutive term, but ineligible for further reappointment until one~~  
1874 ~~year has elapsed. If a faculty member vacates a seat, and the Senate Council appoints a new~~

1875 ~~member to complete the term, the partial term does not count toward the new member's limit of~~  
 1876 ~~two consecutive terms. Two student members shall be appointed annually by the Senate~~  
 1877 ~~Council from names recommended by the President of the Student Government Association.~~

1878  
 1879 ~~The composition of the appointed faculty membership of the UKCEC is as follows:~~

- 1880
- 1881 ~~• One member from the College of Arts & Sciences for the area of Composition and~~  
 1882 ~~Communication;~~
- 1883
- 1884 ~~• One member from the College of Communication and Information for the area of~~  
 1885 ~~Composition and Communication;~~
- 1886
- 1887
- 1888 ~~• One member from the area of Intellectual Inquiry— Arts & Creativity;~~
- 1889
- 1890 ~~• One member from the area of Intellectual Inquiry— Humanities;~~
- 1891
- 1892 ~~• One member from the area of Intellectual Inquiry— Natural/Physical/Mathematical~~  
 1893 ~~Sciences;~~
- 1894
- 1895 ~~• One member from the area of Intellectual Inquiry— Social Sciences;~~
- 1896
- 1897 ~~• One member from the area of Citizenship— Community, Culture and Citizenship in the~~  
 1898 ~~USA;~~
- 1899
- 1900 ~~• One member from the area of Citizenship— Global Dynamics;~~
- 1901
- 1902 ~~• One member from the area of Statistical Inferential Reasoning; and~~
- 1903
- 1904 ~~• One member from the area of Quantitative Foundations.~~

1905  
 1906 ~~The SUKCEC Chair shall invite five ex-officio, nonvoting members to join the committee, from~~  
 1907 ~~the following areas:~~

- 1908
- 1909 ~~• Office of Assessment~~
- 1910
- 1911 ~~• Enrollment Management~~
- 1912
- 1913 ~~• Student and Academic Life~~
- 1914
- 1915 ~~• University Libraries~~
- 1916
- 1917 ~~• Center for the Enhancement of Learning and Teaching~~
- 1918

#### 1919 ~~1.4.3.3.2 Functions~~

#### 1920 ~~1.4.2.14.1 Charge~~

1921 [US: 11/13/2017; 5/2/2022]

1922  
 1923 The UK Core Education Committee shall exercise the following functions:

- 1924
- 1925 1. It shall recommend procedures and guidelines for designing and submitting course  
 1926 proposals for implementing the program.
- 1927 ~~4.~~
- 1928 2. It shall recommend policies by which courses may receive UK Core designation.

- 1929 ~~2-~~  
 1930 3. If called upon by Senate to do, it shall also broadly communicate these policies to all  
 1931 undergraduate colleges. (see SR 9.19<insert link>)  
 1932 ~~3-~~  
 1933 4. It shall recommend all courses which are proposed to the University Senate to fulfill the  
 1934 program requirements.  
 1935  
 1936 5. It shall maintain long-term oversight of the program, including periodic course review  
 1937 and program assessment to ensure that the program fulfills the learning outcomes.  
 1938  
 1939 6. It shall recommend to the Senate ~~Council~~ the deletion of courses (or pairs of courses)  
 1940 from the program that no longer seem appropriate to the program, and recommend to  
 1941 colleges or departments, through the Chair, such changes concerning teaching and  
 1942 content as it deems necessary or appropriate.  
 1943  
 1944 7. It shall continue to work to enhance the program and assert the program's centrality to  
 1945 the undergraduate curriculum through involvement in university-wide planning and policy  
 1946 discussions related to the program.  
 1947  
 1948 8. Upon the recommendation of the Undergraduate Council or upon its own initiative, it  
 1949 shall develop and propose changes in the structure of the program or in the  
 1950 requirements necessary to complete the program to the Undergraduate Council, for  
 1951 ~~approval and~~ recommendation to the Senate Council and University Senate.  
 1952  
 1953 9. It shall report individual cases of temporary waivers of or temporary substitutions for  
 1954 program requirements to the Undergraduate Council.  
 1955  
 1956 10. It shall set policies for the granting of credit to transfer students for courses taken which  
 1957 are equivalent to those in the program and it shall communicate these policies to all  
 1958 undergraduate colleges (SR 9.19) on campus.  
 1959  
 1960

#### ~~1.4.3.3.3~~1.4.2.14.2 **Waivers**

1961  
 1962 All waivers of or substitutions for program requirements for particular categories of students, if  
 1963 approved by the Committee, shall be submitted to the Senate Council for its approval by the  
 1964 Senate. The Senate Council's approval of temporary waivers of or substitutions for program  
 1965 requirements for particular categories of students shall be final.  
 1966  
 1967

#### **1.4.2.14.3 Extent of Authority**

1968 The SUKCEC has final decision-making authority regarding: individual student requests for Core  
 1969 course exceptions and/or waivers; and individual student appeals if a Core course exception  
 1970 and/or waiver is initially denied.  
 1971  
 1972

#### **1.4.2.14.4 Composition**

1973 The SUKCEC is not required to be chaired by an elected faculty senator or composed of more  
 1974 than one-half of members who are elected faculty senators.  
 1975  
 1976

#### **1.4.2.14.4.1 Chair**

1977 The SUKCEC chair shall be a tenured faculty member appointed by the Senate Council. The  
 1978 chair is a voting member however the chair shall not have a vote except in cases of ties.  
 1979  
 1980

**1.4.2.14.4.2 Voting Faculty Members**

The University Faculty members on the UKCEC shall be appointed by the Senate Council after a campuswide solicitation of nominations from the University Faculty. SUKCEC shall be composed of twelve (12) voting members.

- One member from the College of Arts & Sciences for the area of Composition and Communication;
- One member from the College of Communication and Information for the area of Composition and Communication;
- One member from the area of Intellectual Inquiry – Arts & Creativity;
- One member from the area of Intellectual Inquiry – Humanities;
- One member from the area of Intellectual Inquiry – Natural/Physical/Mathematical Sciences;
- One member from the area of Intellectual Inquiry – Social Sciences;
- One member from the area of Citizenship – Community, Culture and Citizenship in the USA;
- One member from the area of Citizenship – Global Dynamics;
- One member from the area of Statistical Inferential Reasoning; and
- One member from the area of Quantitative Foundations.

**1.4.2.14.4.3 Voting Student Members**

There shall be two voting student members.

**1.4.2.14.4.4 Ex Officio Nonvoting Members**

The SUKCEC chair shall invite five nonvoting ex officio members to join the committee, one from each of the following areas:

- Office of Assessment
- Enrollment Management
- Student and Academic Life
- University Libraries
- Center for the Enhancement of Learning and Teaching

**1.4.3.41.4.2.15 Senate Admissions Advisory Committee (SAAC)**

[US: 11/12/90]

**1.4.2.15.1 Charge**

The SAAC is responsible for recommending admissions policy within general guidelines established by the University Senate. The SAAC is charged to:

- 2035 1. Establish the University's admissions management system, as described in SR [Error!](#)  
 2036 Reference source not found.
- 2037
- 2038 2. File a written report to the Provost recommending admissions objectives such as  
 2039 average ACT scores and desired size of each year's entering freshman class. A copy of  
 2040 the report shall be presented to the Senate Council.
- 2041
- 2042 3. Establish automatic admission criteria.
- 2043
- 2044 4. Upon the recommendation of the Assistant Provost for Enrollment Management,  
 2045 approve any warranted deviations from the automatic admissions criteria.
- 2046
- 2047 5. After consultation with the Assistant Provost for Enrollment Management, the SAAC  
 2048 may recommend to the Provost closing admissions on any of the following bases: 1) the  
 2049 desired class size has been reached; 2) the class is large enough given existing  
 2050 constraints; and 3) admissions objectives other than class size have been achieved.
- 2051
- 2052 6. Establish parameters for the decisions on exceptions, under which applicants may be  
 2053 admitted who have not met the automatic admissions criteria.
- 2054
- 2055 7. Request that the Provost provide specific data on admissions, enrollment, and student  
 2056 performance.
- 2057
- 2058 8. Request data from the Assistant Provost for Enrollment Management for use in  
 2059 establishing or evaluating admissions policy.
- 2060
- 2061 9. Review and analyze the information provided in (7) and (8) above by the Provost and  
 2062 Assistant Provost for Enrollment Management and disseminate the results of its review  
 2063 and analysis to the educational units.
- 2064

#### **1.4.2.15.2 Extent of Authority**

The SAAC does not have any final decision-making authority.

#### **1.4.2.15.3 Composition**

The SAAC is a standing committee of the University Senate.

##### **1.4.2.15.3.1 Chair**

The committee must be chaired by one of the three appointed University Faculty members. In the case of a tie vote, the vote of the chair shall prevail.

##### **1.4.2.15.3.2 Voting Faculty Membership**

There shall be three University Faculty members appointed by the Senate Council.

##### **1.4.2.15.3.3 Ex Officio Voting Membership**

The voting members of the SAAC are ~~T~~the Assistant Provost for Enrollment Management and, the Chair of the Senate committee on Admissions and Academic Standards shall be ex officio voting members, ~~and three additional University Faculty members appointed by the Senate Council to staggered three-year terms.~~

##### **1.4.2.15.3.4 Ex Officio Nonvoting Membership**

Ex officio, nonvoting members ~~shall be of the Committee are~~ the Associate Provost for Student and Academic Life and the Assistant Provost for Strategic Planning (or that person's designee). ~~The committee must be chaired by one of the three appointed University Faculty members. In the case of a tie vote, the vote of the chair shall prevail.~~

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~~The SAAC is responsible for recommending admissions policy within general guidelines established by the University Senate. The SAAC is charged to:~~

- ~~1. Establish the University's admissions management system, as described in SR 4.2.1.1.1.~~
- ~~2.1. File a written report to the Provost recommending admissions objectives such as average ACT scores and desired size of each year's entering freshman class. A copy of the report shall be presented to the Senate Council.~~
- ~~3.1. Establish automatic admission criteria.~~
- ~~4.1. Upon the recommendation of the Assistant Provost for Enrollment Management, approve any warranted deviations from the automatic admissions criteria.~~
- ~~5.1. After consultation with the Assistant Provost for Enrollment Management, the SAAC may recommend to the Provost closing admissions on any of the following bases: 1) the desired class size has been reached; 2) the class is large enough given existing constraints; and 3) admissions objectives other than class size have been achieved.~~
- ~~6.1. Establish parameters for the decisions on exceptions, under which applicants may be admitted who have not met the automatic admissions criteria.~~
- ~~7.1. Request that the Provost provide specific data on admissions, enrollment, and student performance.~~
- ~~8.1. Request data from the Assistant Provost for Enrollment Management for use in establishing or evaluating admissions policy.~~
- ~~9.1. Review and analyze the information provided in (7) and (8) above by the Provost and Assistant Provost for Enrollment Management and disseminate the results of its review and analysis to the educational units.~~

**1.4.3.51.4.2.16 Senate Retroactive Withdrawal Appeals Committee (SRWAC)**

[US: 12/8/97; 4/13/2020; 12/14/2020]

**1.4.2.16.1 Charge**

~~The Committee shall decide all student requests for retroactive withdrawals as provided by SR Error! Reference source not found.~~

**1.4.2.16.2 Extent of Authority**

~~The SRWAC has final decision-making authority regarding all student requests for retroactive withdrawals.~~

**1.4.2.16.3 Composition**

~~The SRWAC is not required to be chaired by an elected faculty senator or composed of more than one-half of members who are elected faculty senators. When possible, at least one member shall have a medical background.~~

**1.4.2.16.3.1 Voting Faculty Membership**

~~There shall be The SRWAC shall consist of four members of the University Faculty plus a sufficient number of alternates in case a regular member cannot attend.~~

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#### **1.4.2.16.3.2 Voting Student Membership**

There shall be one voting student member.

#### **1.4.2.16.3.3 Ex Officio Nonvoting Membership**

There shall be ##### ex officio nonvoting members.

- ~~and one student, plus: a sufficient number of alternates, named by the Senate Council.~~  
A representative of the Associate VP for Employment Equity;
- ~~A, a~~ representative of the Counseling and Testing Center;
- ~~A, a~~ representative from the Advising Network;
- ~~A, a~~ representative from the Provost Committee on Advising; and
- ~~A, and a~~ representative from the Assistant Dean of Students directing the Disability Resource Center

~~, will serve as ex officio nonvoting members of the Committee. When possible, at least one member shall have a medical background.~~

~~The Committee shall decide all student requests for retroactive withdrawals as provided by SR 5.1.7.5.~~

#### **1.4.3.61.4.2.17 Senate Academic Advising Committee (SacAC)**

[US: 4/10/2000; 11/13/2017; 4/13/2020]

##### **1.4.2.17.1 Charge**

The committee shall:

1. Regularly review the effectiveness and accountability of academic advising throughout the University
2. Recommend standards for the quality of academic advising
3. Make recommendations to the Senate Council regarding academic advising
4. Advise the Senate Council about all recommendations or proposals to the Senate regarding academic advising. [4/10/2000]

##### **1.4.2.17.2 Extent of Authority**

The SacAC does not have any final decision-making authority.

##### **1.4.2.17.3 Composition**

###### **1.4.2.17.3.1 Voting Faculty Membership**

~~The SacAC shall consist of~~There shall be three elected faculty members from the University Senate who are currently advising students.

###### **1.4.2.17.3.2 Voting Student Membership**

~~There shall be; three students (two student senators members recommended by the SGA and~~  
also one student at large.

###### **1.4.2.17.3.3 Ex Officio Voting Membership**

There shall be four ex officio nonvoting members.

- Three professional advisors, selected by a process adopted by the UK Advising Network and the Senate Council; and

- One member from the Provost Committee on Advising.

#### 1.4.2.17.3.4 Ex Officio Nonvoting Membership

- ~~) to be selected by the Senate Council upon the recommendation of the President of the Student Government Association; three professional advisors, selected by a process adopted by the UK Advising Network and the Senate Council; one member from the Provost Committee on Advising; and one ex officio member:~~

The Associate Provost for Student and Academic Life shall serve as an ex officio nonvoting member. The committee shall:

- ~~1. Regularly review the effectiveness and accountability of academic advising throughout the University~~
- ~~2. Set standards for the quality of academic advising~~
- ~~3. Make recommendations to the Senate Council regarding academic advising~~
- ~~4. Advise the Senate Council about all recommendations or proposals to the Senate regarding academic advising. [4/10/2000]~~

#### 1.4.3.71.4.2.18 Senate Technology Committee (STC)

[US: 2/11/2019]

##### 1.4.2.18.1 Charge

~~The Senate Technology Committee shall be composed of six (6) members of the University Faculty with a broad range of technological expertise and experience, and representative of multiple University colleges and departments, appointed by the Senate Council to staggered three-year terms, and one student member, appointed to a one-year term by the Senate Council in consultation with the president of the Student Government Association. Ex officio, non-voting members of the Committee shall be the UK Chief Information Officer (or that person's designee) and the chair of the Senate Committee on Distance Learning and eLearning (SCDLLeL). The Committee chair must be one of the six appointed University Faculty members. The six University Faculty members and the one student member are voting members of the Committee.~~

The Senate Technology Committee is broadly concerned with all issues related to information technology (IT) at UK. The STC's responsibilities include, but are not limited to:

1. Provide a formal channel for Senate communication ~~between faculty/students~~ and UK Information Technology Services (ITS) and other administrative units concerning University technology infrastructures, services, policies, and procedures.
2. If called upon by Senate to do so, ~~r~~Review proposals from ITS, and other administrative units, regarding University technology infrastructure, services, policies, and procedures and make recommendations to the ~~University~~ Senate Council and Senate concerning endorsement and change.
3. Bring proposals and recommendations to the ~~University~~ Senate ~~Council~~ concerning any technology issue that may affect the educational objectives and mission of the University (GR IV.C.6) or concerning the application of technology to policy or procedure for faculty personnel matters (GR IV.C.7).
4. Review and make recommendations to the ~~University~~ Senate ~~Council~~ concerning any matter in which the proposed application of technology constitutes an educational policy

2252 issue (GR IV.C.1), when the Senate Council determines that responsibility for the  
 2253 particular matter is not charged to any other Senate committee.

2254 ~~5.—Make recommendations to the University Senate Council for faculty membership on the~~  
 2255 ~~Committee and for selection of the Committee chair.~~

2257 **1.4.2.18.2 Extent of Authority**

2258 The STC does not have any final decision-making authority.

2260 **1.4.2.18.3 Composition**

2261 The STC is not required to be chaired by an elected faculty senator or composed of more than  
 2262 one-half of members who are elected faculty senators.

2264 **1.4.2.18.3.1 Chair**

2265 The Committee chair must be one of the six appointed University Faculty members.

2267 **1.4.2.18.3.2 Voting Faculty Membership**

2268 There shall be six members of the University Faculty with a broad range of technological  
 2269 expertise and experience who are broadly representative of the University

2271 **1.4.2.18.3.3 Voting Student Membership**

2272 There shall be one one student member.

2274 **1.4.2.18.3.4 Ex Officio Nonvoting Membership**

2275 Ex officio nonvoting members of the committee shall be the UK Chief Information Officer (or that  
 2276 person's designee) and the chair of the Senate Committee on Distance Learning and eLearning  
 2277 (SCDLeL).

2280 **1.4.2.19 Senate Advisory Committee on Disability Accommodation and Compliance**  
 2281 **(SACDAC)**

2283 [US: 12/10/2012]

2285 **Composition**

2287 The SACDAC is a standing committee of the University Senate. The voting members of the  
 2288 SACDAC are the Director of the Center for the Enhancement of Learning and Teaching (CELT),  
 2289 or that person's designee, and four University Faculty members appointed by the Senate  
 2290 Council. The four University Faculty members shall serve staggered three-year terms. Ex officio,  
 2291 nonvoting members of the Committee are the Academic Ombud, the Director of the Disability  
 2292 Resource Center (DRC), a DRC learning specialist, and the Associate Vice President for  
 2293 Institutional Equity or that person's designee. The committee shall be chaired by one of the four  
 2294 appointed University Faculty members. The SACDAC is responsible for recommending  
 2295 educational policy within general guidelines established by the University Senate.

2297 **Committee Charge**

2299 **1.4.2.19.1.1 Charge**

2300 The SACDAC is charged to responsible for recommending educational policy within general  
 2301 guidelines established by the University Senate. Specifically, the SCDAC is charged to:

- 2303 1. Recommend to the Senate Council educational policies and implementation practices  
 2304 that appropriately address the accommodations standards stipulated in the Americans

2305 with Disabilities Act (ADA) Law and Sections 504/508 of the Rehabilitation Act of 1973  
 2306 for students who have documented disabilities.

2307  
 2308 **2. Hear and render decisions on issues from faculty members or the DRC pertaining to the**  
 2309 **implementation of educational policies as they relate to practices for disability**  
 2310 **accommodation and regulatory compliance.**

2311  
 2312 **3. Monitor the alignment between the University Senate's educational policies and Federal**  
 2313 **compliance and accommodation regulations, and recommend educational policy**  
 2314 **revisions, as warranted.**

2315  
 2316 Issue an annual report on the work of the SACDAC for consideration by the Senate Council, the  
 2317 University Senate and the wider University community.

2318  
 2319  
 2320 **1.4.2.19.2 Extent of Authority**

2321 The SCDAC does not have any final decision-making authority.

2322  
 2323 **1.4.2.19.3 Composition**

2324 The SCDAC is not required to be chaired by an elected faculty senator or composed of more  
 2325 than one-half of members who are elected faculty senators.

2326  
 2327 **1.4.2.19.3.1 Chair**

2328 The committee shall be chaired by one of the four appointed University Faculty members.

2329  
 2330 **1.4.2.19.3.2 Voting Faculty Membership**

2331 The voting members of the SCDAC are as follows.

- 2332  
 2333
  - Director of the Center for the Enhancement of Learning and Teaching (CELT), or that  
 2334
  - person's designee; and  
 2335
  - Four University Faculty members  
 2336

2337 **1.4.2.19.3.3 Ex Officio Nonvoting Membership**

2338 Ex officio nonvoting members are as follows:

- 2339  
 2340
  - The Academic Ombud;  
 2341
  - The Director of the Disability Resource Center (DRC);  
 2342
  - A DRC learning specialist; and  
 2343
  - The Associate Vice President for Institutional Equity or that person's designee.  
 2344  
 2345

2346 **1.4.2.20 Senate Advisory Committee on Diversity and Inclusion (SACDI)**

2347  
 2348 [US: 2/12/2018]

2349  
 2350 **1.4.2.20.1 Charge**

2351 The SCDI is charged with: increasing diversity among senators, in particular representation of  
 2352 underrepresented minorities; working with senior leadership to disseminate best practices for  
 2353 recruiting and retaining faculty of color and other underrepresented groups; and addressing  
 2354 other issues around diversity and inclusion as they arise.

2355  
 2356 **1.4.2.20.2 Extent of Authority**

2357 The SCDI does not have any final decision-making authority.

2359 1.4.2.20.3 Composition

2360  
 2361 The SCDI is not required to be chaired by an elected faculty senator or composed of more than  
 2362 one-half of members who are elected faculty senators.

2364 1.4.2.20.3.1 Chair

2365 The committee shall be chaired by one of the six appointed University Faculty members.

2367 1.4.2.20.3.2 Voting Faculty Membership

2368 The SCDI is an advisory committee of the University Senate and its membership is appointed  
 2369 by the SC. Six University Faculty members shall be voting members.

2371 1.4.2.20.3.3 Voting Student Membership

2372 There shall be two student members, nominated by the Student Government Association  
 2373 (SGA) shall also be voting members. The University Faculty members shall serve staggered  
 2374 three-year terms and the SGA's nominees shall serve one-year terms.

2376 1.4.2.20.3.4 Ex Officio Nonvoting Membership

2377 There shall be two The SCDI shall also have two ex officio nonvoting nonvoting members: two  
 2378 individuals, who shall be recommended by the Vice President for Institutional Diversity, both of  
 2379 whom shall have UK-related responsibilities pertaining to diversity and inclusivity. Members may  
 2380 serve consecutive terms but must be reappointed by Senate Council.

2381 The SC shall appoint a chair from one of the six University Faculty members.

2384 Charge

2385  
 2386 The SCDI is charged with: increasing diversity among senators, in particular representation of  
 2387 underrepresented minorities; working with senior leadership to disseminate best practices for  
 2388 recruiting and retaining faculty of color and other underrepresented groups; and addressing  
 2389 other issues around diversity and inclusion as they arise.

2391 1.4.3.81.4.2.21 Lewis Honors College Faculty

2392  
 2393 NEEDS TO BE MOVED SOMEWHERE MORE APPROPRIATE

2394  
 2395 [US: 12/10/2012; 5/6/2019]

2397 Charge to Lewis Honors College Faculty

2398  
 2399 [US: 5/6/2019]

2400  
 2401 The Honors College Faculty, or as delegated to the Lewis Honors College Council, shall  
 2402 exercise the following functions:

- 2403  
 2404 1. It has jurisdiction over the educational policies of the Honors College (GR VII.A.1),  
 2405 including such matters as academic requirements, curricula, and course offerings in the  
 2406 HON series. It also has approval of honors sections of departmental courses,  
 2407 undergraduate research, and service-learning courses, and academic policy  
 2408 components of the residential community associated with the Honors College.  
 2409 Following the policies and principles in the *Governing Regulations* and the *University*  
 2410 *Senate Rules*, it shall make recommendations to the University Senate on educational  
 2411 matters that require the approval of that body (GR VII.E.2).  
 2412

- 2413 2. It shall approve (or not), or as it delegates shall supervise the approval of, all sections  
 2414 of existing courses which are proposed by colleges, departments, schools, or other  
 2415 units to fulfill the Honors curricular requirements. On behalf of the Lewis Honors  
 2416 College Faculty, the associate dean of the Lewis Honors College shall send the list of  
 2417 approved sections to the University Registrar's Office for inclusion in the Schedule of  
 2418 Classes.
- 2419
- 2420 3. It shall establish, or as it delegates shall supervise the approval of, a process to  
 2421 approve faculty to teach Honors courses or departmental honors sections (Honors  
 2422 courses taught by other units).
- 2423
- 2424 4. It shall establish, or as it delegates shall supervise the establishment of, guidelines for  
 2425 the Honors College Course Agreement between an individual Honors College student  
 2426 and an individual faculty person that will be used when a student wishes to complete for  
 2427 Honors credit an undergraduate course that has not been designated as an Honors  
 2428 course (i.e., those not designated as HON courses or as "H" designated sections of  
 2429 regular undergraduate courses).
- 2430
- 2431 5. It shall, through the dean of the Lewis Honors College, report periodically to the  
 2432 University Senate concerning the activities of the Honors College, including the  
 2433 teaching and content of all courses and sections selected to fulfill the Honors curricular  
 2434 requirements.
- 2435
- 2436 6. It shall consider and propose improvements which will enhance the Honors curriculum  
 2437 and its impact on the undergraduate curriculum.
- 2438
- 2439 7. Upon the recommendation of the dean of the Honors College, or upon its own initiative,  
 2440 it shall consider and propose necessary changes in the educational structure of the  
 2441 college or in its academic requirements.
- 2442
- 2443 8. It shall set, or as it delegates shall supervise the setting of, policies for the granting of  
 2444 credit to transfer students for courses taken which are equivalent to those in the Honors  
 2445 curriculum and it shall communicate these policies to all colleges of the University.
- 2446

#### 2447 **1.4.2.21.1 Extent of Authority**

2448 The Lewis Honors College Faculty has the final decision-making authority for unit courses and  
 2449 programs similar to any other unit or program faculty.

2450

#### 2451 **1.4.3.8-11.4.2.21.2 Jurisdiction**

2452

2453 The Honors College is an educational unit, where completion of the Honors curriculum leads to  
 2454 an academic graduation credential of University Honors.

2455

2456 Subject to the *University Senate Rules*, educational policy concerning the Honors curriculum is  
 2457 decided, or recommended to the University Senate, by procedures determined by the Honors  
 2458 Faculty in the approved Lewis Honors College Faculty Rules. The Lewis Honors College Faculty  
 2459 Rules may delegate to the Lewis Honors College Council decisions concerning Lewis Honors  
 2460 College educational policies. (GR VII.E.2) [US: 5/6/2019]

2461

#### 2462 **1.4.3.8-21.4.2.21.3 Membership Composition**

2463

2464 The Lewis Honors College faculty is not required to be chaired by an elected faculty senator or  
 2465 composed of more than one-half of members who are elected faculty senators.

2466 Membership of the Lewis Honors College Faculty is prescribed by GR VII.E.2.a. Membership of  
 2467 the Lewis Honors College Council is as prescribed in the approved Lewis Honors College  
 2468 Faculty Rules (GR VII.E.2.b).  
 2469

2470 ~~1.4.3.8.31.1.1.1.1~~ ~~Charge to Lewis Honors College Faculty~~

2471  
 2472 [~~US; 5/6/2019~~]  
 2473

2474 ~~The Honors College Faculty, or as delegated to the Lewis Honors College Council, shall~~  
 2475 ~~exercise the following functions:~~  
 2476

2477 ~~1. It has jurisdiction over the educational policies of the Honors College (GR VII.A.1),~~  
 2478 ~~including such matters as academic requirements, curricula, and course offerings in the~~  
 2479 ~~HON series. It also has approval of honors sections of departmental courses,~~  
 2480 ~~undergraduate research, and service learning courses, and academic policy~~  
 2481 ~~components of the residential community associated with the Honors College.~~  
 2482 ~~Following the policies and principles in the Governing Regulations and the University~~  
 2483 ~~Senate Rules, it shall make recommendations to the University Senate on educational~~  
 2484 ~~matters that require the approval of that body (GR VII.E.2).~~  
 2485

2486 ~~2.1. It shall approve (or not), or as it delegates shall supervise the approval of, all sections~~  
 2487 ~~of existing courses which are proposed by colleges, departments, schools, or other~~  
 2488 ~~units to fulfill the Honors curricular requirements. On behalf of the Lewis Honors~~  
 2489 ~~College Faculty, the associate dean of the Lewis Honors College shall send the list of~~  
 2490 ~~approved sections to the University Registrar's Office for inclusion in the Schedule of~~  
 2491 ~~Classes.~~  
 2492

2493 ~~3.1. It shall establish, or as it delegates shall supervise the approval of, a process to~~  
 2494 ~~approve faculty to teach Honors courses or departmental honors sections (Honors~~  
 2495 ~~courses taught by other units).~~  
 2496

2497 ~~4.1. It shall establish, or as it delegates shall supervise the establishment of, guidelines for~~  
 2498 ~~the Honors College Course Agreement between an individual Honors College student~~  
 2499 ~~and an individual faculty person that will be used when a student wishes to complete for~~  
 2500 ~~Honors credit an undergraduate course that has not been designated as an Honors~~  
 2501 ~~course (i.e., those not designated as HON courses or as "H" designated sections of~~  
 2502 ~~regular undergraduate courses).~~  
 2503

2504 ~~5.1. It shall, through the dean of the Lewis Honors College, report periodically to the~~  
 2505 ~~University Senate concerning the activities of the Honors College, including the~~  
 2506 ~~teaching and content of all courses and sections selected to fulfill the Honors curricular~~  
 2507 ~~requirements.~~  
 2508

2509 ~~6.1. It shall consider and propose improvements which will enhance the Honors curriculum~~  
 2510 ~~and its impact on the undergraduate curriculum.~~  
 2511

2512 ~~7.1. Upon the recommendation of the dean of the Honors College, or upon its own initiative,~~  
 2513 ~~it shall consider and propose necessary changes in the educational structure of the~~  
 2514 ~~college or in its academic requirements.~~  
 2515

2516 ~~8.1. It shall set, or as it delegates shall supervise the setting of, policies for the granting of~~  
 2517 ~~credit to transfer students for courses taken which are equivalent to those in the Honors~~  
 2518 ~~curriculum and it shall communicate these policies to all colleges of the University.~~  
 2519  
 2520

2521 **1.4.3.8.4.1.4.2.21.4 Unit Faculty Rules for Educational Policy-making**

2522  
 2523 Pursuant to *Governing Regulations* VII.E.2.c, the Lewis Honors College Faculty shall provide the  
 2524 Lewis Honors College Faculty Rules document its procedures for the above processes in  
 2525 educational policy-making.  
 2526

2527 **1.4.4.1.4.3 SENATE ADVISORY COMMITTEES**

2528  
 2529 ~~**1.4.4.1 Senate Advisory Committee on Faculty Code (SACFC)**~~

2530  
 2531 ~~The SACFC shall carry out duties conferred on it by the Faculty Code (SR Section 7). These~~  
 2532 ~~shall include the following: to receive reports of mediation efforts by the deans; to hold hearings~~  
 2533 ~~and make findings as to violations and sanctions, if any; and to forward recommendations to the~~  
 2534 ~~Provost.~~  
 2535

2536 **1.4.4.2.1.4.3.1 Senate Advisory Committee on Privilege and Tenure (SACPT)**

2537  
 2538 ~~**1.4.4.2.1.1.1.1.1.1 Committee Membership**~~

2539  
 2540 ~~The committee will be comprised of ten tenured faculty members with expertise encompassing the~~  
 2541 ~~areas of the committee's charge. The committee membership will be structured in the following~~  
 2542 ~~way: four Regular Title Series; two Special Title Series (clinical areas); two Special Title Series~~  
 2543 ~~(nonclinical areas); one Librarian Title Series; one Extension Title Series. For each given case, the~~  
 2544 ~~committee Chair will identify a minimum of five members to participate in the hearing, deliberation,~~  
 2545 ~~and disposition of the case, with the goal that in cases involving faculty at least one member will be~~  
 2546 ~~in the same title series as the heard petitioner, and that cases will be heard during the summer as~~  
 2547 ~~well as during the academic year. Members of this academic advisory committee are appointed by~~  
 2548 ~~the President, as Chair of the University Senate, from nominations submitted by the Senate~~  
 2549 ~~Council of full time tenured faculty employees who do not occupy a position of administrative~~  
 2550 ~~academic supervision over faculty personnel.~~  
 2551

2552 **1.4.4.2.2.1.4.3.1.1 Committee Charge**

2553  
 2554 **1.4.4.2.2.1.4.3.1.1.1 Scope of Committee Jurisdiction**

2555  
 2556 Except for cases of dismissal for cause (subsection 1.4.4.4.2.2.1, below), the SACPT is  
 2557 to consider whether

- 2558  
 2559 1. violation of procedures (as established by University-level regulations/policies,  
 2560 or by the college, or by the department faculty; GR VII.A.6.c; GR VII.B.3; GR  
 2561 VII.E.2-5),  
 2562  
 2563 2. violation of privilege, or  
 2564  
 2565 3. violation of academic freedom,  
 2566

2567 have affected the outcome of decisions made in the processes of faculty  
 2568 reappointment, terminal reappointment, non-renewal of appointment, promotion and/or  
 2569 tenure. Cases of complaint on the substantive merit of administrative decisions in these  
 2570 faculty personnel processes are instead to be submitted through established  
 2571 administrative channels as prescribed by GR I.F.

2572

2573 Similarly, the SACPT does not consider complaints relating to the substantive merit of  
 2574 administrative decisions on salary, faculty performance review, distribution of effort, allocation of  
 2575 resources, etc. (for which the administrative appeal procedure of GR I.F is applicable). However,  
 2576 if an issue instead involves violation of established procedure, violation of privilege or violation of  
 2577 academic freedom, *and if* the petitioner *both* (i) exhausts the process of GR I.F through the level  
 2578 of the Provost and the issue remains unresolved and (ii) satisfies the burden of making a *prima*  
 2579 *facie* case to the SACPT that the particular violation of procedure, privilege or academic freedom  
 2580 is of such a nature as to potentially significantly impinge on the petitioner's reappointment,  
 2581 terminal reappointment, non-renewal of appointment, promotion and/or tenure, *then* the SACPT  
 2582 may elect to consider the case.

2583

2584 \* As long as the faculty employee follows the process prescribed in the above paragraph  
 2585 of SR 1.4.4.2.2.1, the SACPT gains jurisdiction to elect to consider allegations that "the  
 2586 particular violation...is of such a nature as to potentially significantly impinge on the  
 2587 petitioner's...tenure." [SREC: 2/8/2019]

2588

2589 For the purposes of this scope of charge to the SACPT, "academic freedom" is as defined in GR  
 2590 X.B.3.b (para. 1). Issues of academic freedom of an "administrator holding academic rank" relate  
 2591 to the individual's exercise of academic freedom in the capacity as a member of the faculty of an  
 2592 educational unit.

2593

#### 2594 1.4.4.2.2.21.4.3.1.1.2 Specific areas of committee charge

2595

2596 The Committee is charged with giving consideration to the following matters as referred to it by  
 2597 the President, by any University faculty employee, or by certain University staff employees of  
 2598 educational units in the following situations.

2599

#### 2600 1.4.4.2.2.2.11.4.3.1.1.2.1 Dismissal from employment

2601

2602 The Committee may consider dismissals from employment (GR X.B.1.f) that involve:

2603

- 2604 1. cases of termination of appointment for cause of a tenured faculty member arising from  
 2605 allegation of incompetency, neglect of or refusal to perform their duty, or for immoral  
 2606 conduct (KRS 164.230);
- 2607 2. cases of dismissal of an employee for cause during a limited appointment, arising from  
 2608 allegation of incompetency, neglect of or refusal to perform their duty, or for immoral  
 2609 conduct (KRS 164.230; GR X.B.1.f);
- 2610 3. cases of termination of a tenure appointment or the dismissal of a person prior to  
 2611 expiration of a non-tenure appointment because of a financial emergency (GR X.B.1.f).

2612

2613 As prescribed by GR X.B.1.f.2, the SACPT shall make an informal investigation. The petitioner  
 2614 shall be afforded an opportunity to be heard by the SACPT, for the purpose of attempting to  
 2615 effect a resolution mutually agreeable to the President and the faculty employee. In the case that  
 2616 such a resolution is not obtained, the SACPT shall recommend to the President whether, in its  
 2617 opinion, dismissal proceedings should be undertaken. The subsequent disposition of the matter  
 2618 by the President shall be as prescribed in GR X.B.1.e.

2619

#### 2620 1.4.4.2.2.2.21.4.3.1.1.2.2 Violation of academic freedom or insufficient notice of 2621 nonrenewal

2622

2623 The Committee may consider certain cases of allegation of violation of academic freedom or  
 2624 insufficient notice of non-renewal that involve:

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1. cases of allegation by a faculty member on a non-tenure appointment that a decision for non-reappointment violates his or her academic freedom as a faculty member (GR X.B.1.g);
2. cases of allegation by a University administrator holding academic rank, or by a postdoctoral scholar, postdoctoral fellow, resident, clinical fellow, teaching assistant, or research assistant that a decision to terminate his or her appointment to his or her administrative post, or not to reappoint him or her, violates his or her academic freedom (GR X.B.1.h; GR X.D; AR 5:4; AR 5.5);
3. cases of non-renewal of a faculty employee's probationary appointment with less advance notice than specified by the Governing Regulations (GR X.B.1.e);

As prescribed by GR X.B.1.f, when the petitioner lodges their complaint in writing to the Chair of the SACPT, the SACPT shall make an informal investigation, including affording the petitioner shall be afforded an opportunity to be heard by the SACPT, for the purpose of attempting to effect a resolution mutually agreeable to the President and the petitioner. In the case that such a resolution is not obtained, the SACPT shall recommend to the President whether, in its opinion, the decision to terminate or not reappoint should be sustained. The subsequent disposition of the matter by the President shall be as prescribed in GR X.B.1.f.

#### 1.4.4.2.2.31.4.3.1.1.2.3 **Other alleged violations**

The Committee may consider allegations of violation of established procedure, academic privilege and/or academic freedom that involve:

1. a faculty employee's terminal reappointment, promotion and/or tenure (AR 2:1)
2. cases of allegation by a faculty member on a non-tenured appointment that a decision for nonreappointment violates either GR I.D.f.a or GR X.A.1 dealing with certain discriminatory practices.

#### 1.4.4.2.2.41.4.3.1.1.2.4 **Procedures**

The petitioner must submit to the Chair of the SACPT a letter initiating the appeal within 60 days, and the appeal and supporting documentation within 75 days, after written notification by the dean of a final decision of nonrenewal, terminal reappointment or disapproval of promotion and/or tenure.

The SACPT may extend the 75-day deadline by majority vote.

The function of the committee in all such cases is to first exercise informal vetting processes to attempt to effect a resolution that makes a formal recommendation to the President for action unnecessary. In cases where such an informal resolution is not obtained, the committee will exercise formal processes of investigation, including affording to the petitioner shall be afforded an opportunity to appear before the SACPT. With copy to the petitioner, the SACPT will submit to the President its analysis of the alleged violations and will recommend to the President what commensurate remedial action, if any, ought to be taken. The President, or upon the President's delegation the Provost, shall notify the petitioning faculty employee and the SACPT in writing of the decision.

#### 1.4.4.2.2.31.4.3.1.1.3 **Interpretation of policies**

2681 The SACPT may, upon request, advise individual faculty members, the President, the  
 2682 Provost or educational unit chief administrative officers on the interpretation of  
 2683 University regulations on faculty appointment, reappointment, promotion, tenure,  
 2684 privilege and academic freedom, with copies of the interpretation being sent to the  
 2685 University Senate Council, the President, the Provost and as applicable, the chair of the  
 2686 department, and the dean.

2687

2688 **1.4.4.2.2.41.4.3.1.1.4 Issues of privilege as scholars**

2689

2690 The SACPT also may consider allegations by faculty members who believe that their privilege as  
 2691 scholars has been abridged or abused. Faculty members should address statements to the chair  
 2692 of the SACPT setting forth in detail the reasons why they believe their privilege has been  
 2693 abridged or abused. The SACPT will review the statement and determine whether conditions  
 2694 warrant further investigation. Upon investigation the SACPT will make recommendations to the  
 2695 faculty member and file a copy with the President and the Provost. Recommendations may be  
 2696 made also to the President with a copy sent to the faculty member and Provost.

2697

2698 **1.4.4.2.2.51.4.3.1.1.5 Recommendations on Policies**

2699

2700 The SACPT is also charged with making a continuing study of regulations on faculty  
 2701 appointment, reappointment, promotion, tenure, privilege and academic freedom, making  
 2702 recommendations to the University Senate.

2703

2704 **1.4.4.2.2.61.4.3.1.1.6 Reports and Records**

2705

2706 At the end of each academic year the SACPT will provide to the Senate Council a generalized  
 2707 report of the issues and resolutions of the cases filed with it that year, including any consequent  
 2708 recommendations of the SACPT for action by the Senate or Senate Council. At the conclusion of  
 2709 the committee's disposition of each case, or collectively at the end of the academic year, for  
 2710 purposes of records retention, the Chair of the committee shall forward to the University  
 2711 President's Office the case documents filed to the committee, any other official evidentiary  
 2712 documents generated by the committee, and the record of the committee's disposition of the  
 2713 case if the latter has not already been submitted to the President.

2714

2715 **1.4.4.2.2.71.4.3.1.1.7 Rights of Petitioner**

2716

2717 The right of a faculty employee to file with the SACPT Chair a request for a hearing pursuant to  
 2718 SR 1.4.3.1.1.2.1–3 and 1.4.3.1.1.4 shall not be impeded. The investigatory hearing process  
 2719 exercised by the SACPT shall include the rights prescribed in SR 1.4.3.2.1. [US: 2/8/2016]

2720

2721 \* The Senate Rules reserve to the course instructor the authority to make those course  
 2722 educational policies not prescribed by the unit Faculty or (higher college/Senate) bodies. If a  
 2723 faculty employee believes that a unit Faculty or higher faculty body, or an administrator, has  
 2724 made a policy that abridges that course instructor's prerogatives (academic freedom) to make  
 2725 course educational policy, the individual may bring that complaint to the Senate Advisory  
 2726 Committee on Privilege and Tenure. [SREC: 9/2009]

2727

2728 \* If a grade originally submitted to the Registrar by the Instructor of Record becomes  
 2729 improperly changed in a context that the Instructor of Record believes is a violation of  
 2730 his or her academic privilege, the Instructor of Record has the right to lodge a complaint  
 2731 with the Senate Advisory Committee on Privilege and Tenure ("SACPT"; SR 1.4.3.1). If  
 2732 the committee finds in favor of the Instructor of Record, the committee is authorized to

2733 recommend to the President that the President direct the Registrar to change the grade  
2734 back to the grade originally submitted by the Instructor of Record. [SREC: 9/12/11]

2735

2736 \* The “written comments” on course evaluations are not to be made available by the  
2737 University to third parties. In addition, the University Senate’s policy for release of  
2738 numerical course ratings only applies to undergraduate courses. [SREC: 11/10/11]

2739

2740

2741

2742 The right of a faculty employee to file with the SACPT Chair a request for a hearing pursuant to  
2743 SR [1.4.3.1.1.2.1–3](#) and [1.4.3.1.1.4](#) shall not be impeded. The investigatory hearing process  
2744 exercised by the SACPT shall include the rights prescribed in SR [1.4.3.2.1](#). [US: 2/8/2016]

2745

2746 \* The Senate Rules reserve to the course instructor the authority to make those course  
2747 educational policies not prescribed by the unit Faculty or (higher college/Senate) bodies.  
2748 If a faculty employee believes that a unit Faculty or higher faculty body, or an  
2749 administrator, has made a policy that abridges that course instructor’s prerogatives  
2750 (academic freedom) to make course educational policy, the individual may bring that  
2751 complaint to the Senate Advisory Committee on Privilege and Tenure. [SREC: 9/2009]

2752

2753 \* If a grade originally submitted to the Registrar by the Instructor of Record becomes  
2754 improperly changed in a context that the Instructor of Record believes is a violation of  
2755 his or her academic privilege, the Instructor of Record has the right to lodge a complaint  
2756 with the Senate Advisory Committee on Privilege and Tenure (“SACPT”; SR [1.4.3.1](#)). If  
2757 the committee finds in favor of the Instructor of Record, the committee is authorized to  
2758 recommend to the President that the President direct the Registrar to change the grade  
2759 back to the grade originally submitted by the Instructor of Record. [SREC: 9/12/11]

2760

2761 \* The “written comments” on course evaluations are not to be made available by the  
2762 University to third parties. In addition, the University Senate’s policy for release of  
2763 numerical course ratings only applies to undergraduate courses. [SREC: 11/10/11]

2764

#### 2765 [1.4.3.1.2](#) **Extent of Authority**

2766 The SACPT does not have any final decision-making authority.

2767

#### 2768 [1.4.3.1.3](#) **Committee Composition Membership**

2769

2770 The SACPT is not required to be chaired by an elected faculty senator or composed of more  
2771 than one-half of members who are elected faculty senators.

2772

2773 The committee will be comprised of ten tenured faculty members with expertise encompassing the  
2774 areas of the committee’s charge. The committee membership will be structured in the following  
2775 way: four Regular Title Series; two Special Title Series (clinical areas); two Special Title Series  
2776 (nonclinical areas); one Librarian Title Series; one Extension Title Series. For each given case, the  
2777 committee Chair will identify a minimum of five members to participate in the hearing, deliberation,  
2778 and disposition of the case, with the goal that in cases involving faculty at least one member will be  
2779 in the same title series as the heard petitioner, and that cases will be heard during the summer as  
2780 well as during the academic year. Members of this academic advisory committee are appointed by  
2781 the President, as Chair of the University Senate, from nominations submitted by the Senate  
2782 Council of full-time tenured faculty employees who do not occupy a position of administrative  
2783 academic supervision over faculty personnel.

2784

2785

#### 2786 [1.4.4.3](#)[1.4.3.2](#) **University Senate Hearing Panel (Privilege and Tenure) (USHP)**

2787

2788 **1.4.4.3.1 — Composition and Jurisdiction**

2789

2790 ~~The University Senate Hearing Panel consisting of fifteen (15) members shall be appointed for~~  
 2791 ~~staggered three-year terms by the President from a list of nominees recommended by the~~  
 2792 ~~Senate Council. From this panel an ad hoc Hearing Committee shall be chosen to hear a case~~  
 2793 ~~arising from~~

2794

2795 1. ~~dismissal of a faculty member with continuous tenure; or~~

2796

2797 2. ~~dismissal of a non-tenured faculty member before the end of that person's specified term~~  
 2798 ~~of appointment; or~~

2799

2800 3. ~~allegation of the violation of the academic freedom of a non-tenured faculty member, an~~  
 2801 ~~administrator, or of a terminated postdoctoral scholar, postdoctoral fellow, resident, clinical~~  
 2802 ~~fellow, teaching assistant, or research assistant (GR X.D; AR 5:4; AR 5.5).~~

2803

2804 ~~The Hearing Committee shall consist of five members chosen by lot from the USHP. Members shall~~  
 2805 ~~remove themselves from a case, either at the request of a party, or on their own initiative if they~~  
 2806 ~~deem themselves disqualified for reason of bias or conflict of interest. Each party shall have a~~  
 2807 ~~maximum of two challenges without stated cause. If the panel should be exhausted before an~~  
 2808 ~~acceptable committee has been obtained, five supplementary members shall be appointed to the~~  
 2809 ~~panel by the same procedure from which members of the committee may be selected. The~~  
 2810 ~~committee shall select its own chair.~~

2811

2812 \* ~~For SREC interpretation of SR 1.4.4.3.1, see SREC minutes from June 11, 2018.~~  
 2813 ~~(available [HERE](#)). [SREC: 6/11/2018]~~

2814

2815 **1.4.4.3.2 1.4.3.2.1 Procedures**

2816

2817 The ~~committee~~ USHP will conduct the hearing and report its findings as described in GR X.B.1.f.  
 2818 2. In addition, the committee will adhere to the following procedures:

2819

2820 1. The faculty member will be afforded an opportunity to obtain necessary witnesses and  
 2821 documentary or other evidence, and the administration will, in so far as it is possible for  
 2822 it to do so, secure the cooperation of such witnesses and make available necessary  
 2823 documents and other evidence within its control.

2824

2825 2. The faculty member and the administration will have the right to confront and cross-  
 2826 examine all witnesses. Where the witness cannot or will not appear, but the committee  
 2827 determines that the interests of justice require admission of that person's statement, the  
 2828 committee will identify the witness, disclose the statement of that witness and if  
 2829 possible provide for interrogatories.

2830

2831 3. The hearing committee will not be bound by strict rules of legal evidence, and may  
 2832 admit any evidence which is of probative value in determining the issues involved.  
 2833 Every possible effort will be made to obtain the most reliable evidence available.

2834

2835 4. The hearing committee will grant adjournments to enable either party to investigate  
 2836 evidence as to whether a valid claim of surprise is made.

2837

2838 5. The findings of fact and the decisions will be based solely on the hearing record.

2839

2840 6. Except for such simple announcements as may be required covering the time of the  
 2841 hearing and similar matters, public statements and publicity about the case by either

2842 the faculty member or the administrative officers will be avoided so far as possible until  
 2843 the proceedings have been completed, including consideration by the Board of  
 2844 Trustees.  
 2845

#### 2846 **1.4.3.2.2 Extent of Authority**

2847 The USHP does not have any final decision-making authority.  
 2848

#### 2849 **1.4.3.2.3 Composition and Establishment of the Ad Hoc Hearing Committee**

2850 The USHP is not required to be chaired by an elected faculty senator or composed of more than  
 2851 one-half of members who are elected faculty senators.  
 2852

2853 The USHP consists of fifteen (15) members who shall be appointed for staggered three-year  
 2854 terms by the President from a list of nominees recommended by the Senate Council. From this  
 2855 panel an ad hoc Hearing Committee shall be chosen to hear a case arising from  
 2856

- 2857 1. dismissal of a faculty member with continuous tenure; or
- 2858
- 2859 2. dismissal of a non-tenured faculty member before the end of that person's specified term  
 2860 of appointment; or
- 2861
- 2862 3. allegation of the violation of the academic freedom of a non-tenured faculty member, an  
 2863 administrator, or of a terminated postdoctoral scholar, postdoctoral fellow, resident, clinical  
 2864 fellow, teaching assistant, or research assistant (GR X.D; AR 5:4; AR 5.5).  
 2865

2866 The Hearing Committee shall consist of five members chosen by lot from the USHP. Members shall  
 2867 remove themselves from a case, either at the request of a party, or on their own initiative if they  
 2868 deem themselves disqualified for reason of bias or conflict of interest. Each party shall have a  
 2869 maximum of two challenges without stated cause. If the panel should be exhausted before an  
 2870 acceptable committee has been obtained, five supplementary members shall be appointed to the  
 2871 panel by the same procedure from which members of the committee may be selected. The  
 2872 committee shall select its own chair.  
 2873

2874 \* For SREC interpretation of SR 1.4.4.3.1, see SREC minutes from June 11, 2018.  
 2875 (available [HERE](#)). [SREC: 6/11/2018]  
 2876  
 2877

#### 2878 ~~**1.4.4.41.1.1.1 Senate Advisory Committee on Disability Accommodation and**~~ 2879 ~~**Compliance (SACDAC)**~~

2880  
 2881 ~~[US: 12/10/2012]~~  
 2882

#### 2883 ~~**1.4.4.4.11.1.1.1.1.1 Composition**~~

2884  
 2885 ~~The SACDAC is a standing committee of the University Senate. The voting members of the~~  
 2886 ~~SACDAC are the Director of the Center for the Enhancement of Learning and Teaching (CELT),~~  
 2887 ~~or that person's designee, and four University Faculty members appointed by the Senate~~  
 2888 ~~Council. The four University Faculty members shall serve staggered three-year terms. Ex officio,~~  
 2889 ~~nonvoting members of the Committee are the Academic Ombud, the Director of the Disability~~  
 2890 ~~Resource Center (DRC), a DRC learning specialist, and the Associate Vice President for~~  
 2891 ~~Institutional Equity or that person's designee. The committee shall be chaired by one of the four~~  
 2892 ~~appointed University Faculty members. The SACDAC is responsible for recommending~~  
 2893 ~~educational policy within general guidelines established by the University Senate.~~  
 2894

#### 2895 ~~**1.4.4.4.21.1.1.1.1.1 Committee Charge**~~

2896

2897 ~~The SACDAC is charged to:~~

- 2898
- 2899 ~~1. Recommend to the Senate Council educational policies and implementation practices~~  
 2900 ~~that appropriately address the accommodations standards stipulated in the Americans~~  
 2901 ~~with Disabilities Act (ADA) Law and Sections 504/508 of the Rehabilitation Act of 1973~~  
 2902 ~~for students who have documented disabilities.~~
- 2903
- 2904 ~~2.1. Hear and render decisions on issues from faculty members or the DRC pertaining to the~~  
 2905 ~~implementation of educational policies as they relate to practices for disability~~  
 2906 ~~accommodation and regulatory compliance.~~
- 2907
- 2908 ~~3.1. Monitor the alignment between the University Senate's educational policies and Federal~~  
 2909 ~~compliance and accommodation regulations, and recommend educational policy~~  
 2910 ~~revisions, as warranted.~~
- 2911
- 2912 ~~4.1. Issue an annual report on the work of the SACDAC for consideration by the Senate~~  
 2913 ~~Council, the University Senate and the wider University community.~~

2914

2915 ~~**1.4.4.51.1.1.1 Senate Advisory Committee on Diversity and Inclusion (SACDI)**~~

2916

2917 ~~[US: 2/12/2018]~~

2918

2919 ~~**1.4.4.5.11.1.1.1.1.1 Composition**~~

2920

2921 ~~The SACDI is an advisory committee of the University Senate and its membership is appointed~~  
 2922 ~~by the SC. Six University Faculty members shall be voting members. Two student members~~  
 2923 ~~nominated by the Student Government Association (SGA) shall also be voting members. The~~  
 2924 ~~University Faculty members shall serve staggered three-year terms and the SGA's nominees~~  
 2925 ~~shall serve one-year terms. The SACDI shall also have two *ex-officio* nonvoting members, who~~  
 2926 ~~shall be recommended by the Vice President for Institutional Diversity, both of whom shall have~~  
 2927 ~~UK-related responsibilities pertaining to diversity and inclusivity. Members may serve~~  
 2928 ~~consecutive terms but must be reappointed by Senate Council.~~

2929

2930 ~~The SC shall appoint a chair from one of the six University Faculty members.~~

2931

2932 ~~**1.4.4.5.21.1.1.1.1.1 Charge**~~

2933

2934 ~~The SACDI is charged with: increasing diversity among senators, in particular representation of~~  
 2935 ~~underrepresented minorities; working with senior leadership to disseminate best practices for~~  
 2936 ~~recruiting and retaining faculty of color and other underrepresented groups; and addressing~~  
 2937 ~~other issues around diversity and inclusion as they arise.~~

2938

2939 ~~**1.4.51.4.4 AD HOC COMMITTEES**~~

2940

2941 ~~Other than their temporary nature *ad hoc* committees have the same status and responsibilities~~  
 2942 ~~as all other committees of the Senate. They shall be appointed by the Senate Council to address~~  
 2943 ~~academic problems and issues facing the University. For example, such committees could deal~~  
 2944 ~~with problems or issues as they arise in the areas of teaching and advising, student affairs,~~  
 2945 ~~computer resources, continuing education, special teaching technologies and so forth. These~~  
 2946 ~~committees expire not later than one year after their appointment unless the Senate Council acts~~  
 2947 ~~to renew the committee's existence for another year. [US: 10/12/81] [4/14/86]~~

2948

2949 **1.5. ELECTION PROCEDURES FOR CERTAIN ELECTIVE BODIES**

2950

2951 The Rules and Elections Committee is charged with administering elections as directed by the  
 2952 University Senate. Following are descriptions of these elections:  
 2953

2954 **1.5.1 ELECTION OF JOINT BOARD-FACULTY PRESIDENTIAL SEARCH COMMITTEE**

2955  
 2956 **1.5.1.1 Committee Composition**  
 2957

2958 *Governing Regulations* VIII, adopted by the Board of Trustees June 12, 2005, provide: "The  
 2959 President is appointed by the Board of Trustees with the advice of a joint committee of the Board  
 2960 of Trustees, University Faculty, staff employees, student body and alumni. The committee shall  
 2961 consist of six (6) members of the Board of Trustees, at least one of whom shall be from among  
 2962 the three alumni trustees, appointed by the Chair of the Board of Trustees; three (3) regular full-  
 2963 time faculty members selected by a procedure determined by the University Senate; one (1)  
 2964 regular full-time staff employee, appointed by the Chair of the Board from a list of three (3) staff  
 2965 employees selected by a procedure determined by the Staff Senate; two (2) full-time students,  
 2966 one (1) undergraduate and one (1) graduate or professional student, appointed by the Chair of  
 2967 the Board of Trustees from a list of three (3) undergraduate and three (3) graduate or  
 2968 professional students selected by a procedure determined by the Student Government  
 2969 Association; and one (1) member of the alumni appointed by the Chair of the Board of Trustees  
 2970 from a list of three (3) alumni selected by the Board of Directors or the Executive Committee of  
 2971 the University of Kentucky Alumni Association. The committee shall provide opportunity for  
 2972 discussion among representatives of administration, University Faculty, staff employees, student  
 2973 body, alumni groups, and prospective presidential candidates."  
 2974

2975 **1.5.1.2 Election Procedures**  
 2976

2977 In the event of a vacancy, or official announcement of an impending vacancy in the Office of  
 2978 President, the following procedure shall be utilized in selecting the three members of the  
 2979 University Faculty to serve on the Search Committee. Elections shall be conducted by electronic  
 2980 secret ballot or paper secret ballot if electronic election is not feasible. Three (3) elected Faculty  
 2981 Senators, representing the University Faculty, shall be elected by this procedure. The election  
 2982 shall be conducted under the supervision of the Chair of the Senate Rules and Elections  
 2983 Committee.  
 2984

2985 **1.5.1.2.1 Nominating Round**  
 2986

2987 On the nominating ballot, each elected Faculty Senator may nominate up to three (3) eligible  
 2988 elected Faculty Senators, as certified by the Chair of the Senate Rules and Elections Committee  
 2989 and made available to Senators.  
 2990

2991 There shall be six (6) names on the voting ballot. The six (6) elected Faculty Senators receiving  
 2992 the largest number of nominations shall be placed on the voting ballot, except that not more than  
 2993 two names from any one college shall be eligible for being placed on the voting ballot. All ties will  
 2994 be resolved by lot. Prior to placing the names of nominees on the voting ballot, the nominees'  
 2995 willingness to serve shall be ascertained by the Chair of the Senate Rules and Elections  
 2996 Committee.  
 2997

2998 **1.5.1.2.2 Voting Round**  
 2999

3000 The eligible voters are the full-time members of the University Faculty who are eligible to vote in  
 3001 their respective college election of faculty members to the University Senate. Each voter must  
 3002 rank order exactly three (3) candidates from the list of the six (6) nominees on the voting ballot.

3003 Failure to rank order exactly three (3) different candidates will disqualify the ballot. References in  
 3004 sections (3), (4), and (5) below to “ballots” refers only to those ballots certified as countable.  
 3005

3006 **1.5.1.2.3 Tabulation Round(s)**  
 3007

3008 First, the total number of eligible ballots is determined.  
 3009

3010 Next, each of the six (6) candidates shall be allocated the number of ballots on which the  
 3011 candidate has been ranked first. Any candidate whose total allocation is at least one-third (1/3)  
 3012 of the total number of ballots is elected. Except that if, at any time in the entire tabulation  
 3013 process, a total number of candidates from any one college is elected that is equal to that  
 3014 college’s total number of eligible seats, then any remaining candidates from that college will be  
 3015 removed from the ballots, and the ballots re-tabulated according to the rankings of the remaining  
 3016 candidates on each of them.  
 3017

3018 If three (3) candidates are elected at this point, the election ends here. If fewer than three (3) are  
 3019 elected, the following “Sequential Run-off” process will be followed.  
 3020

3021 **1.5.1.2.4 Sequential Run-off**  
 3022

3023 If fewer than three (3) candidates are elected pursuant to section (3) above, then the following  
 3024 run-off tabulation will be followed: On each ballot, the name(s) of the elected candidate(s) will be  
 3025 removed, and the ballots re-tabulated according to the rankings of the remaining candidates on  
 3026 each of them. Any candidate who is allocated at least one-third (1/3) of the total number of  
 3027 ballots after this re-tabulation shall be declared elected.  
 3028

3029 If no candidates are elected pursuant to section (3) above, then the name of the candidate with  
 3030 the fewest top rankings shall be removed. In case of a tie, the candidate with the fewest total  
 3031 number of votes of any rank will be eliminated, with any further ties decided by a random draw.  
 3032 The ballots will then be re-tabulated again according to the rankings of the remaining candidates  
 3033 on each of them. Any candidate now allocated at least one-third (1/3) of these re-tabulated  
 3034 ballots shall be elected.  
 3035

3036 If a total of three (3) candidates are elected at this point, the election ends here. This procedure  
 3037 shall be repeated as necessary until three (3) candidates are elected.  
 3038

3039 **1.5.1.2.5 Runners-Up**  
 3040

3041 After three (3) candidates have been elected, three (3) ranked runners-up will be chosen  
 3042 according to the number of ballots allocated to them at the end of the last tabulation round. In  
 3043 case of a tie, the candidate with the most total number of votes of any rank will be ranked first,  
 3044 with any further ties decided by a random draw. If there are fewer than three (3) such runners-  
 3045 up, then additional runners-up will be chosen to bring the total to three (3), with the additional  
 3046 runners-up ranked according to the reverse order of their elimination. The runners-up will be  
 3047 considered in the order so ranked in case of future vacancies on the Senate Council.  
 3048

3049 **1.5.1.2.6 Vacancies**  
 3050

3051 Upon resignation of any of the three (3) elected University Faculty representatives to the Search  
 3052 Committee or when a member is no longer eligible to be a Senator, a vacancy for that position  
 3053 shall be declared by the Chair of the Senate Council. A vacancy on the Search Committee shall  
 3054 be filled by the eligible candidate who in the election ranked the highest without being elected  
 3055 and who is eligible and willing to serve.  
 3056

3057 If the above procedure still does not fill the vacancy, the vacancy shall be filled with an eligible and  
 3058 willing elected Faculty Senator nominated by the Senate Council Chair and approved by the  
 3059 majority of the voting faculty members of the Senate Council.  
 3060

3061 **1.5.2 ELECTION: TWO VOTING UNIVERSITY FACULTY MEMBERS, BOARD OF**  
 3062 **TRUSTEES**

3063  
 3064 [See US: 10/12/88; US: 10/8/2001; US: 12/8/05; BoT: 6/2005]  
 3065

3066 As specified in state law (KRS 164.131(1)(e) and implemented in the *Governing Regulations*  
 3067 (II.B.2.b.1) there shall be two (2) voting faculty members of the Board of Trustees who are  
 3068 "members of the faculty of the University." In accordance with KRS 164.131(3) and as  
 3069 implemented in GR II.B.2.b.1, the University Faculty members who are eligible to vote for, and  
 3070 eligible to serve as, elected members of the Board of Trustees shall be those members who are  
 3071 regular, full-time faculty employees with a rank at the level of assistant professor (or its  
 3072 equivalent, Librarian III) or above, whose primary assignments, i.e., more than fifty percent  
 3073 (50%), are in instruction, research, and/or public service, as defined in *Human Resources Policy*  
 3074 *and Procedure Administrative Regulation 4.0: Employee Status*. [GR II.B.2.b.1]  
 3075

3076 \* For the purposes of election of Faculty Trustees, AR 3:2 is here interpreted to allow  
 3077 voting by, but not election of, faculty employees on phased retirement. [SREC:  
 3078 12/17/13].  
 3079

3080 Elections shall be held only during the academic year. During years when a regular term expires  
 3081 (June 30), the election shall be held during the Spring Semester. If at any time a faculty Board  
 3082 member should become ineligible to serve (i.e., assumption of an administrative title,  
 3083 resignation, official leave which precludes attendance, loss of status as faculty employee, etc.),  
 3084 the chair of the Senate Council shall declare a vacancy and call for a special election of a faculty  
 3085 member to serve for the duration of the original member's ineligibility.  
 3086

3087 Elections shall be conducted under the supervision of the Senate Rules and Elections  
 3088 Committee as follows:  
 3089

3090 **1.5.2.1 Nominations**  
 3091

3092 The Chair of the Senate Rules and Elections Committee shall solicit nominations by a method  
 3093 calculated to provide reasonable notice to the eligible faculty (and to the unit administrators for  
 3094 the purpose of assisting dissemination of this notice to the faculty). Nomination forms shall be  
 3095 submitted to the Chair of the Senate Rule and Election Committee in writing or by fax, and must  
 3096 contain the names and signatures of ten (10) or more nominators on the same form, all of whom  
 3097 must be eligible to vote. Forms may be provided for this purpose. The nomination form shall  
 3098 indicate that the nominee is willing to serve if elected and that they is qualified to serve.  
 3099

3100 \* Pursuant to Administrative Regulations on tenure-ineligible title series and on faculty  
 3101 members with certain administrative appointments, the following terms apply: (1)  
 3102 Tenured faculty employees who have been appointed to an administrative position at or  
 3103 above the level of department chair are not eligible to participate in the election of  
 3104 faculty representatives, or serve as the elected faculty representative, to faculty  
 3105 governance bodies above the college level (e.g., Board of Trustees, University Senate,  
 3106 Graduate Council, Undergraduate Council, and Health Care Colleges Council) (see  
 3107 HRPP 4.0); (2) Regular, full-time faculty employees in a tenure-ineligible series are not  
 3108 eligible for regularly assigned university-level service activities, except that the  
 3109 tenured/tenure-track faculty of a college may allow a tenure-ineligible series to be  
 3110 eligible to vote for representation, and stand for election, specifically to the University

3111 Senate, by extending College Faculty membership to that faculty series (to be  
 3112 documented in the College Rules; see AR 2.5, AR 2.6, AR 2.9 for more details).  
 3113 [SREC: 4/15/11]  
 3114

3115 If three (3) or fewer persons are nominated there shall be only one (1) ballot as indicated below  
 3116 (see "The Final Ballot") However if only one (1) person is nominated, the nomination period shall  
 3117 be extended by one (1) week with notification to all eligible faculty voters. If no other nominations  
 3118 are put forward at this point, the sole nominee shall be declared elected.  
 3119

3120 **1.5.2.2 The preliminary ballot (if necessary)**  
 3121

3122 If more than three (3) persons are nominated, the names of all nominees shall be placed upon  
 3123 an electronic ballot with email notifications sent to all eligible faculty voters. If electronic balloting  
 3124 is not feasible, a paper ballot shall be utilized. The ballot shall contain the college and  
 3125 department or other subunit affiliation, if any, of each nominee. The election shall be by secret  
 3126 ballot and voters shall vote for only **one (1)** nominee. If one (1) nominee receives a majority of  
 3127 the votes, that person shall be declared elected. Otherwise, the three (3) nominees receiving the  
 3128 highest number of votes (including ties) shall be placed upon a final ballot.  
 3129

3130 **1.5.2.3 The final ballot**  
 3131

3132 The final ballot shall contain a brief biographical sketch submitted by each of the candidates and  
 3133 may contain a brief statement by them. Each voter shall indicate a first and may indicate a  
 3134 second choice. All of the first-choice ballots will be counted, and any candidate who receives a  
 3135 majority of votes will be elected. If no one receives a majority, a determination shall be made  
 3136 between the two (2) candidates with the largest number of first choice votes in the following  
 3137 manner: The second-choice votes on the ballots whose first choice candidate or candidates  
 3138 have been eliminated will be added to the first choice votes received by the top two (2)  
 3139 candidates, and the person with the larger number of total votes will be elected. (In the event of  
 3140 a tie at that stage, the candidate with the larger number of first choice votes will be elected. And  
 3141 in the event of a tie in the first and second choice votes, the tie will be resolved by a random  
 3142 draw after notifying the top two candidates.) [Revised: 10/1/80; Senate Rules Committee; US:  
 3143 1/18/88; US: 10/12/98; US: 10/8/2001]  
 3144

3145 **1.5.2.4 Election Problems**  
 3146

3147 In case of unanticipated election problems, the Senate Rules and Elections Committee shall act  
 3148 as the final arbiter.  
 3149

3150  
3151  
3152  
3153  
3154

1. Search for all instances of "August 15" and "August 16" – delete unnecessary references!
2. Moved SACDI and SACDAC into standing committee group and adjusted name of cmte (were standing committees appointed by SC, not advsy cmtes appointed by pres)
3. Green language about courses not homed ina college needs to be placed somewhere logical.
- 4.